



Developing Climate Action Plans:

A Comprehensive Guide for Local Governments

On behalf of:



Federal Ministry
for the Environment, Climate Action,
Nature Conservation and Nuclear Safety

IKI



INTERNATIONAL
CLIMATE
INITIATIVE

of the Federal Republic of Germany

Developing Climate Action Plans: A Comprehensive Guide for Local Governments

Original edition (2024):

Developed through the Climate Resilient and Inclusive Cities (CRIC) Project, co-funded by the European Union.

Revised edition (2026):

Updated through the Integrated Urban Climate Action for Low-Carbon and Resilient Cities (Urban-Act) project, implemented on behalf of the International Climate Initiative (IKI) of the Federal Government of Germany.

This revised edition includes an additional chapter on the integration of Climate Action Plans into local development planning, together with related substantive and editorial updates.

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Published by

United Cities and Local Governments Asia-Pacific (UCLG ASPAC)

Jakarta City Hall, Building H, 21st Floor
Jl. Medan Merdeka Selatan No. 8–9
Central Jakarta 10110, Indonesia

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Suggested Citation

United Cities and Local Governments Asia-Pacific. (2026). Developing Climate Action Plans: A Comprehensive Guide for Local Governments (Revised ed.). UCLG ASPAC.

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Nature Conservation and Nuclear Safety

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FOREWORD



As the impacts of climate change become increasingly severe, local governments across the Asia-Pacific region are at the forefront of responding to these challenges. The growing frequency and intensity of extreme weather events, rising sea levels, and climate-induced disasters require cities and local governments to take bold, coordinated, and sustained action. United Cities and Local Governments Asia-Pacific (UCLG ASPAC) recognises that local governments are uniquely positioned to lead these efforts by developing and implementing effective climate actions that respond to the specific needs, risks, and priorities of their communities.

This Climate Action Plan (CAP) Guideline is the result of the collective expertise and collaboration of the UCLG ASPAC team. It was initially developed based on the frameworks and experience of the Climate Resilient and Inclusive Cities (CRIC) Project and the Global Covenant of Mayors for Climate and Energy (GCoM) Southeast Asia. This revised edition has been further strengthened through the Integrated Urban Climate Action for Low-Carbon and Resilient Cities (Urban-Act) Project, including additional guidance on the integration of Climate Action Plans into local development plans, sectoral strategies, programmes, and budgeting processes. This approach aims to ensure that climate action is embedded within local development priorities and institutional systems rather than implemented as a stand-alone initiative.

By providing practical guidance, methodologies, and tools, this publication supports local governments in developing comprehensive Climate Action Plans that respond to global climate commitments while remaining grounded in local realities. It provides a structured pathway for reducing greenhouse gas emissions, strengthening climate resilience, and advancing inclusive and sustainable urban development. Through the integration of CAPs into development planning and implementation processes, local governments can better align climate objectives with public investment, service delivery, infrastructure development, and community needs. These efforts will support cities in transitioning towards a low-carbon and climate-resilient future while protecting their residents, particularly communities that are most vulnerable to the impacts of climate change.

UCLG ASPAC extends its sincere appreciation to all partners, experts, local governments, and contributors who have supported the preparation and revision of this publication. This guideline reflects a shared commitment to building resilient, inclusive, and sustainable cities across the Asia-Pacific region. We are confident that it will serve as a practical reference for local governments in translating climate commitments into integrated, locally appropriate, and implementable actions, while continuing to lead the transition towards a more sustainable future for all.

Dr Bernadia Irawati Tjandradewi
Secretary General, UCLG ASPAC

ACKNOWLEDGEMENTS:



The development and revision of this Climate Action Plan (CAP) Guideline have been made possible through the commitment, expertise, and collaboration of institutions, local governments, experts, practitioners, and partners dedicated to advancing climate-responsive urban development across the Asia-Pacific region.

UCLG ASPAC gratefully acknowledges the Climate Resilient and Inclusive Cities (CRIC) Project co-funded by the European Union, and the Global Covenant of Mayors for Climate and Energy (GCoM) Southeast Asia, whose frameworks, methodologies, and experience provided an important foundation for the first edition of this publication. Their work contributed to adapting climate action planning guidance to the diverse institutional contexts, capacities, and priorities of cities and local governments across Asia and the Pacific.

This revised edition was further strengthened through the Integrated Urban Climate Action for Low-Carbon and Resilient Cities (Urban-Act) project, which supports climate-responsive urban development and promotes integrated, low-carbon, resilient, and inclusive cities. The project contributed particularly to strengthening the guidance on integrating Climate Action Plans into local development plans, sectoral strategies, programmes, institutional arrangements, and budgeting processes. The Urban-Act project

is implemented on behalf of the International Climate Initiative (IKI) of the Federal Government of Germany. Within the Federal Government, the IKI is anchored in the Federal Ministry for the Environment, Climate Action, Nature Conservation and Nuclear Safety (BMUKN).

UCLG ASPAC extends its appreciation to the local governments, experts, practitioners, and partner organisations that contributed their knowledge, practical experience, case studies, and feedback throughout the development and revision process. Their insights helped ensure that this guideline reflects the realities, challenges, institutional conditions, and development priorities of cities and communities across the region.

Special appreciation is also extended to the UCLG ASPAC Secretariat and all contributors involved in preparing, reviewing, and refining this publication. Their technical expertise and continued commitment to supporting local governments were essential to the completion of this revised edition.

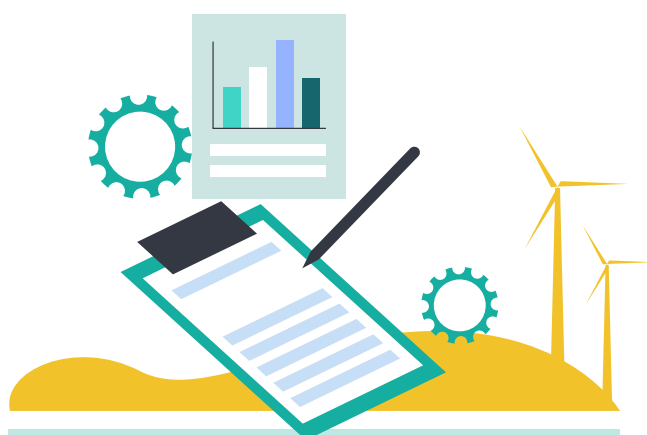
Finally, we acknowledge the leadership and guidance of UCLG ASPAC's Secretary General, Dr Bernadia Irawati Tjandradewi, whose vision and commitment continue to advance the role of cities and local governments in building resilient, inclusive, low-carbon, and sustainable communities across the Asia-Pacific region.

Understanding Climate Change and Its Impacts on Cities

Climate change is a global phenomenon, but its impacts are particularly pronounced in urban areas within the Asia-Pacific region, which is one of the most rapidly urbanising parts of the world. Cities in this region are at the forefront of both climate risks and opportunities for resilience. The increasing frequency and intensity of extreme weather events such as typhoons, floods, heat waves, and droughts already affect millions of people. For instance, Metro Manila in the Philippines regularly experiences severe flooding during the monsoon season, exacerbated by rising sea levels and deforestation in surrounding areas. Similarly, Mumbai, India, a coastal megacity, faces threats from both extreme rainfall events and sea-level rise, which have led to significant economic losses and displacement of vulnerable communities.

Urban areas are particularly vulnerable due to their dense populations, critical infrastructure, and economic activities concentrated in climate-sensitive locations such as river basins and coastlines. Many cities in Asia-Pacific are in low-lying areas, making them particularly susceptible to sea-level rise. The Pacific Island cities, such as Funafuti in Tuvalu, are experiencing existential threats as rising seas and salinity encroach on freshwater resources and arable land. Climate change also intensifies the urban heat island effect, leading to public health crises, as seen in cities like Bangkok, Thailand, where heat waves have become more frequent and severe, disproportionately affecting the elderly and low-income populations.

Beyond the immediate physical impacts, climate change amplifies existing social and economic inequalities, as vulnerable groups such as the urban poor, women and marginalised communities are disproportionately affected. The increasing burden of climate-related displacement and



Chapter

1

Introduction to Climate Action Planning

migration is also becoming a pressing issue in cities across the region, including Dhaka, Bangladesh, where rapid urbanisation and rural-to-urban migration are exacerbating the challenges posed by climate change. These complex and interconnected challenges underscore the urgent need for cities to develop comprehensive Climate Action Plans (CAPs) to safeguard their populations and build long-term resilience.

The Importance of Climate Action Plans (CAP)

Climate Action Plans (CAPs) are not just aspirational documents; they are critical frameworks for guiding cities in the Asia-Pacific region toward a sustainable, resilient future. CAPs provide a roadmap for reducing greenhouse gas (GHG) emissions, adapting to the unavoidable impacts of climate change, and fostering inclusive, equitable development. The Asia-Pacific region, being one of the most diverse in terms of geography, culture, and governance, requires CAPs that are flexible, context-specific, and scalable. In countries like Indonesia, where over 80% of GHG emissions come from land use, land-use change, and forestry, CAPs need to focus on sustainable land management practices alongside urban adaptation strategies. In contrast, heavily industrialised cities like Tokyo, Japan, may prioritise energy efficiency, decarbonisation of industry, and the promotion of renewable energy sources.

For cities across the region, CAPs offer an opportunity to align local policies with national and global climate goals, such as the Paris Agreement, which seeks to limit global temperature rise to below 1.5°C. Cities that adopt robust CAPs can not only mitigate the impacts of climate change but also capitalise on the economic benefits of transitioning to a low-carbon economy. For instance, the city of Seoul, South Korea, has implemented its “One Less Nuclear Power Plant” initiative, which seeks to reduce energy consumption through energy

efficiency measures, rooftop solar installations, and smart grid technologies. This initiative not only helps the city meet its climate targets but also creates jobs and stimulates local economies.

The development and implementation of CAPs are crucial in fostering social inclusion, as they provide an avenue for engaging marginalised communities in climate decision-making processes. In cities like Hanoi, Vietnam, where urban expansion is accelerating, CAPs can integrate climate justice principles by ensuring that adaptation measures benefit the most vulnerable, such as informal settlers and low-income communities. By embedding climate resilience into local governance frameworks, cities can ensure that future development is both sustainable and equitable.

Role of Local Governments in Climate Action

Local governments in the Asia-Pacific region are at the frontline of climate action due to their unique ability to respond directly to the needs of their communities. Unlike national governments, which often focus on broader policy frameworks, local governments possess the authority and proximity to implement localised solutions that address specific urban vulnerabilities. In cities like Wellington, New Zealand, local authorities have spearheaded the development of coastal resilience plans that integrate both indigenous knowledge and modern engineering solutions to protect against sea-level rise. These plans are essential in ensuring the long-term sustainability of urban infrastructure and the well-being of coastal communities.

Local governments also play a vital role in mobilising resources, building partnerships, and fostering innovation to drive climate action. They are often responsible for managing sectors such as waste, water, energy, transportation, and land use—each of which is critical for mitigating emissions and enhancing resilience. In Singapore,

for example, the local government has invested heavily in urban greenery and water management solutions, such as the ABC Waters Program, which enhances flood resilience while improving urban liveability through the integration of natural waterways and green spaces into urban environments. These initiatives highlight the power of local governments to lead the way in climate adaptation and mitigation.

Moreover, local governments are often the first responders to climate-related disasters, which puts them in a unique position to ensure that recovery efforts are both climate-resilient and inclusive. In Tacloban, Philippines, which was devastated by Typhoon Haiyan in 2013, the local government played a critical role in coordinating relief efforts and rebuilding the city with a focus on climate resilience. This experience underscored the importance of local leadership in disaster preparedness, recovery, and long-term adaptation planning.

Furthermore, local governments serve as a bridge between the community and other stakeholders, such as businesses, academia, and civil society organizations, facilitating collaborative efforts to achieve climate goals. By engaging diverse groups, local governments can ensure that their CAPs are not only technically sound but also socially inclusive, thus fostering a sense of shared responsibility for climate action.

Overview of the Guideline Book

This guideline book is designed to provide local governments across the Asia-Pacific region with practical tools and insights to develop and implement Climate Action Plans (CAPs). The book is structured to guide readers through the process

of understanding their local climate risks, setting mitigation and adaptation goals, and developing policies that align with both local priorities and international climate commitments.

In Chapter 2, readers will find a detailed process for conducting climate vulnerability assessments, which is essential for identifying the specific climate risks that cities face. This chapter offers case studies from cities like Bangkok and Jakarta, demonstrating how local governments have assessed their vulnerabilities and developed tailored adaptation strategies. Chapter 3 delves into the methods for creating a comprehensive GHG inventory, which is a foundational step in understanding a city's emission profile. This section will highlight examples from cities such as Yokohama, Japan, which has pioneered innovative methods for reducing urban emissions.

Subsequent chapters will focus on stakeholder engagement strategies, policy development, and financing mechanisms for climate action. In particular, Chapter 5 explores how cities can integrate their CAPs into broader urban planning frameworks, ensuring that climate considerations are embedded in housing, transportation, and land-use decisions. The final chapters provide guidance on monitoring and evaluating CAPs, emphasizing the importance of adaptive management to ensure that plans remain relevant in the face of evolving climate risks.

By the end of this book, local governments will have a clear roadmap for developing their own CAPs, equipped with the technical knowledge and case study examples needed to address the complex and pressing challenges posed by climate change in the Asia-Pacific region. Through these practical steps, cities can build a more sustainable and resilient future for their communities, ensuring that they are prepared to face the growing threats of climate change.



Chapter

2

Detailed Process for Developing a Climate Action Plan (CAP) Document

Objective:

Developing a Climate Action Plan (CAP) is a multi-faceted process that requires a structured approach to ensure the inclusion of all necessary components, stakeholder participation, and alignment with broader climate goals. This chapter provides a step-by-step guide to both the descriptive and instructive aspects of creating a CAP, from initial preparation to strategic planning and beyond.

PHASE 1



Preparation and Planning

The preparation and planning phase is critical for laying the groundwork for a successful CAP. This phase involves forming the right teams, setting clear objectives, and creating a realistic timeline that guides the overall process.

Establish a Steering Committee



What needs to be done

A key first step is forming a multi-disciplinary steering committee that will be responsible for overseeing the development of the CAP. This committee should consist of representatives

from relevant city departments—such as environment, transportation, urban planning, and public health—as well as local government officials and key stakeholders, including community organisations, academic experts, and private sector representatives. The inclusion of diverse perspectives ensures that the CAP reflects the city’s various interests and needs.



How it should be done

1. **Identify Key Stakeholders:** Begin by identifying potential committee members based on their expertise and relevance to the CAP process. This includes city departments with direct responsibility for sectors impacted by climate change, as well as organisations that can offer insights into social equity, economic impacts, or technological innovations. Consider inviting representatives from provincial government institutions, local universities, environmental NGOs, business associations, and community advocacy groups. Additionally, identify a leading sector or institution (e.g., Regional Planning Agency) to coordinate the steering committee and oversee the CAP development process.
2. **Assign Secretariat Responsibilities:** Establish a secretariat to provide technical and administrative support for the CAP process. The secretariat will facilitate meetings, manage public consultations, coordinate institutional visits for data collection, and ensure smooth communication and documentation throughout the process.
3. **Invite Participation:** Once key stakeholders are identified, issue formal invitations outlining the purpose of the committee, its roles, and the significance of their participation. Clearly communicate the roles of the lead institution and the secretariat in supporting the committee’s work.
4. **Hold an Initial Meeting:** Convene the steering committee for an inaugural meeting to define clear roles, responsibilities, and a decision-making process. This meeting should set the tone for collaboration and establish a framework for governance. The committee should develop a shared vision for the CAP, identify key decision points, agree on a mechanism for addressing challenges, and clarify the functions of the lead institution and secretariat to ensure effective coordination and support.
5. **Ensure a Clear Mandate and Sectoral Leadership:** It is essential that the steering committee has a clear mandate and the authority to guide the CAP development process. Formal endorsement from local government leaders or legislative bodies can provide legitimacy and enable decisive actions throughout the process. Additionally, consider appointing dedicated leaders for each sectoral group, including vulnerability assessment, GHG inventory, energy, forestry and agriculture, waste, and industrial processes. This structure ensures a focused approach to data collection, assessment, and developing a comprehensive CAP draft, aligning efforts across sectors to achieve the plan’s objectives efficiently.

Define Objectives and Scope



What needs to be done

Defining the objectives and scope of the CAP is a foundational step that ensures clarity of purpose and alignment with broader climate, social, and economic goals. The objectives should address both mitigation (GHG emissions reduction) and adaptation (building climate resilience), while the scope must cover the sectors and areas of greatest impact and relevance, such

as energy, transportation, waste management, water resources, and public health. In addition, cross-cutting themes like social equity, public health, economic development, and disaster risk reduction should be incorporated to ensure a holistic approach.

Develop a Work Plan and Timeline



How it should be done

1. **Conduct a Workshop with the Steering Committee:** Host a workshop with steering committee members to discuss and align on the CAP's objectives and scope. This workshop should include an analysis of the city's current climate challenges, review of existing plans and policies, and a clear articulation of desired outcomes. Encourage active participation from all sectors to ensure comprehensive input.
2. **Identify Key Focus Areas:** During the workshop, identify the critical sectors (e.g., energy, transport, waste, land use) that are relevant to the city's climate profile and will be prioritised in the CAP. Cross-cutting themes such as social equity, disaster resilience, and sustainable economic growth should also be highlighted.
3. **Set Clear Objectives:** Based on input from the workshop, establish specific objectives for the CAP. These might include reducing GHG emissions by a certain percentage within a defined timeframe, enhancing urban resilience to flooding or heatwaves, improving energy efficiency, or ensuring equitable access to climate solutions for vulnerable populations.
4. **Document the Scope:** The scope should be clearly documented, outlining the geographic boundaries (e.g., city limits or metropolitan region), the sectors to be addressed, and any specific populations or areas (such as informal settlements) that will be given special consideration.



What needs to be done

A work plan and timeline are essential for organising the various tasks and phases of the CAP development process. This includes identifying key deliverables, setting deadlines, and assigning responsibilities. The work plan should map out the entire process, from initial data collection and stakeholder consultations to drafting and reviewing the CAP, and finally to adoption and implementation. Each phase should have clearly defined milestones and review points to ensure that the process stays on track.



How it should be done

1. **Use Project Management Tools:** To ensure effective planning and tracking, use project management tools such as Gantt charts, task trackers, or project management software (e.g., Trello, Asana, or Microsoft Project). These tools can help visualise the timeline, track tasks, and keep the team accountable to deadlines.
2. **Map Out the Phases of the CAP Development:**
 - Phase 1: Preparation and Planning: Establish steering committee, define objectives, develop the work plan.
 - Phase 2: Data Collection and Analysis: Conduct GHG inventory, vulnerability assessments, and stakeholder consultations.
 - Phase 3: Drafting the CAP: Draft the mitigation and adaptation strategies, incorporate stakeholder feedback.

- Phase 4: Review and Finalisation: Review the draft with key stakeholders, revise based on feedback, and finalise the CAP.
- Phase 5: Implementation and Monitoring: Begin the implementation of CAP actions, set up a monitoring and evaluation framework.

3. **Define Milestones and Deliverables:** Each phase should have specific milestones, such as “completion of GHG inventory” or “first draft of adaptation strategies.” These milestones act as checkpoints to ensure progress. Additionally, assign responsibilities for each task, specifying which committee members or city departments are in charge of particular deliverables.
4. **Establish Review Mechanisms:** Plan regular review meetings with the steering committee to evaluate progress against the timeline. This allows for adjustments to the work plan if delays occur or new opportunities arise. It is crucial to maintain flexibility in the timeline to account for unforeseen challenges, such as the need for additional data or stakeholder consultations.
5. **Ensure Stakeholder Alignment:** Communicate the work plan to all relevant stakeholders to ensure alignment. This transparency fosters collaboration and provides clarity on expectations.

The successful completion of Phase 1—preparation and planning—lays the foundation for the CAP. By establishing a dedicated steering committee, defining clear objectives and scope, and developing a realistic work plan and timeline, local governments can ensure that the subsequent phases of data collection, strategy development, and implementation are carried out efficiently and effectively. This structured approach enables cities to address both immediate climate challenges and long-term sustainability goals.

PHASE 2



Stakeholder Engagement and Data Collection

Objective:

Phase 2 focuses on engaging key stakeholders and gathering critical data that will inform the development of the Climate Action Plan (CAP). This phase ensures that the CAP reflects local priorities and is built upon a robust foundation of data, including GHG emissions, climate vulnerabilities, and socio-economic factors. Stakeholder engagement is critical for building consensus, and data collection is essential for setting baselines and developing future projections.

Stakeholder Mapping and Analysis



What needs to be done

Identifying and analysing relevant stakeholders is crucial for ensuring that all voices are heard and represented in the CAP development process. Stakeholders may include government agencies, non-governmental organisations (NGOs), community groups, academic institutions, the private sector, and vulnerable populations. Analysing these stakeholders’ interests, roles, and influence on climate action will help ensure that the CAP is comprehensive, representative, and well-supported by all sectors of society.



How it should be done

1. Create a Stakeholder Matrix:

- Use a stakeholder matrix to categorise stakeholders based on their level of influence over climate policies and their interest in the CAP. This matrix will help prioritise engagement efforts and ensure that all relevant parties are involved in the process. The matrix might look like this:
- High Influence, High Interest: Key decision-makers such as government agencies, utility companies, and large corporations. These stakeholders should be closely engaged throughout the CAP process, as their support and cooperation are critical to the plan's success.
- High Influence, Low Interest: Stakeholders such as policymakers or large businesses who may not be immediately interested in climate issues but whose decisions will significantly affect the outcome of the CAP. Strategies to raise their interest in the CAP may be necessary.
- Low Influence, High Interest: Groups such as NGOs, community-based organisations, and vulnerable populations that are highly interested in climate action but may have limited power to influence outcomes. These stakeholders should be engaged to ensure the CAP addresses their concerns, and their perspectives are included in decision-making.
- Low Influence, Low Interest: These stakeholders may include small businesses or general residents. While they may not directly influence CAP development, they should still be informed and invited to participate in public consultations.

2. Prioritise Engagement:

Based on the stakeholder matrix, prioritise which groups to engage in more detailed consultations (e.g., one-on-one interviews or focus groups) and which can be included in broader public forums. High influence, high-interest stakeholders may need direct involvement, while others can be engaged through informational sessions or surveys.

3. Expand the Reach:

Ensure that stakeholder mapping includes underrepresented groups such as women, youth, indigenous peoples, and informal workers. These groups may have unique vulnerabilities to climate impacts and valuable insights into local climate challenges.

Stakeholder Consultation



What needs to be done

Consultations with stakeholders are a critical step to gathering input, building consensus, and ensuring that the CAP reflects local priorities. Through consultations, stakeholders can contribute their expertise, voice their concerns, and propose solutions. This inclusive approach enhances the CAP's legitimacy and ensures that it aligns with community needs and goals.



How it should be done

1. Organise Focus Groups and Public Forums:

- Focus Groups: Create sector-specific focus groups to engage experts and practitioners from key sectors such as energy, transportation, water, waste management, and health. These small, focused discussions allow for in-depth technical input and foster collaborative problem-solving. For example, a focus group on energy could explore opportunities for renewable energy expansion and energy efficiency measures in city infrastructure.
- Public Forums: Organise larger public forums to engage a broader spectrum of the population, ensuring that the general public, community organisations, and vulnerable groups can share their perspectives on climate action. Use these forums to explain the CAP process, present findings from initial data collection, and invite public feedback on proposed actions.

2. One-on-One Interviews:

Conduct one-on-one interviews with high-priority stakeholders, such as government leaders, business executives, or community representatives. These interviews provide an opportunity to explore specific issues in greater detail and gain deeper insights into potential barriers, opportunities, and recommendations.

3. Document and Analyse Feedback:

Throughout the consultation process, systematically document feedback from stakeholders. This feedback should be analysed to identify common themes, concerns, and suggestions. Ensure that this input is incorporated into the CAP, particularly in areas such as target setting, policy recommendations, and action plans. Provide feedback to stakeholders on how their contributions were used to inform the CAP, creating a transparent and inclusive process.

4. Build Consensus and Foster Collaboration:

Use the consultation process to build consensus around key elements of the CAP. Encourage collaboration between stakeholders, particularly between sectors that may traditionally work in silos, such as government agencies, private companies, and community organisations. A collaborative approach increases buy-in and commitment to the CAP's implementation.

Data Collection and Analysis



What needs to be done

The next critical task is to collect and analyse data that will serve as the foundation for the CAP. This includes data on GHG emissions, climate vulnerabilities, socio-economic factors, and other key areas such as energy consumption, transportation, and land use. The data will provide the baseline for future projections and guide the development of mitigation and adaptation strategies.



How it should be done

1. Collaborate with City Departments and Agencies:

- Work closely with city departments and agencies to gather relevant data on energy consumption, transportation patterns, waste management, and land use. Each department may hold valuable data that contributes to a more comprehensive understanding of the city's emissions profile and climate vulnerabilities. For example:
- Energy Department: Collect data on energy production and consumption, broken down by source (e.g., fossil fuels, renewable energy).

- **Transportation Department:** Analyse data on vehicle usage, public transportation ridership, and emissions from different modes of transport.
- **Public Works:** Gather information on waste generation, recycling rates, and waste management practices.
- **Environmental Department:** Provide insights into air and water quality, ecosystem health, and biodiversity data. This information is crucial for understanding broader climate impacts and ensuring the CAP incorporates measures to protect and restore natural systems.

2. Conduct a GHG Emissions Inventory:

Use the Greenhouse Gas Protocol or other recognised standards to create a GHG emissions inventory that accounts for emissions across sectors such as energy, transportation, industrial processes, agriculture, forestry, and waste management. The inventory should quantify emissions in CO₂ equivalent (CO₂e) and provide a clear baseline from which future reductions can be measured.

3. Assess Climate Vulnerabilities:

Collect data on historical climate impacts, such as extreme weather events (e.g., floods, heatwaves, droughts), and analyse how these events have affected infrastructure, public health, and local economies. This should include mapping areas most vulnerable to climate risks, such as low-lying coastal regions or informal settlements prone to flooding.

Use GIS and Remote Sensing: Employ Geographic Information Systems (GIS) and remote sensing technologies to map areas of the city that are most vulnerable to climate impacts. GIS can help visualise spatial trends, such as flood-prone zones

or areas experiencing heat island effects. Mapping climate vulnerability is critical for targeting adaptation measures.

4. Analyse Socio-Economic Data:

Collect socio-economic data to assess how different population groups are affected by climate change and how they might be impacted by CAP actions. Consider factors such as income levels, housing conditions, access to basic services, and employment. This analysis will help ensure that the CAP promotes climate justice by addressing the needs of the most vulnerable populations.

5. Synthesize Data for Baseline Assessment and Future Projections:

Synthesise the data collected to develop a baseline assessment that provides a snapshot of the city's current climate challenges and opportunities. Use this data to create future projections based on different scenarios, such as business-as-usual versus aggressive mitigation efforts. These projections will inform the CAP's long-term targets and strategy development.

6. Ensure Data Accuracy and Transparency:

Ensure that all data collected is accurate, well-documented, and transparent. This will facilitate future monitoring and evaluation efforts and provide credibility to the CAP. Where gaps exist in data availability, highlight these areas and propose strategies for improving data collection in future CAP updates.

The completion of stakeholder engagement and data collection establishes a strong foundation for the CAP. By engaging a broad range of stakeholders and collecting comprehensive data, the city ensures that the CAP is inclusive, data-driven, and tailored to its specific needs and challenges. The information gathered during this phase will serve as the basis for developing evidence-based strategies in the subsequent phases of the CAP.

PHASE 3



Development of the CAP Document

Objective:

Phase 3 involves developing the Climate Action Plan (CAP) document itself. This phase includes analysing the data collected, defining the city's climate targets, and formulating specific mitigation and adaptation strategies to achieve those targets. The CAP document will outline the city's current climate profile, goals, strategies, and implementation plans.

Baseline Assessment



What needs to be done

The baseline assessment provides a comprehensive snapshot of the city's current GHG emissions, climate vulnerabilities, and existing policies. It serves as the foundation upon which the CAP is built, offering a detailed understanding of where the city stands in terms of climate risks and mitigation opportunities. This assessment helps identify the key sectors contributing to emissions and the populations or areas most vulnerable to climate impacts.



How it should be done

1. Develop a GHG Inventory:

Use the data collected in Phase 2 to create a detailed GHG emissions inventory. This inventory should categorise emissions by sector (e.g., energy, forestry, agriculture, transportation, industry, waste) and provide estimates for each source of emissions. Include historical emissions data, where available, to identify trends over time.

Visualisations: Create charts and graphs that clearly show the breakdown of emissions by sector and identify major sources of GHG emissions. For example, a pie chart can visually communicate the contribution of each sector to the city's overall emissions.

2. Conduct a Climate Risk Assessment:

Perform a climate risk assessment to identify the key vulnerabilities faced by the city. This should include an analysis of how climate change is likely to affect critical infrastructure, public health, economic activity, and natural ecosystems. Use data from GIS mapping to highlight areas that are most at risk from hazards such as flooding, heatwaves, droughts, or sea-level rise.

Maps and Spatial Analysis: Use GIS to create maps that visualise areas vulnerable to specific climate risks. For example, a map showing flood-prone areas can help city planners prioritise flood mitigation projects.

3. Assess Existing Policies and Programs:

Review the city's current policies, plans, and programs that relate to climate change, urban development, energy use, and disaster management. Identify gaps in these policies where additional efforts are needed to align with climate goals. Document any existing initiatives that can be expanded or integrated into the CAP.

4. Communicate Baseline Findings:

Ensure the baseline assessment is clearly communicated in the CAP document using both text and visual aids. Provide a summary of the city's emissions profile, vulnerabilities, and policy landscape to set the stage for the next phase of target setting and strategy development.

Setting Targets and Goals



What needs to be done

Based on the baseline assessment, define clear and measurable targets for reducing GHG emissions, improving climate resilience, and addressing key vulnerabilities. These targets should be ambitious but achievable and aligned with national and international climate goals, such as the Paris Agreement and the Sustainable Development Goals (SDGs).



How it should be done

1. Facilitate Target-Setting Workshops:

Organise a series of workshops with the steering committee and key stakeholders, including government agencies, private sector representatives, and community groups. These workshops should focus on defining specific targets for GHG emissions reductions, renewable energy adoption, energy efficiency, and resilience-building measures. Key Questions for Workshops:

- What are the city's short-term (5-10 years) and long-term (2050) climate goals?

- How much should GHG emissions be reduced in the energy, transport, and waste sectors?
- What level of renewable energy generation is feasible by 2030?
- How can climate adaptation be integrated into existing infrastructure plans?

2. Align with National and International Commitments:

Ensure that the targets set during the workshops are aligned with the city's commitments under national climate policies (e.g., Nationally Determined Contributions) and international frameworks, such as the Paris Agreement. For example, if the country has pledged to achieve net-zero emissions by 2050, the city's CAP should set sectoral targets that contribute to this goal.

3. Set SMART Targets:

- Define SMART (Specific, Measurable, Achievable, Relevant, and Time-bound) targets for the CAP. Examples include:
 - Reducing GHG emissions by 30% by 2030 compared to baseline levels.
 - Increasing renewable energy generation to 40% of the city's total energy mix by 2030.
 - Reducing flood risk in vulnerable areas by 50% within the next 10 years through infrastructure improvements and ecosystem restoration.

4. Document and Communicate Targets:

Document the agreed-upon targets in the CAP and ensure they are communicated clearly. Use visual aids such as graphs and tables to illustrate the timeline for achieving these targets, showing progress points for 2025, 2030, and 2050.

Developing Mitigation and Adaptation Strategies



What needs to be done

Once targets are set, identify and prioritise the strategies that will be implemented to achieve these goals. This includes both mitigation strategies aimed at reducing GHG emissions and adaptation strategies designed to improve resilience to climate impacts. These strategies should be integrated across sectors to ensure a cohesive and comprehensive approach to climate action.



How it should be done

1. Identify and Prioritise Strategies:

Mitigation Strategies: Focus on actions that reduce emissions in key sectors such as energy, transport, waste, and land use. Examples include:

- Expanding solar and wind energy production.
- Promoting electric vehicles and expanding public transport.
- Enhancing energy efficiency in buildings.
- Increasing recycling and reducing waste sent to landfills.

Adaptation Strategies: Address the city's vulnerabilities to climate risks, such as:

- Building resilient infrastructure to withstand extreme weather events.
- Implementing water conservation and management strategies to combat droughts.

- Restoring natural ecosystems, such as wetlands and mangroves, to buffer against floods and storms.

2. Use Scenario Planning:

Employ scenario planning to evaluate different strategies based on their potential effectiveness, costs, and feasibility. For example, compare scenarios in which the city invests heavily in renewable energy versus scenarios with a stronger focus on energy efficiency. This approach will help stakeholders understand the trade-offs and synergies between different strategies.

Cost-Benefit Analysis: Conduct cost-benefit analyses for each strategy to determine which actions provide the greatest return on investment. This is particularly important for infrastructure projects, where the long-term benefits of resilience-building measures must justify the upfront costs.

3. Ensure Integration Across Sectors:

Ensure that mitigation and adaptation strategies are integrated across sectors. For example, energy efficiency improvements in buildings should be linked to climate resilience measures, such as ensuring that buildings are designed to withstand extreme weather events. Cross-sectoral collaboration will ensure that the strategies are complementary and avoid conflicting outcomes.

4. Document Strategies and Develop Action Plans:

For each strategy, develop detailed action plans that outline the specific steps required for implementation, the agencies or departments responsible, and the expected timelines. These plans should include monitoring and evaluation mechanisms to track progress and adjust strategies as needed.

Drafting the CAP Document



What needs to be done

The CAP document brings together all the elements developed in the previous phases, including the baseline assessment, targets, and strategies. The draft should be structured to ensure clarity and accessibility, providing a comprehensive yet understandable blueprint for the city's climate action efforts.



How it should be done

1. Assign Drafting Responsibilities:

Assign specific sections of the CAP document to relevant team members based on their expertise. For example, environmental experts can draft the sections on GHG inventories and mitigation strategies, while urban planners can contribute to the sections on adaptation and resilience.

Ensure that all sections are written in a coherent style and tone, and that there is consistency in the use of terminology and presentation of data.

2. Structure the CAP Document:

The document should follow a clear and logical structure, typically including the following sections:

- a. **Executive Summary:** A concise overview of the CAP, including its main goals, targets, and strategies.
- b. **Introduction:** Background on climate change and its impacts on the city, the importance of climate action, and the purpose of the CAP.

- c. **Baseline Assessment:** A summary of the city's current GHG emissions, vulnerabilities, and existing policies.
- d. **Targets and Goals:** Detailed, measurable targets for emissions reduction and climate resilience.
- e. **Mitigation Strategies:** An outline of the actions to be taken to reduce GHG emissions across sectors.
- f. **Adaptation Strategies:** A description of how the city will improve its resilience to climate impacts.
- g. **Implementation Plan:** A roadmap for executing the CAP, including responsibilities, timelines, and funding mechanisms.
- h. **Monitoring and Evaluation Framework:** An explanation of how the CAP's progress will be tracked and adjusted over time.

3. Use Visual Aids:

Incorporate visual aids such as graphs, charts, and maps to enhance understanding. For example, use bar charts to illustrate emissions reductions over time or maps to show areas most vulnerable to climate risks. Visuals make the document more engaging and help convey complex data in a more accessible manner.

4. Review and Revise:

Once the initial draft is completed, circulate it to the steering committee and key stakeholders for review. Collect feedback and make revisions where necessary to ensure that the CAP is comprehensive, feasible, and aligned with stakeholder expectations.

Phase 4:



Review, Approval, and Finalization

Objective:

Phase 4 focuses on the critical steps of reviewing, refining, and securing approval for the Climate Action Plan (CAP). This phase ensures that the draft CAP undergoes thorough internal and public review, is formally approved by city leadership, and is finalised for publication and dissemination to all relevant stakeholders.

such as those responsible for environment, urban planning, transportation, public works, and emergency management. Provide them with a timeline for feedback and specific areas they should focus on, such as sectoral strategies or legal compliance.

Ensure that each department reviews the sections of the CAP relevant to their responsibilities. For example, the energy department may focus on the feasibility of proposed renewable energy initiatives, while the transport department may assess the viability of proposed mobility solutions.

2. Hold Review Meetings:

Organise a series of review meetings to discuss the feedback provided by committee members and departments. Use these meetings to address any concerns or suggestions for improvement. Encourage open dialogue to ensure that the CAP reflects the city's realities and that all potential challenges have been considered.

During the meetings, assign specific actions or revisions to team members. This collaborative process helps ensure that all feedback is adequately addressed and that the CAP evolves based on diverse perspectives.

Internal Review



What needs to be done

An internal review of the draft CAP is essential to ensure its accuracy, comprehensiveness, and feasibility. This review should involve the steering committee and relevant city departments, ensuring that all critical elements of the CAP are aligned with city objectives, legal frameworks, and operational realities.



How it should be done

1. Distribute the Draft for Review:

Distribute the draft CAP to all members of the steering committee and key city departments,

3. Incorporate Feedback and Revise the CAP:

Based on the internal feedback, revise the draft CAP to ensure that all recommendations are incorporated. This may involve updating data, refining targets, adjusting strategies, or improving the clarity of certain sections.

Ensure that revisions maintain alignment with national and international climate commitments while addressing local priorities and resource constraints.

Public Consultation



What needs to be done

Public consultation is a critical step in ensuring that the CAP reflects the needs, priorities, and concerns of the community. By engaging the public and key stakeholders in the review process, the city can build broad-based support for the CAP and ensure that it aligns with local realities.



How it should be done

1. Organize Public Hearings:

Hold public hearings where community members, local businesses, NGOs, and other stakeholders can learn about the draft CAP and provide feedback. These hearings should be accessible, with opportunities for attendees to ask questions and share their views on the proposed strategies and targets.

Ensure that the public hearings are held in various locations across the city, including areas most affected by climate change, such as low-lying coastal regions or industrial zones. This will ensure that voices from all parts of the community are heard.

2. Conduct Online Consultations:

Launch an online consultation platform where residents can review the draft CAP, submit feedback, and participate in discussions. Provide a clear summary of the CAP's key elements and specific questions or areas where public input is needed.

Consider offering an interactive online tool that allows users to visualise the impact of proposed strategies. For example, a tool that shows how GHG emissions would change based on different scenarios could help the public understand the potential benefits of various actions.

3. Distribute Feedback Surveys:

Distribute surveys (both online and in print) to gather structured feedback from a wide range of stakeholders. These surveys can ask specific questions about the CAP's targets, proposed actions, and whether the plan adequately addresses local climate risks.

Ensure that surveys are designed to reach diverse groups, including vulnerable populations and those in informal settlements, by making them available in multiple languages and through community outreach efforts.

4. Analyse and Incorporate Feedback:

Collect and analyse the feedback received through the public hearings, online consultations, and surveys. Summarise common themes, concerns, and suggestions, and determine how best to incorporate this feedback into the CAP.

Use this feedback to refine the CAP and ensure that it is responsive to the community's needs and aspirations. Document how the public's input shaped the final version of the CAP to maintain transparency.

Approval by City Leadership



What needs to be done

Once the CAP has been revised based on internal and public feedback, it must be submitted to city leadership (e.g., the mayor, city council, or relevant government bodies) for formal approval. This step is critical to secure the political backing needed to implement the CAP.



How it should be done

1. Prepare a Presentation for City Leadership:

Create a detailed presentation that summarises the key elements of the CAP. Focus on its alignment with city priorities, national and international climate goals, and the legal and financial implications of its implementation.

Highlight the benefits of the CAP, such as emissions reductions, resilience to climate risks, improved public health, and potential economic opportunities through green investments. Ensure that the presentation is visually engaging and includes charts, graphs, and maps to illustrate key points.

2. Address Concerns and Answer Questions:

During the presentation, be prepared to address any concerns or questions raised by city leadership. This could include issues related to funding, feasibility, or potential conflicts with other city policies.

Provide detailed explanations on how the CAP aligns with the city's broader development goals, legal obligations, and financial plans. Demonstrating that the CAP is both achievable and beneficial to the city's long-term interests will help secure approval.

3. Seek Formal Approval:

Submit the revised CAP for formal approval through the appropriate channels. This could involve a vote by the city council, a mayoral decree, or endorsement by other relevant authorities. Ensure that all legal and procedural requirements for approval are met.

4. Document the Approval Process:

Once the CAP is approved, document the approval process, including any final revisions or conditions set by city leadership. This documentation will be important for transparency and for future reference as the CAP is implemented.

Finalisation and Publication

After securing approval, the CAP must be finalised and prepared for publication. The final version should be well-organised, visually appealing, and easily accessible to all stakeholders. Publishing the CAP ensures transparency and encourages broad support for its implementation.



How it should be done

1. Conduct a Final Review:

Before publishing, conduct a thorough review of the CAP to ensure consistency, accuracy, and clarity. Check that all data is up-to-date and that the language is clear and accessible to a broad audience, including non-experts.

Review the document for legal and policy alignment, ensuring that the CAP adheres to national laws and international commitments. Cross-check all references, citations, and data points to ensure accuracy.

2. Design the Document for Readability:

Work with a graphic designer to ensure that the CAP is visually appealing and easy to navigate. Use consistent headings, subheadings, and formatting to guide readers through the document. Include infographics, charts, and maps to break up text and make complex information more digestible.

Ensure that the document is available in multiple formats, including print, digital, and accessible formats (e.g., large print, audio versions) to reach all members of the community.

3. Publish and Disseminate the CAP:

Publish the CAP on the city's official website and make it easily downloadable. In addition, distribute printed copies to key stakeholders, including government departments, community organisations, and businesses.

Ensure that the CAP is disseminated widely through press releases, social media, and community events. Consider holding a public launch event where city leadership can formally present the CAP to the public and highlight its significance.

4. Ensure Accessibility:

Ensure that the CAP is accessible to all stakeholders by translating it into relevant local languages and distributing it through community centres, libraries, and schools. Engage local media to help raise awareness of the CAP and encourage public participation in its implementation.

Phase 5:



Implementation, Monitoring, and Reporting

Objective:

Phase 5 is dedicated to the crucial steps of implementing the Climate Action Plan (CAP), monitoring progress, and reporting to stakeholders. This phase ensures the successful execution of strategies, maintains accountability, and fosters transparency by communicating results. Proper planning and tracking are essential for achieving the CAP's targets for reducing emissions and enhancing climate resilience.

Implementation Planning



What needs to be done

A detailed implementation plan is essential to ensure the CAP's strategies are executed effectively. This plan should clearly define the roles and responsibilities of all relevant parties, establish timelines, and outline the financial and technical resources required. Coordination among various city departments is critical to ensure the integration of CAP strategies into broader urban development plans and budgets.



How it should be done

1. Develop the Implementation Plan:

Break down each of the CAP's strategies into actionable steps. For example, for renewable energy expansion, specify tasks such as identifying sites for solar panels, securing financing, and procurement.

Assign clear roles and responsibilities to relevant city departments. For instance, the Department of Public Works may oversee energy retrofitting, while the Department of Transport manages electric vehicle (EV) infrastructure projects.

2. Establish Timelines and Milestones:

Develop a timeline with realistic milestones for each major action item. These timelines should align with the city's development agenda and consider potential challenges such as budget constraints or logistical delays. For example, a milestone could be "achieve 50% completion of public building energy retrofits by 2024."

Use project management tools like Gantt charts to visually map out tasks and ensure deadlines are met.

3. Integrate the CAP into Existing Plans and Budgets:

Collaborate with city departments to integrate CAP strategies into existing urban plans and budgets. For example, energy efficiency targets should be part of housing development plans, while flood risk management strategies should align with city-wide disaster preparedness efforts.

Identify funding sources, such as city budgets, national climate finance, or public-private partnerships, to ensure that adequate resources are allocated for implementation.

4. Establish a Coordination Mechanism:

Set up a CAP Coordination Committee to oversee the implementation. This committee will be responsible for monitoring progress, resolving challenges, and ensuring collaboration across city departments.

Schedule regular coordination meetings to review progress, address delays, and ensure that CAP actions remain aligned with other city projects.

Monitoring and Evaluation



What needs to be done

A robust monitoring and evaluation system is essential for tracking the progress of CAP implementation and assessing its effectiveness. Monitoring ensures that the CAP is on track, while evaluation helps to measure the impact of the actions taken and whether they are delivering the expected results.



How it should be done

1. Define Key Performance Indicators (KPIs):

Establish KPIs for both mitigation and adaptation actions. These should be specific, measurable indicators linked to the CAP's targets. For example:

Mitigation KPIs: Percentage reduction in GHG emissions, increase in renewable energy capacity, or reduction in energy consumption from public buildings.

Adaptation KPIs: Number of flood defences constructed, reduction in heat-related hospital admissions, or increased green infrastructure in urban areas.

2. Set Up a Monitoring Schedule:

Develop a monitoring schedule with regular intervals for data collection and reporting, such as quarterly progress reports and annual public reviews. Monitoring should be continuous and adaptive to ensure progress is sustained.

Assign specific monitoring responsibilities to dedicated teams within the CAP Coordination Committee or city departments. They will collect data, assess progress, and report findings to decision-makers.

3. Use Impact Assessments:

Conduct impact assessments regularly to evaluate the CAP's overall effectiveness. For example, after two years of implementing renewable energy projects, assess whether expected GHG reductions are on target. This process will help to refine or adjust strategies where necessary.

Use tools like Geographic Information Systems (GIS) for spatial analysis of adaptation measures, and cost-benefit analysis tools to assess the financial impact of mitigation strategies.

4. Establish Feedback Loops for Adjustments:

Develop a system for feedback loops that allows for the findings from monitoring and evaluation to be used in making ongoing adjustments. If certain strategies are not achieving desired results, explore alternative solutions or allocate additional resources as needed.

Reporting and Communication

Regular reporting and transparent communication are key to keeping stakeholders informed about the progress of the CAP. Reporting not only builds trust and accountability but also fosters public support by highlighting successes and addressing challenges.



How it should be done

1. Prepare Regular Updates and Annual Reports:

Regular Updates: Provide frequent progress updates to key stakeholders, such as city leadership, community organisations, and external partners. These updates should summarise achievements, challenges, and upcoming steps.

Annual Reports: Publish annual reports that provide a detailed review of the CAP's progress. These reports should include emissions reductions, adaptation measures, and financial expenditures. Use visuals like charts, graphs, and case studies to make the reports engaging and accessible.

2. Develop Case Studies:

Create case studies to showcase successful CAP initiatives. For example, highlight how a new flood defence system has reduced risks in vulnerable areas, or how renewable energy projects have lowered the city's carbon footprint. These case studies can inspire other cities and attract further investment.

3. Utilise Multiple Communication Channels:

Use a variety of communication channels to reach diverse audiences:

City Website: Maintain a dedicated section on the city's website where stakeholders can access reports and updates.

Social Media: Use platforms like Twitter and Facebook to share progress, highlight successes, and promote community engagement.

Community Meetings: Hold public meetings to present progress and receive feedback from residents.

Newsletters: Distribute a quarterly CAP newsletter to keep stakeholders informed of developments and upcoming projects.

4. Celebrate Milestones:

Publicly celebrate key achievements to build momentum and reinforce the city's commitment to climate action. Hold events or press conferences to mark major milestones, such as the completion of significant infrastructure projects or reaching emissions reduction targets.

5. Ensure Accessibility and Inclusivity:

Ensure that all communication materials are available in accessible formats (e.g., large print, braille, digital, audio) and translated into relevant local languages. This ensures that information is accessible to all residents, including vulnerable or marginalised communities.

The successful execution of Phase 5 ensures that the CAP is not only implemented effectively but also continuously monitored, evaluated, and communicated. Through detailed implementation planning, robust monitoring systems, and transparent reporting, the city can meet its climate goals and make informed adjustments where necessary. This phase transforms the CAP from a planning document into tangible climate action that benefits the city and its residents.

Phase 6:



Continuous Improvement

Objective:

Phase 6 focuses on ensuring that the Climate Action Plan (CAP) remains dynamic and responsive to evolving climate challenges, new data, and policy changes. Continuous improvement involves periodic reviews and updates to the CAP, as well as building the capacity of city departments and stakeholders to sustain and advance climate action. This phase ensures that climate strategies are kept current and that the city administration remains flexible and well-equipped to meet future challenges.

Review and Update of the CAP

- Descriptive: Periodically review and update the CAP to reflect new data, emerging risks, and changing priorities.
- Instructive: CAP reviews to align with the timeline of the city's strategic planning document, ensuring coherence with broader urban development goals. Engage stakeholders in the review process and ensure updates are informed by the latest scientific findings, policy developments, and local priorities.

Capacity Building and Learning

- Descriptive: Build the capacity of city departments and stakeholders to implement and sustain climate action.
- Instructive: Organize training sessions, workshops, and peer learning opportunities. Foster a culture of continuous learning and adaptation within the city administration.

Review and Update of the CAP

It is essential to periodically review and update the CAP to reflect the latest scientific findings, emerging climate risks, advancements in technology, and shifting priorities. This ensures that the CAP remains relevant and effective in addressing the city's long-term climate goals. The review process should assess the progress made, identify any gaps or new opportunities, and incorporate updated data or policies into the plan.



What needs to be done

It is essential to periodically review and update the CAP to reflect the latest scientific findings, emerging climate risks, advancements in technology, and shifting priorities. This ensures that the CAP remains relevant and effective in addressing the city's long-term climate goals. The review process should assess the progress made, identify any gaps or new opportunities, and incorporate updated data or policies into the plan.



How it should be done

1. Establish a Review Timeline:

Set a regular review timeline for the CAP, typically every 3 to 5 years. This allows for sufficient time to implement actions while ensuring the CAP remains up-to-date. A regular review process also ensures that the city can adapt to changes in local conditions, emerging climate risks, and global developments.

Ensure the review timeline aligns with relevant national or international reporting cycles, such as updates to Nationally Determined Contributions (NDCs) or the publication of the latest Intergovernmental Panel on Climate Change (IPCC) reports.

2. Engage Stakeholders in the Review Process:

Involve key stakeholders in the review process, including city departments, community groups, the private sector, and academic institutions. Use workshops, focus groups, and public consultations to gather feedback on the CAP's progress and identify areas for improvement.

Ensure that the review process is transparent, allowing stakeholders to see how their input is incorporated into the updated CAP. This helps maintain broad-based support and ensures that the updated plan reflects the priorities of the community.

3. Align with Latest Science and Policy:

Ensure that CAP updates are grounded in the latest climate science and policy developments. For instance, new research on climate vulnerabilities, shifts in national climate targets, or advancements in climate technologies should inform updates to mitigation and adaptation strategies.

Keep abreast of emerging risks, such as new patterns of extreme weather or rising sea levels, and adjust the CAP to respond to these threats. Ensure that the city's climate targets are still

aligned with global frameworks like the Paris Agreement.

4. Incorporate Lessons Learned:

Use the review process to incorporate lessons learned from the implementation phase. If certain strategies have proven to be particularly successful (e.g., solar energy expansion or community-led resilience projects), consider scaling them up. Conversely, if certain initiatives faced challenges or delays, re-evaluate them to identify how they can be improved or whether alternative approaches are needed.

Capacity Building and Learning



What needs to be done

Building the capacity of city departments and stakeholders is critical for the long-term success of the CAP. This involves ensuring that local officials, staff, and community groups have the skills, knowledge, and resources necessary to implement and sustain climate action. Capacity building fosters a culture of continuous learning and adaptation, allowing the city to respond proactively to climate risks and take advantage of new opportunities as they arise.



How it should be done

1. Organise Training Sessions and Workshops:

Develop and deliver targeted training programmes to build the capacity of city officials and other stakeholders. These training sessions should focus on key areas such as:

- Climate Science: Understanding the basics of climate change, its impacts, and the city's specific vulnerabilities.

- **Mitigation and Adaptation Strategies:** Best practices for implementing climate-friendly policies in areas such as energy, transportation, waste management, and infrastructure.
- **Monitoring and Evaluation:** Training on how to track the progress of CAP initiatives, use data effectively, and report on outcomes.

Tailor workshops to specific audiences, ensuring that city departments responsible for implementing different parts of the CAP receive relevant, practical guidance. For example, the transport department may need specialised training on EV infrastructure development, while the public health department may need training on heatwave preparedness.

2. Foster Peer Learning and Collaboration:

Encourage peer learning by connecting with other cities and municipalities that are also working on climate action. This can include organising study tours, participating in city networks (e.g., Global Covenant of Mayors), or attending regional and international climate conferences.

Peer learning fosters the exchange of ideas, tools, and strategies, enabling cities to learn from one another's successes and challenges. For instance, if another city has successfully implemented a large-scale renewable energy programme,

Greenview could learn from their approach and adapt similar strategies.

3. Promote a Culture of Continuous Learning:

Within city departments, promote a culture of continuous learning and adaptation. Encourage staff to stay up-to-date with new developments in climate science, policy, and technology by providing access to online courses, research papers, and webinars on climate action.

Create opportunities for staff to experiment with innovative solutions. For example, provide seed funding for pilot projects that test new approaches to urban resilience or GHG reduction. Encouraging innovation will help the city stay at the forefront of climate action and build resilience to future climate challenges.

4. Engage Communities in Capacity Building:

Extend capacity-building efforts to local communities, particularly those most vulnerable to climate impacts. Offer workshops and community outreach programmes on topics such as energy efficiency, water conservation, and disaster preparedness.

Involving communities in climate action not only builds local resilience but also fosters a sense of ownership over the CAP's initiatives, making it more likely that these initiatives will succeed in the long term.



Chapter

3

Proposed Structure for the Climate Action Plan (CAP) Guidelines

3.1 MAYOR AND COUNCIL RESOLUTION

Objective: To officially formalise the local government's commitment to addressing climate change, the CAP must begin with a resolution passed by the mayor and city council. This section is critical as it signals political will and provides the authority and legitimacy to pursue climate action at the local level.

Step-by-Step Instructions:

1. Draft the Resolution:



Content Development: The resolution should begin by acknowledging the urgency of addressing climate change, emphasising that the city is vulnerable to the risks posed by global warming, sea-level rise, and extreme weather events. Highlight the importance of local action in complementing national and global climate commitments, such as the Paris Agreement. The resolution must include specific commitments to reducing greenhouse gas emissions (GHGs), improving resilience to climate impacts, and integrating climate considerations into urban planning and decision-making processes.

Include Targets: Outline measurable, time-bound targets for reducing GHG emissions, enhancing energy efficiency, and promoting renewable energy adoption. Specify key sectors such as transportation, buildings, and waste management that will be prioritised for intervention.

Roles and Responsibilities: Define the roles of the mayor, council members, and relevant city departments in implementing the CAP, ensuring accountability and transparency. Indicate that the resolution will guide future city decisions regarding land use, zoning, infrastructure, and public investments.

2. Council Review and Approval:



Present the draft resolution to the city council for initial review. Organise workshops or briefing sessions to explain the significance of the CAP, ensuring council members understand the implications of the resolution for the city's policy framework and resource allocation. Allow room for feedback and revisions based on council concerns, ensuring that the document reflects a collective commitment.

3. Public Consultation:



Open the resolution to public review by hosting community forums, stakeholder meetings, or using online platforms to gather input. Engaging the public early can build community buy-in, align city actions with citizens' priorities, and generate awareness around climate challenges and the local government's response. Highlight key changes or additions made due to public feedback in the final resolution to demonstrate responsiveness.

4. Formal Adoption and Announcement:



Once the resolution has been revised and approved by the city council, it must be formally adopted during a public council meeting. Encourage the mayor to issue a public statement reinforcing the city's leadership in climate action. To further build momentum, work with the media to communicate the resolution, explaining the urgency and the next steps the city will take to implement the CAP. Consider creating a symbolic signing event to signal the local government's commitment to citizens, stakeholders, and the broader community.

3.2 EXECUTIVE SUMMARY

Objective: The executive summary should provide a clear, concise overview of the Climate Action Plan. It must capture the essential elements of the plan, including its vision, goals, and key action items, allowing readers to understand the overall purpose and direction without having to read the entire document.

Step-by-Step Instructions:

1. Introduction to the Executive Summary:



Begin by framing the global context of climate change and its local relevance. This should include a brief description of how the city is affected by climate risks such as heatwaves, floods, droughts, or rising sea levels. Emphasise the necessity of climate action at the city level to protect the economy, public health, and natural resources.

2. Summarise the CAP's Vision and Objectives:



Clearly state the vision for the city's future in light of climate action. For instance, a vision might be "To become a carbon-neutral city by 2050, with a resilient economy and infrastructure capable of withstanding climate risks."

Outline the specific goals of the CAP. These could include: achieving a 40% reduction in GHG emissions by 2030, increasing renewable energy generation to 30%, enhancing flood resilience in low-lying areas, and integrating climate considerations into all city projects by a specific year.

3. Key Findings and Climate Risk Assessment:



Include a brief synopsis of the climate vulnerabilities identified during the preparation process. Highlight the most pressing risks, such as expected temperature increases, potential flooding zones, and the economic sectors most vulnerable to climate disruptions.

Summarise the findings from climate models or projections used in the plan, giving the reader a sense of the science behind the city's risk profile.

4. Summary of Proposed Actions:



List the top 5-10 priority actions that the city will implement over the next 5-10 years. These should include mitigation strategies, such as promoting green building standards, investing in renewable energy projects, and incentivising electric vehicles. Additionally, include adaptation measures like enhancing stormwater management systems, improving early warning systems for extreme weather events, and building climate-resilient infrastructure.

Briefly mention long-term actions or strategies that will be pursued beyond the initial implementation phase.

5. Call to Action and Next Steps:



Conclude the executive summary with a strong call to action for the community, businesses, and other stakeholders to engage in the implementation of the CAP. Stress the importance of collective action and the role each entity (government, private sector, civil society, residents) plays in making the CAP successful.

Outline immediate next steps for the city government, such as establishing a CAP steering committee, securing funding, and setting up monitoring mechanisms to track progress.

3.3 INTRODUCTION

Objective: The introduction provides the foundation for the CAP by outlining its background, objectives, and the process used to develop the plan. This section sets the tone for the rest of the document by emphasising the importance of addressing climate change at the local level.

Step-by-Step Instructions:

Background

1. Purpose and Rationale:



Begin by explaining why the CAP is necessary, focusing on the unique vulnerabilities of the city and the urgency of taking action. Discuss the role of cities as key actors in the global fight against climate change, emphasising that cities contribute significantly to GHG emissions but also hold the greatest potential for implementing meaningful solutions.

2. Scope of the CAP:



Clearly define the scope of the CAP, identifying which sectors will be targeted (e.g., energy, transportation, waste, water, buildings) and which geographic areas will be included. If the CAP covers the entire metropolitan area, make that explicit. If it focuses only on specific regions, such as flood-prone areas or coastal zones, explain the reasoning behind this focus.

Indicate the time horizon of the CAP, distinguishing between short-term (5 years), medium-term (10-15 years), and long-term goals (by 2050 or beyond).

Importance of Climate Action at the Local Level: _____

1. The Local Perspective:



Describe the importance of climate action from the perspective of local governance. Local governments are on the front lines of dealing with climate impacts and must prioritise policies that ensure the well-being of their residents. Discuss how the CAP complements other urban development plans, such as the city's economic development plan, disaster management strategy, or urban resilience framework.

2. Global and National Context:



Explain how the local CAP aligns with broader national policies (e.g., National Adaptation Plan, Nationally Determined Contributions) and international frameworks (e.g., the Paris Agreement). Emphasise that the CAP is not a standalone effort but part of a global movement to combat climate change.

Objective: _____

1. Strategic and Measurable Goals:



Detail the specific objectives of the CAP. These objectives should be measurable and framed within SMART (Specific, Measurable, Achievable, Relevant, Time-bound) criteria. For example, the CAP might aim to reduce citywide GHG emissions by 50% by 2040, improve energy efficiency in public buildings by 20%, or increase green spaces by 10 hectares by 2030.

2. Expected Outcomes:



List the long-term outcomes the city hopes to achieve, such as improved air quality, enhanced urban biodiversity, reduced heat island effects, or a shift to sustainable public transportation systems. Be specific about how these outcomes will benefit residents, particularly vulnerable populations, and how they will contribute to broader urban development goals.

Preparation Process: _____

1. Methodology:



Provide a detailed explanation of the methodological framework used in developing the CAP. Discuss the data collection methods, including how emissions inventories were calculated, the use of climate models, and vulnerability assessments. Cite any scientific tools or models that were used (e.g., Intergovernmental Panel on Climate Change [IPCC] frameworks, downscaled climate models).

If scenario planning was used to explore different climate futures (e.g., worst-case scenarios), explain how these scenarios informed the plan.

2. Stakeholder Involvement:



Detail the involvement of different stakeholders in the development process. This includes city departments, residents, local businesses, non-governmental organisations (NGOs), academic institutions, and vulnerable communities. Describe how public input was gathered (e.g., public meetings, surveys, participatory workshops) and how their feedback shaped the CAP's goals and priorities.

3. Alignment with National and International Commitments:



Explain how the CAP aligns with and contributes to national climate policies and international agreements. Provide references to the city's commitments under national climate laws, the Nationally Determined Contributions (NDCs), and the United Nations Sustainable Development Goals (SDGs). Indicate how the CAP is structured to ensure that the city meets its obligations under these frameworks.

3.4 GREENHOUSE GAS (GHG) INVENTORY

Objective: The GHG Inventory provides a comprehensive assessment of current and historical greenhouse gas emissions within the city, serving as the foundation for understanding the sources and drivers of emissions. This section enables the city to quantify its emissions, identify key areas for intervention, and track progress toward emission reduction targets.

GHG Emission Levels

Current GHG Emission Levels:

1. Data Collection:



Begin by compiling data on the city's current GHG emission levels. This should be done through a rigorous data collection process, sourcing information from city departments, utilities, and other relevant stakeholders. Key sectors to consider include transportation, buildings, energy production, waste management, and agriculture.

Ensure that data is standardised and collected according to established guidelines, such as those provided by the Intergovernmental Panel on Climate Change (IPCC) or the Greenhouse Gas Protocol.

2. Calculation of Emissions:



Use standard methodologies, such as IPCC's Tier 1, Tier 2, or Tier 3 approaches, to calculate GHG emissions in carbon dioxide equivalent (CO₂e). Document the conversion factors, emission factors, and any assumptions used during this process to ensure transparency and replicability.

Present the total emissions, ensuring to include the six main GHGs covered under international climate agreements: carbon dioxide (CO₂), methane (CH₄), nitrous oxide (N₂O), hydrofluorocarbons (HFCs), perfluorocarbons (PFCs), and sulphur hexafluoride (SF₆).

Emission Status by Sector (Energy, IPPU, Agriculture, FOLU, Waste):

1. Sectoral Breakdown:



Processes and Product Use (IPPU): Emissions from industrial activities and product use that are not energy-related, such as cement production or refrigeration gases.

Agriculture: Emissions from livestock, fertiliser use, and other agricultural activities.

Forestry and Other Land Use (FOLU): Emissions or sequestration from land-use changes, deforestation, or afforestation activities.

Waste: Emissions from landfills, waste treatment, and wastewater management.

2. Visual Representation:



Use graphs or charts to represent the emissions from each sector, making it easier for stakeholders to see which sectors contribute most to the city's total emissions.

A pie chart showing the percentage contribution of each sector to total emissions can be useful in illustrating this.

Key Category Analysis

Identification of Key Emission Sources:

1. Ranking Emission Sources:



Conduct a key category analysis to identify which emission sources are most significant. These could include energy production from fossil fuels, industrial processes, or emissions from transportation.

Rank the categories based on their contribution to the overall GHG emissions.

2. Prioritisation for Action:



The results of this analysis should be used to prioritise sectors for climate action. For example, if the energy sector is the largest contributor to emissions, prioritise actions like

energy efficiency improvements or a transition to renewable energy.

Uncertainty Analysis

Assessment of Uncertainties in GHG Estimates:

1. Quantifying Uncertainty:



Conduct an uncertainty analysis to quantify the uncertainty associated with each emission estimate. This is critical for understanding the confidence level of the data and highlighting

areas where additional data collection or refinement may be necessary. Uncertainty can stem from factors such as data quality, emission factor variability, or assumptions made during calculations.

2. Documenting Assumptions:



Clearly document the assumptions, methodologies, and data sources used to estimate emissions. This will help

assessors understand the reliability of the data and highlight areas where improvements in data collection could reduce uncertainty.

Explanation and Interpretation of the Uncertainty Analysis:

1. Presenting the Results:



Present the results of the uncertainty analysis in a clear and transparent manner. Consider using ranges or confidence intervals to indicate the level of uncertainty around each sector's

emissions estimate.

2. Policy Implications:



Interpret the results by explaining how uncertainties might affect decision-making. For example, if there is a high degree of uncertainty in emissions from the transportation sector, further

data collection may be required before making major policy decisions in that area.

Emission Trends

Analysis of Historical and Projected Emission Trends:

1. Historical Trends:



Analyse historical emissions data to identify trends in the city's GHG emissions over the past 10-20 years. Look for patterns in emissions growth or decline and relate these trends to external

factors such as population growth, economic development, or policy changes.

2. Projected Trends:



Use the historical data to project future emissions. This can involve modelling emissions under various scenarios, such as population

growth, economic activity, or technological advancements. Projected trends should be presented in line with local, national, and global climate targets.

Baseline Emission

Projections

Future Emission Scenarios under a Business-as-Usual (BAU) Scenario:

1. Developing the BAU Scenario:



Create a baseline or Business-as-Usual (BAU) emissions scenario, which assumes that no new climate policies or actions will be implemented beyond what is currently in place. This baseline is

crucial for understanding what the city's emissions would look like if no action is taken.

The BAU scenario should consider variables such as economic growth, demographic changes, and existing technological trends. Ensure that this scenario is realistic and based on reliable data.

2. Comparing the BAU Scenario with Emission Targets:



Once the BAU scenario has been developed, compare it with the city's climate targets, such as those derived from the Paris Agreement or national GHG reduction targets. This will help

identify the gap between where emissions are heading and where they need to be, thereby guiding future policy decisions and climate actions.

3. Scenario Analysis:



In addition to the BAU scenario, consider creating alternative future scenarios. These could include scenarios where ambitious climate actions are taken (e.g., widespread adoption of renewable

energy, electric vehicles, etc.) or scenarios where emissions increase due to economic growth without significant climate interventions. These scenarios can help decision-makers understand the impact of different policy choices on future emissions.

3.5 VULNERABILITY, POTENTIAL IMPACT, AND CLIMATE RISK ASSESSMENT

Objective: This section of the CAP aims to provide a thorough understanding of the city's vulnerability to climate change, the potential impacts of climate-related hazards, and a comprehensive climate risk assessment. It identifies high-risk areas, vulnerable populations, and prioritises actions to mitigate and adapt to these risks.

Climate-Related Disaster Profile

Historical Data on Climate-Related Disasters:

1. Data Collection:



Collect historical data on climate-related disasters that have affected the city. These disasters could include floods, droughts, heatwaves, storms, landslides, and other events linked to

extreme weather conditions. Sources may include local government records, disaster management agencies, academic research, and national or international climate databases (e.g., EM-DAT).

2. Event Documentation:



For each disaster, document key details such as the date, duration, severity, affected areas, and number of people impacted. Include information on economic

losses, infrastructure damage, and social disruption (e.g., displacements or fatalities). Where possible, use geographic

information system (GIS) mapping to visually represent the spatial extent of the disasters.

3. Trend Analysis:



Analyse trends in climate-related disasters over time, identifying whether certain types of events are becoming more frequent or severe. For example, increased urbanisation might exacerbate the impacts of floods, or higher temperatures may lead to more frequent heatwaves. This analysis will help identify long-term risks and inform mitigation strategies.

Calculation of Disaster Risk Indices: _____

1. Methodology:



Calculate disaster risk indices to assess the city's vulnerability to different climate-related hazards. Use standard methodologies such as the United Nations Office for Disaster Risk Reduction (UNDRR) Disaster Risk Index or localised indices developed by national authorities. These indices typically combine data on hazard frequency, exposure, vulnerability, and resilience to provide a composite risk score for each disaster type.

2. Interpretation:



Once the disaster risk indices have been calculated, interpret the results by highlighting which hazards pose the greatest risk to the city. For instance, the city might be at greater risk from coastal flooding due to sea-level rise, while other areas might face higher drought risks. Prioritise these risks in subsequent planning stages.

Climate Conditions and Projections _____

Current Climate Conditions:

1. Baseline Climate Data:



Provide a detailed description of the city's current climate conditions, focusing on temperature patterns, precipitation levels, humidity, wind patterns, and any other relevant climatic factors. Include seasonal variations and any anomalies (e.g., unusually high rainfall during certain seasons) that may influence the city's vulnerability to climate impacts.

2. Local Context:



Discuss how the local geography, including factors like elevation, proximity to coastlines, and urbanisation patterns, interacts with these climate conditions. For example, low-lying areas near rivers may be particularly prone to flooding, or densely built urban areas may experience heat island effects.

Projections of Future Climate Scenarios:

1. Climate Models and Scenarios:



Use downscaled climate models to project future climate scenarios. Depending on the city's geographic location and available resources, employ established climate scenarios such as those from the Intergovernmental Panel on Climate Change (IPCC), using Representative Concentration Pathways (RCPs) to model future outcomes under different emissions trajectories (e.g., RCP 2.6, RCP 4.5, RCP 8.5).

2. Key Projections:

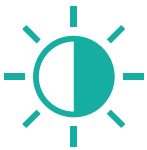


Present projections for key climate variables such as temperature increases, changes in precipitation patterns, the likelihood of more frequent and severe extreme weather events, and sea-level rise. Provide time horizons (e.g., 2030, 2050, 2100) to show how the climate might evolve in the short, medium, and long term. Use graphs, maps, and charts to make the data accessible.

Vulnerability and Impact Analysis

Assessment of Exposure, Sensitivity, and Adaptive Capacity:

1. Exposure:



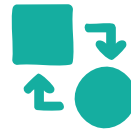
Identify areas of the city that are exposed to climate-related hazards. For example, coastal areas may be exposed to sea-level rise, while floodplains are vulnerable to riverine flooding. Use GIS mapping to visualise these exposure zones and overlay them with population density and land-use data.

2. Sensitivity:



Evaluate the sensitivity of different sectors and populations to climate hazards. Sensitivity refers to the degree to which a system is affected by climate changes. For example, elderly populations and low-income communities may be particularly sensitive to heatwaves, while agricultural sectors are highly sensitive to droughts.

3. Adaptive Capacity:



Assess the city's ability to adapt to climate risks. This involves evaluating the city's existing infrastructure, policies, and financial resources for coping with climate impacts. Identify gaps in adaptive capacity, such as inadequate drainage systems, poor emergency response protocols, or a lack of public awareness about climate risks.

Identification of High-Risk Areas and Populations:

1. Mapping High-Risk Areas:



Using the exposure and sensitivity data, map the high-risk areas where the impacts of climate change are likely to be most severe. This could include coastal areas at risk of storm surges, informal settlements prone to flooding, or agricultural zones facing increased drought risk.

2. Vulnerable Populations:



Identify populations that are disproportionately at risk from climate impacts. This could include low-income households, elderly individuals, people with disabilities, and communities in informal settlements. Understanding which groups are most vulnerable helps in designing targeted adaptation measures.

Climate Risk Assessment

Analysis of Extreme Climate Threats (Droughts, Floods, etc.):

1. Threat Identification:



Identify the primary extreme climate threats that the city faces, such as droughts, floods, extreme heat events, or cyclones. For each

threat, document its likelihood and potential severity. This assessment should be based on both historical data and future climate projections.

2. Impact Assessment:



For each identified threat, assess the potential impacts on the city's infrastructure, economy, environment, and public health. For example, prolonged droughts could threaten water supplies and agriculture, while heatwaves could increase heat-related illnesses and deaths, particularly among vulnerable populations.

Prioritisation of Risks Based on Urgency and Impact:

1. Risk Ranking:



Rank the identified climate risks based on both their urgency and their potential impact on the city. High-priority risks might include those that are likely to occur in the near term or have significant consequences for critical infrastructure or vulnerable populations. A scoring system can be used to compare the relative urgency and severity of different risks.

2. Actionable Insights:



Based on the prioritised risks, provide actionable insights into how the city should allocate resources and attention. For example, if floods and heatwaves are ranked as the highest risks, the city should focus on flood resilience measures (e.g., improving drainage systems) and heat action plans (e.g., increasing green spaces and cooling centres).

3. Integration with Existing Plans:



Ensure that the prioritised risks are integrated into the city's overall disaster risk reduction and urban planning strategies. This includes aligning the findings of the climate risk assessment with existing development plans, emergency response frameworks, and infrastructure projects.

3.6 CLIMATE ACTION PLAN

Objective: The Climate Action Plan (CAP) is the roadmap for addressing climate change at the city level, combining both mitigation and adaptation measures. It outlines the city's goals for reducing greenhouse gas (GHG) emissions, identifies sector-specific actions, and provides strategies for building resilience against climate impacts. This chapter includes detailed actions, tailored strategies for different regions or localities, and guidelines for implementing and monitoring climate actions.

3.6.1 Mitigation Actions

Emission Reduction Targets: _____

1. Establishing Clear GHG Emission Reduction Targets:

The city must set specific, quantifiable targets for reducing GHG emissions. These targets should be aligned with international agreements, such as the Paris Agreement, and national climate commitments like Nationally Determined Contributions (NDCs). The targets should be ambitious yet achievable, ensuring that the city contributes meaningfully to global climate efforts.

Short-Term Targets: These should focus on reductions within the next 5-10 years. For example, the city could aim to reduce GHG emissions by 25-40% by 2030. These short-term

targets are crucial for building momentum and demonstrating immediate climate action.

Long-Term Targets: Long-term targets typically extend to 2050 or beyond. The city might commit to reaching carbon neutrality or net-zero emissions by 2050, ensuring a sustainable future and alignment with global efforts to limit global temperature rise to 1.5°C.

2. Setting Sector-Specific Targets:

- Each sector should contribute to the overall GHG reduction target. This involves setting individual targets for the most carbon-intensive sectors, such as energy, transport, industry, and waste. For example:
- **Energy Sector:** Reduce emissions by 30-50% by transitioning to renewable energy sources and improving energy efficiency.
- **Transport Sector:** Cut emissions by 20-30% by promoting public transport, walking, cycling, and the use of electric vehicles (EVs).
- **Waste Sector:** Reduce methane emissions by 25% by improving waste management and recycling processes.

3. Legislation and Policy Integration:

The targets should be incorporated into local legislation, policies, and urban planning strategies. Ensure that these goals are reflected in key government documents, city development plans, zoning regulations, and infrastructure projects. This integration will ensure that climate action becomes a part of everyday decision-making.

Sector-Specific Mitigation Actions:



Energy Sector

1. Promote Renewable Energy:

- **Transition to Renewables:** Focus on increasing the share of renewable energy in the city's energy mix. This could involve investing in solar, wind, and hydropower projects. The city could set targets to generate 50% of its electricity from renewable sources by 2030 and 100% by 2050.
- **Incentivise Rooftop Solar:** Develop policies that provide financial incentives for households and businesses to install rooftop solar panels. These incentives might include subsidies, tax rebates, or feed-in tariffs that allow citizens to sell excess energy back to the grid.
- **Establish Energy Cooperatives:** Encourage community-based renewable energy cooperatives where local residents can collectively invest in and benefit from solar or wind projects.

2. Improve Energy Efficiency:

- **Building Retrofitting:** Implement large-scale energy efficiency retrofitting programmes for residential, commercial, and public buildings. This could include upgrading insulation, installing energy-efficient windows, and replacing outdated heating and cooling systems with more efficient alternatives.
- **Smart Grids and Energy Storage:** Invest in smart grid technologies and energy storage systems to ensure a stable and resilient energy supply, especially during peak demand or when renewable energy generation fluctuates.



Industrial Processes and Product Use (IPPU)

1. Adopt Cleaner Production Techniques:

- **Low-Carbon Technologies:** Encourage industries to adopt cleaner technologies that reduce emissions. This could include switching to lower-carbon fuels, improving process efficiency, and adopting carbon capture and storage (CCS) technologies.
- **Eco-Labelling and Certification:** Promote eco-labelling schemes that certify products with lower GHG footprints. This could incentivise companies to improve their sustainability practices and offer consumers more environmentally friendly choices.

2. Reduce Industrial Emissions:

Regulate High-Emitting Industries: Implement stricter emissions standards for industries with the highest GHG output, such as cement, steel, and chemicals. These standards should be regularly updated to ensure continuous improvement.



Agriculture, Forestry, and Other Land Use (AFOLU)

1. Sustainable Agriculture Practices:

- **Climate-Smart Agriculture:** Promote agricultural practices that reduce GHG emissions and enhance resilience to climate impacts. These include precision farming techniques, improved fertiliser management, and integrated pest management to minimise the need for chemical inputs.
- **Agroforestry:** Support the integration of trees into agricultural landscapes, which can improve carbon sequestration, reduce soil erosion, and enhance biodiversity.

2. Forest Conservation and Reforestation:

- **Protect Existing Forests:** Implement policies to halt deforestation and protect existing forests, particularly those that act as critical carbon sinks. Encourage sustainable forest management practices that allow for responsible use of forest resources without damaging ecosystems.
- **Reforestation and Afforestation Projects:** Develop large-scale reforestation and afforestation programmes, particularly in areas where forests have been degraded or cleared. These projects not only sequester carbon but also provide social and economic benefits to local communities through job creation and ecosystem restoration.



Waste Sector

1. Reduce Waste and Promote Recycling:

- **Waste Management Plans:** Develop comprehensive waste management plans to reduce landfill use, increase recycling rates, and promote waste separation at the source (e.g., organic, recyclable, and hazardous waste).
- **Organic Waste Management:** Implement composting programmes and methane capture systems to reduce methane emissions from organic waste in landfills. Encourage the use of organic waste for biogas production and energy generation.

2. Waste-to-Energy Solutions:

Waste-to-Energy Plants: Develop waste-to-energy plants that can convert non-recyclable waste into energy. This not only helps manage waste but also provides a renewable source of energy for the city.



Regional/Local Mitigation Plans

1. Tailored Regional Actions:

- Localised Mitigation Strategies: Design mitigation strategies that reflect the unique characteristics of different regions within the city. For example:
- Coastal areas may focus on reducing emissions from shipping and fishing industries.
- Industrial zones may prioritise lowering emissions from factories and transportation networks.
- Rural areas may focus on sustainable agricultural practices and reforestation efforts.
- Customised Solutions for Vulnerable Areas: Ensure that mitigation actions consider the specific needs of vulnerable regions, such as low-income neighbourhoods or informal settlements, where residents may lack the resources to adopt low-carbon solutions without financial assistance.

2. Community Engagement:

- Public Participation: Involve local communities in the design and implementation of mitigation plans. Community members can provide valuable input on local needs and opportunities for emission reductions. Public engagement also fosters a sense of ownership and responsibility for climate action.
- Local Climate Committees: Establish local climate committees to oversee the implementation of regional mitigation plans and to ensure that community voices are heard throughout the process.

3.6.2 Adaptation Actions

Adaptation Goals: _____

1. Establishing Climate Resilience Objectives:

Define the city's long-term adaptation vision, ensuring that it aligns with broader socio-economic development goals. The city should aim to protect its residents, ecosystems, and infrastructure from the adverse effects of climate change, with a focus on reducing the vulnerability of the most at-risk populations. Key Goals May Include:

- Protecting critical infrastructure from climate-related damage.
- Ensuring reliable access to water and other vital resources.
- Reducing health risks from heatwaves, floods, and vector-borne diseases.

2. Integrating Resilience into Planning:

Ensure that adaptation goals are integrated into city planning, disaster risk management, public health strategies, and infrastructure development. These goals should inform how new buildings are constructed, how land is used, and how transportation systems are designed, ensuring that all developments are resilient to future climate conditions.

Sector-Specific Adaptation Strategies: _____



Water Sector:

1. Flood and Drought Management:

- Flood Defences: Invest in infrastructure to prevent flooding, such as levees, stormwater

drainage systems, and flood barriers in vulnerable areas. Nature-based solutions like wetland restoration and the creation of green spaces can also reduce flood risks by absorbing excess water.

- **Water Conservation and Recycling:** Encourage the use of water-saving technologies in homes and industries. Invest in water recycling infrastructure and rainwater harvesting systems to ensure a reliable supply of water during periods of drought.



Health Sector:

1. Heatwave Preparedness:

- **Early Warning Systems:** Establish early warning systems that alert residents to impending heatwaves. Create public cooling centres, particularly in areas where vulnerable populations, such as the elderly or low-income households, may lack access to air conditioning.
- **Healthcare Infrastructure Resilience:** Retrofit hospitals and healthcare facilities to withstand extreme weather events, ensuring that they can continue to operate during emergencies. Include climate-related diseases (e.g., heat stress, respiratory issues, vector-borne diseases) in public health response plans.



Infrastructure and Built Environment:

1. Climate-Proofing Infrastructure:

- **Resilient Construction:** Update building codes to require resilient construction methods that can withstand climate extremes, such as elevated buildings in flood-prone areas or heat-resistant materials for urban buildings.

- **Green Infrastructure:** Invest in urban greening initiatives like the creation of parks, green roofs, and urban forests to mitigate heat island effects, improve air quality, and manage stormwater runoff.



Implementation Strategies:

1. Phased Implementation Approach:

- **Prioritising Actions:** Begin with high-priority adaptation measures that address immediate risks, such as flood defences or water conservation. As these are implemented, move towards longer-term strategies, such as retrofitting infrastructure and enhancing community resilience.
- **Institutional Coordination:** Establish clear roles and responsibilities for different government agencies, ensuring that they coordinate efforts on mitigation and adaptation actions. Create a central authority or climate task force to oversee the implementation of the CAP and ensure progress is made across all sectors.

2. Monitoring and Evaluation:

- **Setting Performance Indicators:** Develop indicators to measure the effectiveness of both mitigation and adaptation actions. These could include reductions in GHG emissions, improvements in public health outcomes, or reductions in the economic impact of climate-related disasters.
- **Continuous Feedback Loop:** Ensure that the CAP is regularly reviewed and updated based on new climate data, technology advancements, and feedback from stakeholders. This will ensure that the plan remains effective and responsive to changing circumstances.

3. Funding and Capacity Building:

- **Accessing Funding:** Identify potential funding sources for climate action, including national government programmes, international climate funds (e.g., Green Climate Fund), and private sector partnerships. Provide training for local government officials and stakeholders to improve their capacity to access these funds and implement climate projects effectively.
- **Capacity Building for Stakeholders:** Provide education and training to local communities, businesses, and civil society organisations on how they can contribute to climate action. This could include workshops on energy efficiency, waste reduction, or water conservation.

3.7 MONITORING, EVALUATION, AND REPORTING

Objective: This section establishes the framework for monitoring, evaluating, and reporting the implementation and effectiveness of the Climate Action Plan (CAP). Continuous tracking of progress, periodic assessments, and transparent reporting will ensure the CAP remains on course, allowing for timely adjustments and integration with broader national and global climate reporting mechanisms.

Monitoring Framework _____

Indicators and Metrics for Tracking Progress:

1. Development of Key Performance Indicators (KPIs):

The monitoring framework should define clear Key Performance Indicators (KPIs) that measure the progress of both mitigation and adaptation actions. These indicators must be specific, measurable, achievable, relevant, and time-bound (SMART).

For each section of the CAP, identify metrics that can provide insight into how well the objectives are being met.

Mitigation KPIs:

- **GHG Emission Reductions:** Measure the percentage reduction in GHG emissions across sectors (e.g., energy, transport, waste) compared to baseline levels.
- **Renewable Energy Adoption:** Track the percentage of energy derived from renewable sources within the city's energy mix.
- **Energy Efficiency Improvements:** Measure reductions in energy consumption per capita or per sector, focusing on public buildings, transportation systems, and industries.

Adaptation KPIs:

- **Flood Resilience:** Monitor the reduction in flood damage (e.g., fewer floods affecting critical infrastructure or residential areas).
- **Public Health Impact:** Measure reductions in heat-related illnesses or improvements in the response to extreme weather events (e.g., the number of public cooling centres established or populations protected from heatwaves).
- **Water Security:** Track the number of households or industries with access to secure water supplies during drought conditions or extreme rainfall events.

2. Data Collection and Management:

- **Establish a robust data collection system** that ensures accurate and timely data gathering across all sectors. Develop partnerships with local authorities, universities, and private stakeholders to collect data. Use Geographic Information Systems (GIS) and remote sensing for real-time data monitoring, particularly for environmental and land-use indicators.
- **Baseline Data:** It is essential to have well-established baseline data for each indicator

to track progress accurately. Ensure that this baseline is consistent with national and international standards to facilitate comparability.

3. Capacity Building for Monitoring:

Ensure that the personnel responsible for monitoring are trained in data collection, analysis, and reporting. Create a dedicated monitoring team within the city's environmental or planning departments to manage the process and produce periodic reports.

Evaluation Mechanism

Procedures for Periodic Assessment of CAP Effectiveness:

1. Establishing Evaluation Periods:

- Regular evaluations should be conducted to assess the effectiveness of the CAP and to identify any areas that require adjustments. These evaluations can occur annually for short-term progress and every 3-5 years for more in-depth assessments.
- Annual Evaluation: Focus on the short-term progress of the CAP, identifying whether the city is on track to meet its annual targets for GHG reductions, energy efficiency, and resilience building. This evaluation should also assess whether the mitigation and adaptation strategies are being implemented as planned.
- Mid-Term Evaluation (every 3-5 years): Conduct a more comprehensive review to evaluate the overall effectiveness of the CAP, including long-term progress toward emission reduction targets, adaptation goals, and the success of sector-specific actions. This mid-term evaluation should involve a detailed analysis of KPIs, the effectiveness of governance structures, and the engagement of stakeholders.

2. Evaluation Criteria:

- Relevance: Assess whether the CAP's objectives and actions remain relevant given new climate data, emerging technologies, or changes in city demographics and socio-economic conditions.
- Effectiveness: Evaluate whether the actions taken are achieving the desired outcomes. For example, is the city seeing a significant reduction in GHG emissions? Are vulnerable populations becoming more resilient to climate impacts?
- Efficiency: Analyse whether the resources allocated (financial, human, technical) are being used efficiently. Are there more cost-effective ways to achieve the same results? Can certain processes be streamlined to improve efficiency?

3. Stakeholder Involvement in Evaluation:

Engage a broad range of stakeholders in the evaluation process, including government agencies, community organisations, academic institutions, and the private sector. Stakeholders can provide feedback on the effectiveness of specific actions, help identify challenges, and suggest improvements.

Reporting Requirements

Frequency and Format of Reports:

1. Annual Progress Reports:

- Content: These reports should summarise the progress made in implementing the CAP over the past year. Include data on GHG emissions reductions, the success of adaptation measures, and any challenges or delays in achieving the planned targets. The report should also provide an updated list of upcoming actions for the next year.
- Format: Use a clear, structured format with key metrics, graphs, and visual aids to make the data accessible to a wide audience. The

report should include an executive summary for decision-makers and more detailed technical sections for professionals involved in the implementation.

- **Distribution:** Ensure that these reports are publicly available to promote transparency. They can be shared on the city's official website, through public forums, and with key stakeholders such as community groups, businesses, and national authorities.

2. Comprehensive Review Reports (Every 3-5 Years):

- **Content:** These reports should provide a deeper analysis of the CAP's overall effectiveness. They should include a reassessment of the original goals, long-term progress indicators, and lessons learned from the implementation process.
- **Format:** Comprehensive reports should combine qualitative and quantitative data. They should include case studies of successful initiatives, a detailed breakdown of sector-specific progress, and an analysis of the CAP's contributions to broader national or global climate goals.
- **Use of Independent Auditors:** For credibility and objectivity, consider involving independent auditors or evaluators to verify the accuracy of the data and the city's achievements.

Integration with National and Global Reporting Systems:

1. Alignment with National Reporting Systems:

- Ensure that the city's CAP reporting aligns with national climate reporting frameworks, such as National Communications (NCs)

and Biennial Update Reports (BURs) submitted to the United Nations Framework Convention on Climate Change (UNFCCC). This alignment will streamline data collection and allow the city's actions to be reflected in national reports.

- **Contribution to Nationally Determined Contributions (NDCs):** Link the city's emission reduction targets and adaptation goals to the country's NDCs, ensuring that local climate actions contribute to national and international commitments under the Paris Agreement.

2. Global Reporting Platforms:

- Consider using established global platforms, such as the Carbon Disclosure Project (CDP), Global Covenant of Mayors for Climate and Energy, and the United Nations Sustainable Development Goals (SDGs) reporting mechanisms, to share the city's climate achievements. These platforms provide visibility for the city's actions and foster knowledge exchange with other cities globally.
- **SDG Integration:** Track the CAP's contribution to the Sustainable Development Goals (SDGs), particularly Goal 13 (Climate Action), and other related goals such as SDG 6 (Clean Water and Sanitation), SDG 7 (Affordable and Clean Energy), and SDG 11 (Sustainable Cities and Communities). Reporting progress on these goals will showcase the city's holistic approach to sustainable development.

3. International Peer Review and Collaboration:

Engage in peer review processes with other cities, especially those participating in global climate networks. This collaboration can provide valuable feedback, foster innovation, and highlight successful strategies that can be replicated elsewhere.

3.8 CONCLUSIONS AND NEXT STEPS

Objective: This section provides a final summary of the Climate Action Plan (CAP), outlining the key findings, recommendations for future actions, and a detailed roadmap for implementing the CAP. It highlights the expected outcomes and offers guidance on how the city can continue its progress toward climate resilience and sustainability.

Summary of Key Findings

Recap of the CAP's Core Elements and Expected Outcomes:

1. Mitigation Actions:

- **Emission Reduction Targets:** The CAP sets ambitious yet achievable GHG reduction targets, aligned with national and international climate goals. These targets include short-term milestones (e.g., a 30% reduction by 2030) and long-term goals (e.g., carbon neutrality by 2050), ensuring the city's alignment with global efforts to limit temperature rise to 1.5°C.
- **Sector-Specific Mitigation Actions:** Key sectors—such as energy, transportation, waste, and industry—are targeted for emissions reductions. The CAP outlines detailed actions for each sector, such as transitioning to renewable energy, improving energy efficiency, and promoting sustainable land use practices.

2. Adaptation Actions:

- **Adaptation Goals:** The CAP addresses the city's vulnerabilities to climate change by setting clear adaptation goals, such as enhancing flood protection, improving public health resilience, and ensuring water security. These actions will reduce the city's exposure to climate risks and protect vulnerable communities.

- **Sector-Specific Adaptation Strategies:** Each sector, including water, health, and infrastructure, has tailored strategies to increase resilience. From upgrading healthcare facilities to building green infrastructure, the CAP provides a comprehensive approach to preparing for climate impacts.

3. Monitoring, Evaluation, and Reporting:

The CAP establishes a robust framework for tracking progress through key performance indicators (KPIs) and regular evaluations. This ensures that the city can adjust its actions as needed and continue improving its climate strategies over time.

Recommendations for Future Actions

Suggested Areas for Future Work or Improvements:

1. Continuous Adaptation and Flexibility:

- **Emerging Climate Data:** As new climate data becomes available, the city should remain flexible and adapt its strategies accordingly. This may include revisiting emission reduction targets or introducing new adaptation measures in response to evolving risks.
- **Technological Advancements:** Stay abreast of innovations in renewable energy, energy storage, and low-carbon technologies. The city should be ready to incorporate cutting-edge solutions that can accelerate progress towards decarbonisation.

2. Enhanced Public Engagement:

- **Strengthening Community Involvement:** The city should continue to engage communities, ensuring that local residents are actively involved in climate action. Expanding public outreach through awareness campaigns,

educational programmes, and public consultations will help increase participation and support for CAP initiatives.

- Collaboration with Stakeholders: Strengthen partnerships with local businesses, academic institutions, and civil society to leverage additional resources and expertise. Collaboration with the private sector can unlock investments in green infrastructure and low-carbon projects.

3. Scaling Up Financial Resources:

- Securing Climate Finance: The city should explore additional funding sources, including international climate finance mechanisms such as the Green Climate Fund, development bank loans, and private sector investments. Expanding the financial base will allow the city to implement large-scale mitigation and adaptation projects.
- Public-Private Partnerships (PPPs): Develop more public-private partnerships to share the financial burden of implementing climate solutions. These partnerships can support the development of green technologies, energy infrastructure, and urban resilience initiatives.

4. Capacity Building and Training:

Strengthening Institutional Capacities: Provide ongoing training for city officials, stakeholders, and local communities to enhance their capacity to implement the CAP. Building knowledge and expertise in areas such as climate science, sustainable urban planning, and green financing will ensure the CAP's long-term success.

Roadmap for Implementation _____

Timeline and Milestones for CAP Execution:

1. Short-Term Actions (1-3 years):

- Establish Governance Structures: Set up the governance framework for overseeing the CAP, including forming a dedicated climate action team and establishing regular reporting mechanisms.
- Initial Mitigation Steps: Begin implementing the most urgent and achievable mitigation actions, such as energy efficiency upgrades in public buildings, expanding renewable energy generation, and launching public awareness campaigns on reducing emissions.
- Immediate Adaptation Measures: Prioritise actions that address the most vulnerable populations and sectors. For example, initiate flood protection infrastructure projects, strengthen early warning systems, and build climate-resilient healthcare facilities.
- Monitoring and Baseline Data Collection: Establish the baseline data for key performance indicators (KPIs) and set up the monitoring systems necessary to track progress. Begin collecting data on GHG emissions, energy usage, and adaptation outcomes.

2. Medium-Term Actions (3-7 years):

- Scaling Renewable Energy and Energy Efficiency: Expand renewable energy projects, such as community solar farms and wind energy, and implement city-wide energy efficiency initiatives across industries and residential areas.
- Comprehensive Adaptation Projects: Implement larger adaptation projects, such as creating green infrastructure, upgrading drainage systems, and building resilience in key economic sectors, such as agriculture and tourism.

- Review and Adjust CAP: After the initial implementation period, conduct a mid-term review of the CAP. Adjust the action plan based on the evaluation of progress, emerging climate trends, and feedback from stakeholders.

3. Long-Term Actions (7-10 years and beyond):

- Achieving Major GHG Reductions: By this stage, the city should be seeing significant reductions in GHG emissions. Continue to focus on transitioning to 100% renewable energy, electrifying transport systems, and advancing sustainable land use practices.
- Building Full Climate Resilience: Ensure that adaptation measures are fully integrated into urban planning and infrastructure development. Strengthen community resilience through continued investment in climate-proof housing, healthcare, and social systems.
- Periodic Review and Global Integration: Continue to review the CAP every 3-5 years, adjusting actions as needed and ensuring alignment with national and international climate commitments. Integrate the city's progress into global reporting platforms such as the UNFCCC and the SDGs.

3.9 REFERENCES

Objective: This section provides a comprehensive list of all references, data sources, and additional documentation used in preparing the Climate Action Plan (CAP). It ensures transparency and credibility by detailing where the information, data, and methodologies were obtained.

Documentation and Data Sources:

1. Scientific and Technical Reports:

- Provide a list of all relevant scientific studies, reports, and technical documents that informed the development of the CAP. This may include:
 - Climate change impact studies conducted by academic institutions, governmental agencies, or international organisations (e.g., IPCC reports, UNFCCC documents).
 - National climate policies and strategies, such as Nationally Determined Contributions (NDCs), National Adaptation Plans (NAPs), and local government policies related to energy, transportation, and waste management.

2. Data Sources:

- Detail the specific data sources used to calculate greenhouse gas (GHG) emissions, assess climate risks, and develop mitigation and adaptation strategies. These may include:
 - National and local emissions inventories.
 - Climate models and projections from recognised organisations such as the Intergovernmental Panel on Climate Change (IPCC) or national meteorological agencies.
 - Socio-economic data from government censuses or relevant surveys.

3. Methodologies and Tools:

- Include the methodologies, software, and tools used in analysing data and developing the CAP. Examples include:
 - The GHG Protocol or IPCC Guidelines for National Greenhouse Gas Inventories.

- Geographic Information Systems (GIS) for mapping vulnerable areas or emissions sources.
- Climate risk assessment tools, such as the Climate Risk Information System (CRIS) or the World Bank's Climate Change Knowledge Portal.

4. Other Documents and References:

Provide citations for all other references, such as publications on best practices for urban resilience, case studies from other cities, and guidelines for climate-smart infrastructure development.

3.10 OTHERS

Objective: This section includes supplementary materials that provide additional context and clarity to the CAP. It may also outline how stakeholders were engaged in the development process and provide definitions for key terms used in the document.

Annexes

1. Data Tables:

- Include detailed data tables that support the findings in the CAP. These may consist of:
- GHG emissions by sector over time.
- Baseline data used in the climate vulnerability assessment.
- Projected climate impacts under different emissions scenarios (e.g., RCP 2.6, RCP 8.5).

2. Maps and Graphics:

- Provide visual representations of data that illustrate key findings, such as:
- Maps showing areas most vulnerable to flooding, drought, or heatwaves.

- Geographic distribution of GHG emissions by sector (e.g., transportation, industry, waste).
- Maps indicating where major mitigation and adaptation actions will take place.

3. Detailed Methodologies:

- Include a detailed explanation of the methodologies used to develop the CAP, such as:
- Steps taken to develop the GHG inventory and the models used to estimate emissions.
- The methodology for conducting the climate risk assessment, including how exposure, sensitivity, and adaptive capacity were evaluated.

Glossary

1. Definition of Key Terms:

- Provide a glossary defining key terms used throughout the document to ensure that all stakeholders and readers understand the technical language. Common terms to include might be:
- GHG Emissions: The release of greenhouse gases into the atmosphere from human activities.
- Carbon Neutrality: Achieving net-zero carbon dioxide emissions by balancing emissions with carbon removal or offsets.
- Climate Resilience: The ability to anticipate, prepare for, and respond to climate-related hazards and challenges.
- Renewable Energy: Energy generated from natural resources that are replenished on a human timescale, such as solar, wind, and hydro energy.
- Vulnerability Assessment: A process that identifies the degree to which a system is susceptible to, and unable to cope with, adverse climate impacts.

2. Abbreviations:

Include a list of abbreviations for organisations, scientific terms, and technical jargon used throughout the CAP. For example:

- GHG: Greenhouse Gas
- IPPU: Industrial Processes and Product Use
- AFOLU: Agriculture, Forestry, and Other Land Use
- RCP: Representative Concentration Pathways

Stakeholder Engagement

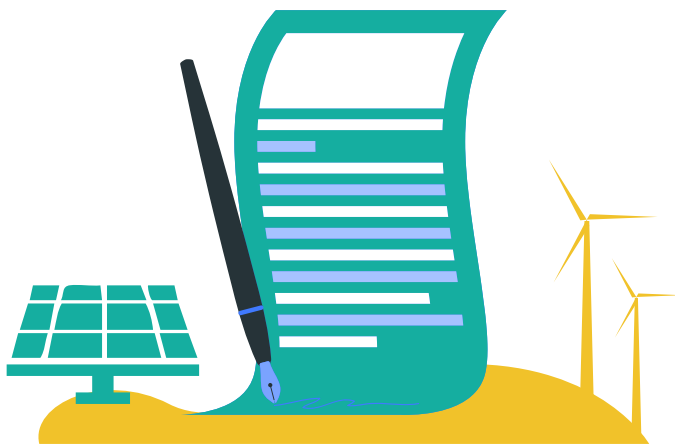
1. Process of Stakeholder Involvement:

- Describe the process of engaging stakeholders during the development of the CAP. This can include:
- Workshops and Consultations: Detail the public consultations, workshops, and meetings held with different stakeholder groups such as local government departments, businesses, civil society, and community organisations.

- Feedback Mechanisms: Explain how stakeholders were able to provide feedback on the CAP's proposed actions and targets, and how their input was incorporated into the final plan.

2. Ongoing Stakeholder Engagement:

- Outline how stakeholders will continue to be involved in the implementation, monitoring, and evaluation of the CAP. Examples may include:
- Citizen Advisory Boards: Establish advisory boards composed of community representatives, technical experts, and industry leaders to guide ongoing climate actions.
- Public Reporting and Transparency: Ensure that progress reports and CAP updates are shared with the public, providing opportunities for continued feedback and engagement.
- Collaborative Initiatives: Highlight any partnerships or collaborative projects with stakeholders that will contribute to the CAP's long-term success.



Chapter

4

Comprehensive Writing Guideline for Climate Action Plan (CAP) Document

4.1 DOCUMENT FORMATTING

General Layout

- **Paper Size:** Use A4 paper size (8.27 x 11.69 inches). This is the standard size for most official documents and ensures that the document is easily printable and consistent with professional norms.
- **Orientation:** Set the page orientation to portrait. Portrait orientation is generally preferred for documents as it allows for more readable line lengths and is easier to navigate.
- **Margins:**
 - o Top Margin: 1 inch (2.54 cm) to provide sufficient space for headers and to ensure the text doesn't feel cramped at the top of the page.
 - o Bottom Margin: 1 inch (2.54 cm) to allow space for page numbers and to provide a balanced layout.
 - o Left Margin: 1.25 inches (3.18 cm) to accommodate binding, if necessary, and to ensure that the text is easily readable.
 - o Right Margin: 1 inch (2.54 cm) for symmetry and readability.
- **Line Spacing:** Use 1.15 line spacing for the main body of the text. This spacing provides a balance between readability and content density, making the document easier to read without wasting too much space.
 - o For block quotes, footnotes, and references, use single spacing to differentiate these elements from the main text and save space.
- **Alignment:**
 - o Main Text: Justify the text. Justified alignment creates clean edges on both the left and right sides of the text, giving the document a polished and professional appearance.

- Headings: Align headings to the left to maintain a consistent and organized structure that helps readers easily navigate the document.
- Indent the first line of each paragraph by 0.5 inches (1.27 cm). This helps visually distinguish paragraphs, improving the flow and readability of the text.
- Do not indent the first line of text immediately following a heading or subheading, as the separation between the heading and the body text is usually sufficient to indicate a new section.

Fonts and Sizes

- Main Body Text: Use Times New Roman, size 12 pt for the main body of the text. Times New Roman is a widely accepted serif font known for its readability in long-form documents. The 12 pt size is standard, ensuring the text is neither too large nor too small.
- Headings: Use Arial or Calibri (Bold) for headings. These sans-serif fonts stand out from the main text, making headings easy to identify.
- Size:
 - Level 1 Heading: 16 pt. This is the primary heading and should be the largest to indicate its importance.
 - Level 2 Heading: 14 pt. Slightly smaller than Level 1, these headings indicate sub-sections of the document.
 - Level 3 Heading: 12 pt. This size matches the main text but is bold and italicized to differentiate it as a subheading.
- Footnotes and Captions: Use Times New Roman, size 10 pt for footnotes and captions. This smaller font size keeps these elements unobtrusive while still readable.

- Tables and Figures: Use Times New Roman or Arial, size 10 pt for content inside tables and figures. This font size is slightly smaller to fit more content without overcrowding, while still maintaining clarity.

Page Numbering

- Position: Place page numbers at the bottom center of the page. This placement is traditional and keeps the numbering out of the main text area, ensuring it doesn't distract the reader.
- Style: Use Arabic numerals (1, 2, 3...) for simplicity and universality.
- Sections: If your document has different sections (e.g., preface, main content, appendices), consider using distinct numbering styles (e.g., i, ii, iii for the preface) to clearly differentiate them. This is particularly useful in long documents where navigation is crucial.

4.2 HEADINGS AND SUBHEADINGS

Heading Levels

Level 1 Heading:

- Use Arial, 16 pt, Bold for the most prominent headings. These are your main sections, such as "Introduction" or "Climate Action Plan." They should be easily distinguishable from other text and indicate the start of a major section.
- Numbering: Use Roman numerals (I, II, III...) to give a formal, structured appearance that is easy to follow.
- Example: I. Introduction

Level 2 Heading:

- Use Arial, 14 pt, Bold for sub-sections under each main heading. These headings break down the main sections into more detailed parts.

- Numbering: Use Arabic numerals (1, 2, 3...) to maintain a clear hierarchical structure under the Roman numeral headings.
- Example: 1.1 Background

Level 3 Heading:

- Use Arial, 12 pt, Bold Italic for further sub-divisions within a section. These are used when you need to go into even more specific details within a sub-section.
- Numbering: Use nested numbering (1.1.1, 1.1.2...) to show that these are further subdivisions of the sub-sections.
- Example: 1.1.1 Objectives

Consistency

- Explanation: Consistency in heading styles and numbering is crucial for the readability and organization of your document. It helps the reader easily navigate through the document and understand the hierarchy of information.
- Instruction: Use the "Styles" feature in word processing software to apply and maintain consistent formatting for all headings. This ensures that if you need to make a change (e.g., font size or style), it can be applied uniformly across the entire document.

4.3 TABLES AND FIGURES

General Guidelines

- Placement: Always place tables and figures close to the text where they are first mentioned. This helps readers immediately see the relevant data or image without having to flip through pages, improving the flow of information.

- Alignment: Center tables and figures on the page. This creates a balanced and professional look, ensuring that tables and figures stand out from the main text but remain aligned with the overall document layout.
- Spacing: Insert a single line space before and after each table or figure. This spacing visually separates the tables and figures from the surrounding text, making the document easier to read and more organized.

Tables

Title:

- Place the title of the table above the table itself. This ensures that readers understand what the table represents before viewing the data.
- Format: Use the format "Table X.X: Title" where X.X corresponds to the chapter and table number (e.g., Table 3.1: Emission Reduction Targets). This numbering system helps in easily referencing the table within the text.
- Font: Use Times New Roman, 12 pt, Bold to make the title prominent and easily identifiable.
- Alignment: Left-align the title to maintain consistency with the text alignment and ensure it is easily readable.

Content:

- Headers: Use clear and concise column headers to describe the data in each column. Avoid abbreviations unless they are commonly understood or have been defined earlier in the document.
- Formatting: Avoid using too many colors in tables. Instead, use shading or bolding to highlight important data points. This keeps the table looking professional and ensures that it remains clear when printed in black and white.

Footnotes:

- Place any explanatory notes or sources below the table. These notes should provide context or clarify any unusual data points or methodology.
- Font: Use Times New Roman, 10 pt, *Italic* to differentiate footnotes from the main table content while keeping them legible.

Figures (Charts, Graphs, Images, etc.)

Title:

- Place the title below the figure. This follows the convention for most academic and professional documents, where the title and caption explain the figure after it has been viewed.
- Format: Use the format "Figure X.X: Title" where X.X corresponds to the chapter and figure number (e.g., Figure 2.1: Projected Climate Changes). This makes it easy to reference the figure in the text.
- Font: Use Times New Roman, 12 pt, **Bold** to ensure the title is clear and prominent.
- Alignment: Left-align the title for consistency with table titles and to maintain a clean layout.

Captions:

- Provide a brief explanation or description directly below the title. This should give readers enough context to understand what the figure represents without needing to refer back to the main text.
- Font: Use Times New Roman, 10 pt, **Regular** to keep the caption clear but unobtrusive.
- Resolution: Ensure all images and figures are of high resolution (300 DPI minimum). High-resolution images ensure that the

figures are clear and professional-looking, even when printed. Low-resolution images can appear blurry or pixelated, detracting from the document's quality.

Referencing in Text

- Explanation: When referencing tables and figures in the text, it's important to guide the reader clearly to the relevant data or image.
- Instruction: Use phrases like "As shown in Table 2.1..." or "Refer to Figure 3.2..." to direct the reader's attention to the specific table or figure. This ensures that the reader knows exactly where to find the relevant visual information and helps integrate the visuals with the narrative text.

4.4 CITATIONS AND REFERENCES

Citation Style

- Preferred Style: Use APA (American Psychological Association) 7th Edition for all citations. APA is widely used in research and policy documents, ensuring that your citations will be recognized and correctly understood by a broad audience.
- In-text Citations: Use the author-date format for citations within the text (e.g., Smith, 2020). This format provides enough information for the reader to locate the full reference in the reference list without disrupting the flow of the text.
- For direct quotes, include page numbers (e.g., Smith, 2020, p. 15). This level of detail is necessary for readers who may want to verify the quote or see it in its original context.

Reference List

- Placement: Place the reference list at the end of the document, before any appendices. This makes it easy for readers to find the full details of any sources cited in the text.
- Format:
 - o Title the section References, and center it on the page in bold. This makes it clear that this section contains all the citations.
 - o List entries in alphabetical order by the author's last name. This standard practice allows readers to quickly locate references.
 - o Use Times New Roman, 12 pt for consistency with the main text.
 - o Apply a hanging indent of 0.5 inches (1.27 cm) to each reference entry. This format makes each reference easier to read and scan.
- Examples:
 - o Books: Follow the format: Author, A. A. (Year). Title of work: Capital letter also for subtitle. Publisher.
 - o Example: Smith, J. (2020). Climate Change and Urban Planning: Strategies for Resilient Cities. Routledge.
 - o Journal Articles: Use the format: Author, A. A. (Year). Title of article. Title of Periodical, volume number(issue number), pages.
 - o Example: Brown, L. (2019). Urban adaptation to climate change: A review. Environmental Research Letters, 14(2), 1-10.
 - o Websites: Format as: Author, A. A. (Year, Month Date). Title of web page. Site Name. URL.
 - o Example: United Nations. (2020, October 5). UN climate action. <https://www.un.org/en/climatechange>

Footnotes

- Usage: Use footnotes sparingly. They should be reserved for supplementary information that might clutter the main text or for providing cross-references. Avoid using footnotes for citations, as this can make the document harder to read.
- Format:
 - o Use Times New Roman, 10 pt for footnotes to keep them unobtrusive yet readable.
 - o Number footnotes sequentially using Arabic numerals. This method is standard and makes it easy for readers to follow.
 - o Place footnotes at the bottom of the page where they are referenced, so readers can easily find the additional information without losing their place in the main text.

4.5 DOCUMENT SECTIONS

Title Page

- Components: The title page should include the title of the document, any subtitles, the name of the organization or author(s), and the date of publication. This information provides the essential details at a glance.
- Format:
 - o Center all content on the title page to create a balanced and formal appearance.
 - o Use a larger font size (e.g., 18 pt) for the title to make it stand out as the main focus of the page.

Table of Contents

- Content: Include all major sections, sub-sections, tables, figures, and appendices in the table of contents. This provides an overview of the document's structure and helps readers quickly locate specific sections.

Format:

- Generate the table of contents automatically using your word processing software. This ensures accuracy and allows for easy updates as you make changes to the document.
- Use Times New Roman, 12 pt for consistency with the rest of the document.
- Utilize dotted leaders (e.g., ...) to connect section titles with page numbers, improving readability.

Executive Summary

- Length: Aim for 1-2 pages for the executive summary. This concise summary should provide a snapshot of the entire document, focusing on key findings, recommendations, and conclusions.

Content:

- Summarize the document's main points without introducing new information. The goal is to provide enough detail to give a complete overview while encouraging the reader to explore the full document.
- Use clear and straightforward language, avoiding technical jargon where possible.

Format:

- Use Times New Roman, 12 pt, with 1.5 line spacing to match the main text.
- Consider using bullet points or numbered lists for key findings and recommendations to improve readability.

Main Body

Structure:

- Follow the logical sequence outlined in the CAP structure, ensuring that each section flows naturally into the next. This helps maintain the reader's interest and understanding.

Content:

- Write in a clear, concise style. Each paragraph should convey a single idea or argument, supporting it with evidence or examples where necessary.
- Avoid jargon or overly technical terms unless they are essential. When using specialized language, provide definitions or explanations at first use to ensure all readers can follow the discussion.

Appendices

- Content: Include supplementary materials such as raw data, detailed methodologies, or additional maps in the appendices. This allows you to keep the main document focused while still providing access to detailed information for those who need it.
- Numbering: Use letters for numbering appendices (e.g., Appendix A, Appendix B) to clearly differentiate them from the main text sections.
- Format: Use the same font and size as the main text, with headings in bold, to maintain consistency across the document.

4.6 LANGUAGE AND STYLE

Tone

- Professional and Formal: Maintain a formal tone throughout the document. This means avoiding colloquial language and ensuring that your writing is respectful and appropriate for a wide audience, including policy makers, professionals, and the public.
- Objective: Write in the third person and focus on presenting data and analysis impartially. This creates a sense of neutrality and professionalism, which is especially important in policy documents.

Clarity and Conciseness

- **Clear Language:** Use straightforward, unambiguous language. Avoid complex sentence structures that could confuse the reader. The goal is to convey information as clearly as possible.
- **Conciseness:** Be brief without losing necessary detail. Avoid redundant words or phrases, and ensure that every sentence contributes to the reader's understanding of the subject.

Active vs. Passive Voice

- **Active Voice:** Prefer the active voice to make sentences more direct and engaging. Active voice typically makes the writing clearer and more dynamic.
- **Example:** "The city implemented new climate policies" (active) is clearer and more engaging than "New climate policies were implemented by the city" (passive).

Consistency

- **Terminology:** Use consistent terminology throughout the document. If you introduce a term or acronym, define it on first use and stick to that term throughout the document. Consistency in language helps prevent confusion.
- **Verb Tense:** Use past tense for discussing completed research or data collection, and present tense for general statements or ongoing actions. This helps keep the timeline of events clear to the reader.

4.7 EDITING AND PROOFREADING

Review Process

- **Multiple Rounds:** Conduct several rounds of editing and proofreading. Start with a content edit to ensure the document is clear and logically organized. Follow this with a line edit to refine the language, and finally, a proofreading round to catch any spelling or grammatical errors.
- **Peer Review:** Consider having a colleague or subject matter expert review the document. Fresh eyes can catch errors you might have missed and provide

Check for Consistency

- **Headings:** Verify that all headings and subheadings follow the same formatting and numbering system. Inconsistencies can confuse the reader and make the document appear unprofessional.
- **References:** Cross-check all in-text citations against the reference list to ensure every citation has a corresponding entry. This step is crucial to maintain credibility and avoid plagiarism.

Grammar and Spelling

- **Spelling:** Use a spell checker to catch basic errors, but also manually review the text. Automated tools might miss correctly spelled words used in the wrong context (e.g., "form" instead of "from").
- **Grammar:** Pay close attention to subject-verb agreement, proper use of punctuation, and correct sentence structure. Even small grammatical errors can detract from the document's professionalism.



Chapter

5

Tools and Templates

5.1 UCLG ASPAC CLIMATE ACTION PLAN (CAP) TEMPLATE

Section 1: Mayor and Council Resolution

Objective: Provide the local government's formal commitment to climate action.

Content: Include a resolution acknowledging the urgency of addressing climate change, set measurable targets (e.g., GHG emission reduction), and define the roles and responsibilities of city departments.

To Fill:

- a) Draft of the resolution text:
- b) Specific targets for GHG reductions:
- c) Roles and responsibilities defined in the resolution:
- d) Public consultation process and feedback summary:
- e) Date of formal adoption:

Section 2: Executive Summary

Objective: Summarize the CAP's key goals and proposed actions.

To Fill:

- a) City's climate vision and key objectives:
- b) Summary of the city's climate risk assessment:
- c) Priority mitigation and adaptation actions:
- d) Call to action for stakeholders:
- e) Next steps for implementation:

Section 3: Introduction

Objective: Provide background on the CAP, its purpose, and the process of development.

To Fill:

- a) Background on why the city needs a CAP:
- b) Scope of the CAP (e.g., sectors covered):
- c) Time horizon (e.g., short-term, medium-term, and long-term goals):
- d) Methodology used to develop the CAP:
- e) Stakeholder involvement and alignment with national/international climate commitments:

Section 4: Greenhouse Gas (GHG) Inventory

Objective: Provide current and historical data on GHG emissions.

To Fill:

- a) Data collection sources (e.g., sectors such as transportation, energy):
- b) Calculation methods and tools used (e.g., IPCC guidelines):
- c) Emission levels for each sector:
- d) Visual representation (graphs or charts):
- e) Key emission sources ranked by priority:

Section 5: Vulnerability and Risk Assessment

Objective: Assess the city's exposure to climate risks and prioritize areas for intervention.

To Fill:

- a) Historical data on climate-related disasters:
- b) Vulnerability of key sectors (e.g., water, agriculture):

- c) Projections of future climate conditions (e.g., floods, heatwaves):
- d) Areas of the city at highest risk:
- e) Identification of vulnerable populations:

Section 6: Climate Action Plan (Mitigation and Adaptation)

Objective: Define specific actions to reduce GHG emissions and build resilience.

To Fill:

- a) GHG reduction targets:
- b) Sector-specific actions (e.g., energy, waste management):
- c) Adaptation strategies for key sectors (e.g., flood protection, heatwave preparedness):
- d) Timeline for implementing actions:
- e) Monitoring and evaluation framework:

Section 7: Monitoring, Evaluation, and Reporting

Objective: Establish a framework to track the implementation and impact of the CAP.

To Fill:

- a) Key performance indicators (KPIs) for mitigation and adaptation:
- b) Methods for data collection and management:
- c) Evaluation schedule (e.g., annual, mid-term):
- d) Reporting requirements and distribution channels:
- e) Stakeholder involvement in the monitoring process:

Section 8: Conclusions and Next Steps

Objective: Summarize the CAP's key outcomes and provide a roadmap for future action.

To Fill:

- a) Recap of the CAP's core elements:
- b) Future actions or improvements suggested:
- c) Timeline and milestones for implementation:
- d) Continuous stakeholder engagement:
- e) Funding and capacity-building strategies:

Section 9: References and Supporting Materials

Objective: Provide all references, data sources, and tools used in developing the CAP.

To Fill:

- a) List of scientific reports, data sources, and methodologies:
- b) Maps, charts, and data visualizations:
- c) Any supplementary materials (e.g., glossary of terms, stakeholder engagement process)

5.2 CHECKLISTS

Action items and key points to remember during CAP development.

Action Item	Details/Key Points	Completion Status
A. Preparation and Planning		
✓ Establish a Steering Committee	Ensure representation from all relevant departments (e.g., environment, urban planning, transportation).	
	Include stakeholders from the community, private sector, and civil society.	
	Define roles, responsibilities, and decision-making processes.	
✓ Define Objectives and Scope	Clearly outline the goals of the CAP (e.g., emission reduction, resilience building).	
	Determine the scope (e.g., sectors covered, geographical boundaries).	
	Align objectives with national and international climate goals.	
✓ Develop a Work Plan and Timeline	Create a detailed timeline with milestones and deadlines.	
	Assign tasks to team members with clear expectations.	
	Include contingencies for potential delays or obstacles.	
B. Stakeholder Engagement and Data Collection		
✓ Conduct Stakeholder Mapping	Identify all relevant stakeholders (government agencies, NGOs, community groups).	
	Assess stakeholder influence and interest in the CAP process.	
	Develop a strategy for engaging high-priority stakeholders.	
✓ Engage Stakeholders	Organize initial consultations to gather input and build consensus.	
	Use various engagement methods (public meetings, surveys, focus groups).	
	Document all stakeholder feedback and incorporate it into the CAP.	
✓ Collect and Analyze Data	Gather data on current greenhouse gas (GHG) emissions, climate risks, and socio-economic factors.	
	Ensure data is accurate, up-to-date, and relevant to the CAP's objectives.	
	Use tools like GIS for spatial analysis and modeling.	
C. CAP Document Development		
✓ Conduct a Baseline Assessment	Prepare an inventory of current GHG emissions.	
	Analyze climate risks and vulnerabilities in the city.	
	Review existing policies, plans, and initiatives related to climate action.	
✓ Set Clear Targets and Goals	Establish measurable targets for GHG reduction (e.g., 20% reduction by 2030).	
	Set adaptation goals to increase resilience in vulnerable areas.	
	Ensure targets are SMART (Specific, Measurable, Achievable, Relevant, Time-bound).	
✓ Develop Mitigation and Adaptation Strategies	Identify key mitigation actions across sectors (energy, transportation, waste).	
	Develop adaptation strategies to address identified risks and vulnerabilities.	
	Prioritize actions based on impact, feasibility, and cost-effectiveness.	
✓ Draft the CAP Document	Follow the proposed structure and writing guidelines.	
	Include clear, accessible language and visual aids (charts, maps).	
	Ensure the draft is comprehensive yet concise.	
D. Review, Approval, and Finalization		
✓ Internal Review	Distribute the draft CAP to the steering committee and key stakeholders.	
	Collect and integrate feedback to refine the document.	
	Ensure the CAP aligns with legal and regulatory requirements.	

✓ Public Consultation	Organize public hearings or online consultations to solicit broader input.	
	Provide a summary of the CAP and key points for discussion.	
	Document all feedback and address major concerns in the final document.	
✓ Obtain Formal Approval	Present the final CAP to city leadership (e.g., mayor, city council) for approval.	
	Prepare a briefing or presentation summarizing the CAP's key components.	
	Address any remaining concerns or questions from city leadership.	
✓ Finalize and Publish the CAP	Conduct a final review for consistency, accuracy, and completeness.	
	Design the document for both print and digital distribution.	
	Publish the CAP online and distribute it to stakeholders and the public.	
E. Implementation, Monitoring, and Continuous Improvement		
✓ Develop an Implementation Plan	Assign specific responsibilities to departments and agencies.	
	Outline the resources required (budget, personnel, tools).	
	Set a timeline for the implementation of each action item.	
✓ Establish a Monitoring Framework	Define key performance indicators (KPIs) for each target.	
	Set up a schedule for regular monitoring and reporting.	
	Use monitoring data to assess progress and make adjustments as needed.	
✓ Plan for Continuous Improvement	Schedule periodic reviews of the CAP (e.g., every 3-5 years).	
	Engage stakeholders in the review process to ensure continued relevance.	
	Update the CAP based on new data, emerging risks, and evolving priorities.	
✓ Communicate Progress	Regularly report progress to stakeholders and the public.	
	Highlight successes, challenges, and lessons learned.	
	Use multiple channels (reports, websites, social media) to reach different audiences.	

5.3 GLOSSARY OF TERMS

Adaptation:

The process of adjusting to actual or expected climate change and its effects. In cities, this includes actions that reduce vulnerability to climate risks, such as building flood defences, improving water management, and creating heat-resistant infrastructure.

Adaptation Co-Benefits:

The additional positive outcomes that result from implementing climate adaptation measures, such as improved air quality, enhanced biodiversity, or reduced energy consumption.

Adaptive Capacity:

The ability of a system (e.g., a city, community, or ecosystem) to adjust to climate change, moderate potential damage, and cope with the consequences.

Air Quality Index (AQI):

A numerical scale used to measure and report air quality levels. Higher values indicate poorer air quality, which can affect public health and the environment. Climate action plans often aim to reduce emissions contributing to poor air quality.

Ambitious Climate Action Scenario:

A scenario where comprehensive, bold actions are taken to significantly reduce greenhouse gas emissions and enhance resilience to climate change, in alignment with international climate goals such as the Paris Agreement.

Baseline Assessment:

An evaluation of the current state of greenhouse gas emissions, climate vulnerabilities, and existing policies. This serves as a reference point for setting future targets and measuring progress in a CAP.

Biodiversity:

The variety of life in all its forms, including species, ecosystems, and genetic diversity. Protecting biodiversity is critical for maintaining ecosystem services and building climate resilience.

Blue Carbon:

Carbon captured by coastal and marine ecosystems, such as mangroves, seagrasses, and salt marshes. These ecosystems store carbon at high rates, helping to mitigate climate change while

**Business-as-Usual
(BAU) Scenario:**

A projection of future emissions and climate impacts assuming no significant changes in policy or behaviour. The BAU scenario often shows how the climate would evolve without additional interventions.

Capacity Building:

The process of developing the skills, knowledge, and abilities of individuals and institutions to plan and implement effective climate action, including training, workshops, and peer learning.

Carbon Budget:

The amount of carbon dioxide (CO₂) that can be emitted over a certain period while keeping global warming within a specific target (e.g., 1.5°C or 2°C). A CAP helps cities stay within their local or regional carbon budget.

Carbon Footprint:

The total amount of GHG emissions caused directly or indirectly by an individual, organisation, or product, measured in CO₂ equivalent (CO₂e). Reducing the carbon footprint is a core objective of the CAP.

Carbon Neutrality:

Achieving net-zero carbon dioxide emissions by balancing emissions with carbon removal from the atmosphere or offsets. Carbon neutrality is a key goal in climate action plans.

Circular Economy:

An economic system aimed at minimising waste and making the most of resources by reusing, repairing, refurbishing, and recycling materials. The CAP supports circular economy initiatives to reduce GHG emissions and waste.

**Climate Change
Mitigation:**

Efforts to reduce or prevent the emission of greenhouse gases into the atmosphere. Mitigation can involve increasing energy efficiency, transitioning to renewable energy, and improving waste management practices.

Climate Justice:

The fair treatment of all people and the protection of their rights in climate action. Climate justice addresses the disproportionate impacts of climate change on vulnerable populations, including low-income communities, indigenous peoples, and marginalised groups.

Climate Resilience:

The ability of a city, community, or system to anticipate, prepare for, and recover from climate-related hazards such as floods, heatwaves, and droughts.

Climate-Sensitive Infrastructure:

Infrastructure designed or retrofitted to withstand climate impacts, such as flood-proof buildings, energy-efficient homes, and public transport systems resilient to extreme weather events.

Cost-Benefit Analysis (CBA):

A method of comparing the costs of an action (e.g., climate mitigation or adaptation measures) with its benefits, such as reduced disaster damage or economic gains from energy savings.

Decarbonisation:

The process of reducing or eliminating carbon dioxide emissions from an economy or sector, typically by transitioning to renewable energy sources and improving energy efficiency.

Disaster Risk Reduction (DRR):

Measures taken to reduce the damage caused by natural hazards such as extreme weather events, earthquakes, or floods. DRR strategies are key components of climate adaptation in a CAP.

Ecosystem-Based Adaptation (EbA):

The use of biodiversity and ecosystem services as part of a strategy to help people and cities adapt to climate change. Examples include restoring wetlands to manage floodwaters or planting trees to provide urban cooling.

Electrification:

Replacing technologies or systems that rely on fossil fuels with those that use electricity (especially from renewable sources). Electrification is a key strategy for reducing GHG emissions in sectors like transport and industry.

Emissions Factor:

A coefficient used to estimate the amount of greenhouse gases emitted per unit of activity, such as CO₂ produced per kilowatt-hour of electricity used. Emissions factors are crucial for calculating a city's carbon footprint.

Flood Risk Management:

Actions or strategies to reduce the risk and impact of flooding, such as building flood defences or improving drainage systems. CAPs often include flood risk management as part of their adaptation efforts.

GHG Inventory (Greenhouse Gas Inventory):

A comprehensive accounting of all GHG emissions generated by a city or organisation, typically broken down by sector (e.g., energy, transport, industry, waste). It includes direct and indirect emissions.

Green Bonds:	Financial instruments used to fund projects that deliver environmental or climate benefits. Cities can use green bonds to finance renewable energy, energy-efficient buildings, or sustainable transport systems.
Green Infrastructure:	A network of natural and semi-natural spaces designed to deliver environmental, social, and economic benefits. Examples include parks, green roofs, and street trees that help reduce urban heat and improve air quality.
Greenhouse Gas Protocol (GHG Protocol):	An international accounting tool used to quantify and manage GHG emissions. It provides the framework for cities to develop GHG inventories and set emissions reduction targets.
Heatwave:	A prolonged period of excessively high temperatures that can pose serious health risks and strain energy systems. CAPs often focus on building resilience to heatwaves through urban cooling and public health measures.
Integrated Urban Planning:	A planning approach that aligns multiple sectors (e.g., housing, transport, energy, environment) with climate goals, ensuring that climate actions are integrated into broader urban development.
Just Transition:	A framework ensuring that the transition to a low-carbon economy is fair and inclusive, protecting workers, creating green jobs, and supporting vulnerable communities during the shift away from fossil fuels.
Key Performance Indicators (KPIs):	Specific, measurable metrics used to track the progress of climate actions, such as GHG emissions reductions, renewable energy capacity increases, or resilience-building measures.
Lifecycle Assessment (LCA):	An analysis of the environmental impacts of a product or service over its entire life cycle, from raw material extraction to disposal. LCAs help cities identify climate actions that deliver the greatest long-term benefits.
Low-Carbon Development:	Economic development that reduces GHG emissions while promoting growth. This includes expanding renewable energy, improving energy efficiency, and adopting sustainable practices in sectors like transport and industry.

Mitigation Actions:	Specific actions taken to reduce or eliminate GHG emissions, such as expanding renewable energy, enhancing energy efficiency, or promoting electric vehicles.
Monitoring and Evaluation (M&E):	A system for tracking the progress of a CAP and assessing its effectiveness. Monitoring involves data collection, while evaluation determines whether climate goals are being met.
Nationally Determined Contributions (NDCs):	Climate action plans submitted by countries under the Paris Agreement, outlining their targets for reducing GHG emissions and adapting to climate change.
Nature-Based Solutions (NbS):	Climate strategies that use natural processes and ecosystems to address challenges like flooding, heatwaves, and biodiversity loss. Examples include restoring wetlands and creating green urban spaces.
Net-Zero Emissions:	Achieving net-zero emissions means balancing the remaining GHG emissions with an equivalent amount of carbon removal, either through natural or technological means.
Paris Agreement:	An international treaty adopted in 2015 at COP21 in Paris, where countries committed to limiting global warming to well below 2°C, preferably 1.5°C. The agreement focuses on reducing emissions, increasing resilience, and providing financial support for developing nations.
Pluvial Flooding:	Flooding caused by heavy rainfall overwhelming drainage systems in urban areas, rather than flooding from rivers or the sea. CAPs often address pluvial flooding through improved stormwater management.
Regenerative Design:	A design approach that aims to restore or improve the environment, such as buildings that generate more energy than they consume or landscapes that restore ecosystems.
Renewable Energy:	Energy derived from sources that are replenished naturally, such as wind, solar, geothermal, and hydropower. Expanding renewable energy is a key element of climate change mitigation.

Low-Carbon Development:

Economic development that reduces GHG emissions while promoting growth. This includes expanding renewable energy, improving energy efficiency, and adopting sustainable practices in sectors like transport and industry.

Representative Concentration Pathways (RCPs):

Scenarios developed by the IPCC to describe different climate futures based on varying levels of GHG emissions. RCP 2.6 assumes substantial emissions reductions, while RCP 8.5 represents a high-emissions scenario.

Resilience-Building Measures:

Actions taken to increase a city or community's ability to recover from climate-related shocks, such as floods, droughts, or heatwaves.

Scenario Planning:

A process used to explore different future possibilities by considering various variables, such as changes in emissions, technology, and policy. Scenario planning helps cities prepare for multiple potential climate outcomes.

Social Vulnerability:

The susceptibility of certain populations (e.g., low-income, elderly, marginalised groups) to the negative impacts of climate change due to factors such as poverty, lack of resources, or inadequate infrastructure.

Sustainability:

The capacity to maintain ecological, social, and economic systems over the long term without depleting resources or causing harm to the environment.

Sustainable Development Goals (SDGs):

A set of 17 global goals adopted by the UN in 2015, aimed at ending poverty, protecting the planet, and ensuring prosperity for all by 2030. SDG 13 focuses on Climate Action.

Tipping Point:

A critical threshold where small changes in the climate system can lead to large, irreversible effects. Understanding potential tipping points, such as polar ice melt, is important for long-term climate resilience.

Urban Greening:

Introducing or expanding green spaces in cities, such as parks, gardens, or tree-lined streets, to reduce heat islands, improve air quality, and provide natural areas for residents.

Urban Mobility:

The movement of people and goods within urban areas. CAPs often include sustainable urban mobility strategies, such as promoting public transportation, cycling, and walking, to reduce emissions from transport.

**Vulnerability
Assessment:**

An evaluation of how susceptible a city or population is to the impacts of climate change, based on factors such as exposure, sensitivity, and adaptive capacity. Vulnerability assessments help prioritise adaptation efforts.

Zero Carbon:

A state in which no carbon dioxide emissions are produced, typically by using 100% renewable energy sources and eliminating reliance on fossil fuels.

Zero Carbon:

A state in which no carbon dioxide emissions are produced, typically by using 100% renewable energy sources and eliminating reliance on fossil fuels.

6.1 WHY INTEGRATION INTO DEVELOPMENT PLANNING MATTERS



Chapter

6

Integrating Climate Action Plans into Subnational Medium-Term Development Planning

Climate Action Plans (CAPs) are most effective when they are not treated as stand-alone environmental documents or as separate policy agendas, but rather as strategic instruments that guide development planning. The effectiveness of this approach stems from the policies, institutional structures, budgeting systems, and monitoring mechanisms already embedded in the development process, which serve as a solid basis for implementation.

The aim of this chapter is to provide step-by-step guidance on how to integrate a CAP into development planning documents. In this context, integration refers to **“the incorporation of climate action programmes and activities — covering both mitigation and adaptation — into sectoral policies and plans.”** It is distinct from a dedicated or stand-alone mitigation and adaptation planning approach, in which specific climate objectives, policies, and programmes are developed as a separate planning framework designed to achieve mitigation targets and adaptation goals as a core function. Instead, an integrated approach incorporates climate action into existing development planning processes, allowing implementation through established government institutions and systems.

A CAP may contain robust climate analysis, ambitious targets, and well-designed mitigation and adaptation actions, but its practical value will remain limited if it is not connected to the formal planning systems used by local and regional governments. When a CAP is disconnected from development planning systems, several challenges commonly emerge. Climate actions may remain unfunded, sectoral departments may not recognise their responsibilities, monitoring systems may fail to track climate-related progress, and changes in political leadership and priorities may undermine continuity in implementation.

Integrating CAPs into development planning systems helps address these challenges by embedding climate priorities into regular government processes. It enables climate considerations to inform and influence infrastructure development, land-use decisions, sectoral programmes, budgeting, procurement, and monitoring. It also strengthens policy coherence by ensuring that policies related to transport, housing, energy, waste, water, disaster risk reduction, and public health support climate objectives rather than contradict them. The purpose of integration is not merely to mention climate change in a development plan. Rather, it is to ensure that climate actions are embedded within the way governments plan, finance, implement, and evaluate development. In other words, climate actions need to be translated into the language, structures, and processes of development planning.

Why Medium-term Development Planning?

Medium-term development planning frameworks are critical because they translate long-term visions into actionable, measurable, and time-bound operational priorities. These priorities are embodied in sectoral programmes and activities, each of which includes specific performance indicators to track implementation and results. Such frameworks determine which programmes are prioritised based on the local government's vision and mission policy objectives, and available fiscal resources, making it the principal mechanism for guiding government action over the planning period.

This document focuses specifically on medium-term development planning at the subnational level. In many countries, subnational governments are granted a degree of autonomy to formulate their own development plans within the scope of their legal mandates and planning responsibilities. Consequently, the programmes and activities discussed in this document should be understood within the context of the functions and authorities

assigned to subnational governments, which may vary across countries and administrative systems. As a result, the planning approaches and sectoral priorities presented here may need to be adapted to reflect the specific institutional and regulatory context of each jurisdiction.

6.2 UNDERSTANDING MEDIUM-TERM DEVELOPMENT PLANNING FRAMEWORKS

Medium-term development planning refers to the process through which governments define development priorities, identify sectoral programmes, allocate responsibilities, and guide implementation over a multi-year period, commonly ranging from three to five years. The exact name and legal status of these plans differ across countries, but their core function is broadly similar: to organise government action over a manageable planning cycle.

These frameworks function as a critical link between long-term development visions and annual implementation plans. While long-term plans may define broad aspirations for economic transformation, urban growth, environmental sustainability, infrastructure development, and social inclusion, medium-term development plans operationalise these goals by translating them into periodic priorities, programmes, activities, performance indicators, and institutional mandates. In turn, these medium-term plans serve as the primary reference for the formulation of annual work plans and budget allocations, thereby ensuring continuity between strategic objectives and day-to-day implementation.

Medium-term development planning frameworks perform several key governance functions. They establish strategic priorities, coordinate sectoral development, guide public investment, define institutional responsibilities, connect planning with budgeting, and support monitoring and evaluation. Each of these functions provides an opportunity for CAP integration.

Although governance systems vary, most medium-term development plans contain common components: a development vision, strategic objectives, sectoral priorities, programmes and projects, institutional arrangements, financing frameworks, and monitoring indicators. Each of these components provides an entry point for integrating climate action. For example, the development vision can include resilience and low-carbon development; strategic objectives can include emissions reduction and adaptation; sectoral priorities can embed climate action into transport, housing, waste, water, energy, and health; financing frameworks can identify investment needs; and monitoring systems can track progress towards climate objectives.

The key point is that climate integration should not be limited to one section of the development plan. Instead, it should be mainstreamed across the structure of the plan. Climate action becomes more powerful when it influences the development vision, sectoral programmes, investment choices,

institutional responsibilities, and performance indicators simultaneously.

6.3 ENTRY POINTS FOR CAP INTEGRATION INTO MEDIUM-TERM DEVELOPMENT PLANNING SYSTEM

CAP integration requires clear entry points. An entry point refers to a specific part of the planning and governance system where climate priorities can be inserted, aligned, translated, or institutionalised. While planning systems vary across countries, three entry points are particularly important for integrating CAPs into medium-term development planning: strategic planning, sectoral planning, and financial planning. These entry points are interconnected. Strategic planning translates climate ambitions into actionable development priorities, sectoral planning translates it into programmes and activities on climate-related specific sectors (e.g., energy, agriculture, housing, industry, etc.), and financial planning or budgeting provides resources needed for implementation.

Table 6.1 Key Entry Points for CAP Integration

Entry Point	Main Function	Examples of Integration
Strategic planning	Embeds climate action into development direction	Incorporating resilience, low-carbon development, sustainability, and liveability into the development vision and strategic objectives
Sectoral planning	Translates CAP priorities into operational programmes and activities	Integrating climate actions into transport, energy, waste, water, housing, health, spatial planning, and disaster risk reduction
Financial planning	Secures the financial resources needed for climate action	Integrating CAP actions into annual budgets, investment plans, climate budget tagging, and infrastructure pipelines



Strategic planning is often the first entry point for climate integration, as it translates climate ambitions into actionable development priorities. Rather than being confined to an environmental chapter, climate action should be embedded within the overall development vision. This ensures that climate resilience, low-carbon development, environmental sustainability, and liveability are recognised as core development priorities that guide future policies, programmes and investments.



Sectoral planning focuses on developing sector-specific interventions in the form of programmes and activities where climate consideration is relevant. Thus, this is where CAP integration becomes operational. Most greenhouse gas (GHG) emissions and climate risks are connected to sectors such as transport, energy, housing, waste, water, public health, land use, and disaster risk reduction. Because sectoral planning is linked to the municipal agencies/institutions that are mandated to manage these sectors, successful integration of climate priorities depends on their plans and programmes. If sectoral agencies do not integrate climate priorities into their plans, the CAP will remain disconnected from implementation.



Financial planning is required to ensure that climate priorities identified through strategic and sectoral planning are translated into government budget allocations and investment decisions. This helps ensure that climate actions and objectives are supported by adequate financing and implemented through established government financial management systems.

These key entry points are typically reflected in the planning products that form part of a government's medium-term development planning framework. While the specific planning instruments and their nomenclature may vary across regions and countries, most planning systems include strategic planning, sectoral planning, and financial planning as core components. As such, they provide the primary mechanisms through which climate priorities can be mainstreamed into government planning, budgeting, implementation, and monitoring processes.

Why These Key Entry Points Matter

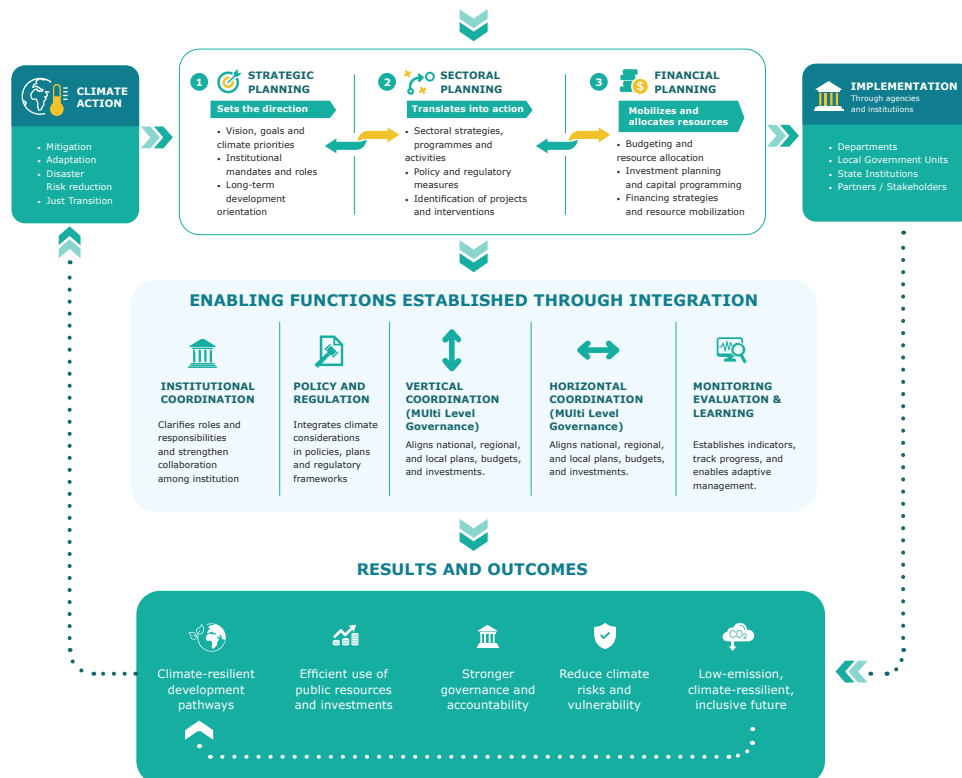


The integration of climate action into strategic planning, sectoral planning, and financial planning is critical to ensure effective implementation. These planning instruments do more than define priorities and allocate resources; they establish the **institutional arrangements, policy and regulatory frameworks, coordination mechanisms, and monitoring systems** that guide government action.

Through strategic planning, climate objectives can be embedded within broader development priorities and institutional mandates. Sectoral planning translates these priorities into programmes and activities undertaken by responsible agencies, while financial planning connects them to budgeting and investment decisions. Together, these planning processes facilitate both vertical coordination across levels of government and horizontal coordination across sectors and institutions, while also provide a basis for monitoring progress and ensuring accountability. Without integration into these core planning systems, climate action risks remaining fragmented, under-resourced, and disconnected from routine government processes.

INTERGRATED PLANNING FOR CLIMATE ACTION IMPLEMENTATION

Integrating climate action across planning and financial systems ensures that priorities are translated into action, resourced, and delivered effectively.



6.4. STEP-BY-STEP GUIDANCE FOR LOCAL AND REGIONAL GOVERNMENTS

This section provides practical guidance for local and regional governments on how to integrate Climate Action Plans (CAPs) into medium-term development planning systems. The steps are designed to help governments move from broad climate commitments towards concrete integration in development priorities, sectoral programmes, institutional responsibilities, budgets, and monitoring systems.

The integration process does not need to be identical in every country. Planning systems vary across governance contexts, and local governments may have different levels of authority, fiscal capacity, and institutional arrangements. However, the underlying logic of integration remains broadly similar: CAP priorities must be reviewed, aligned, translated, assigned, financed, implemented, and monitored.

Before presenting the step-by-step guidance, it is important to highlight several key considerations regarding the use of this guideline:

- CAP integration should be understood as a structured governance process rather than a one-time exercise of inserting climate-related language into planning documents. Accordingly, the steps presented in this guideline should not be viewed as a strictly linear process. As a living document, a CAP should be reviewed and updated based on implementation progress, emerging challenges, and evolving development priorities. Where appropriate, updates to the CAP should be aligned with the local government's development planning cycle to ensure that climate priorities remain relevant and continue to inform subsequent planning and budgeting processes.
- The integration of climate actions into

development planning documents should therefore be accompanied by regular monitoring and evaluation to assess progress towards climate goals. The lessons and evidence generated through this process should, in turn, inform subsequent planning cycles, creating a continuous feedback loop between climate action implementation and development planning.

- c. The steps presented in this guideline should be applied flexibly and adapted to local contexts. Local and regional governments differ significantly in their

institutional capacities, availability of climate data, planning systems, and governance arrangements. With that in mind, some governments may already have established climate information systems and coordination mechanisms, while others may need to begin with more foundational activities, such as stakeholder mapping, institutional assessment, and inter-agency coordination. Accordingly, the sequence and depth of implementation may vary depending on local circumstances and readiness.

The first step is to understand the structure,

Table 6.2 Step-by-Step CAP Integration Process

Step	Main Purpose	Key Output
Step 1. Review the Existing Development Planning Framework	To understand the structure, planning cycle, institutions, budgeting arrangements, and monitoring systems that shape development planning and identify entry points for CAP integration.	Diagnostic of planning opportunities and gaps, including relevant planning documents, institutions, budget linkages, and climate mainstreaming entry points.
Step 2. Map CAP Priorities against Development Priorities	To identify where climate adaptation and mitigation priorities are absent, underrepresented, or insufficiently integrated within development planning frameworks.	Prioritised climate actions and a gap analysis showing how CAP priorities can be incorporated into development objectives, programmes, activities, and investment priorities.
Step 3. Translate CAP Actions into Programmes, Activities, and Projects	To convert broad climate ambitions into specific and implementable interventions that can be incorporated into planning and budgeting instruments.	A portfolio of actionable programmes, activities, and projects with defined objectives, target groups, responsible agencies, timelines, financing needs, and expected outputs.
Step 4. Identify Institutional Responsibilities	To establish ownership, accountability, and coordination mechanisms for the implementation of climate actions.	Clearly assigned lead and supporting agencies, together with institutional coordination arrangements for implementation.
Step 5. Align CAP Actions with Budgeting and Financing through Climate Tagging	To integrate climate priorities into budgeting and financing systems and track climate-related expenditures.	Climate-tagged programmes and budget allocations, improved visibility of climate expenditures, and identification of financing needs and funding gaps.
Step 6. Integrate Climate Indicators into Monitoring and Evaluation Systems	To ensure that climate actions are monitored and evaluated through measurable targets and indicators within existing government performance management systems.	Climate-related targets, indicators, and reporting mechanisms integrated into development monitoring and evaluation frameworks.

STEP 1:

Review the Existing Development Planning Framework

cycle, and content of the existing medium-term development planning framework. Local and regional governments should identify how the plan is prepared, which institutions are involved, how priorities are selected, how budgets are linked, and how implementation is monitored.

This review should not only examine the main development planning document. It should also consider related annual work plans, sectoral plans, spatial plans, public investment plans, budgeting procedures, performance indicators, and reporting systems. CAP integration will be weak if it focuses only on the main plan but ignores the implementation instruments that determine budget allocation and agency performance.

The review should identify where climate issues are already reflected and where important gaps remain. It should assess whether existing development priorities support or conflict with CAP objectives. For example, a development plan may include commitments to sustainability while still prioritise carbon-intensive transport infrastructure. Similarly, it may mention disaster resilience, but continue to allow development in high-risk areas. These inconsistencies should be identified early.

A useful output from this step is a short diagnostic note describing the main opportunities and gaps for CAP integration. This diagnostic should identify relevant planning sections, sectoral priorities, responsible agencies, budget linkages, and potential entry points for climate mainstreaming.

Once the planning framework is understood, the

STEP 2:

Map CAP Priorities against Development Priorities

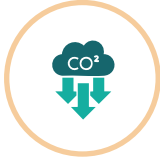
next step is to map CAP priorities against existing development priorities. The purpose of this exercise is to identify planning gaps where climate mitigation and adaptation priorities are absent, underrepresented, or insufficiently integrated within the development planning frameworks.

While the CAP establishes long-term mitigation and adaptation goals, the mapping process identifies how these priorities can be reflected in the development agenda through specific objectives, programmes, and activities. In doing so, climate priorities become actionable within government planning systems and can subsequently be translated into sectoral plans, budgeting processes, and implementation programmes.

The mapping exercise should also consider the feasibility of implementation within the medium-term planning period. CAPs often contain a mix of short-, medium-, and long-term actions with varying levels of urgency, resource requirements, and institutional complexity. By contrast, medium-term development plans operate within a fixed implementation period and require governments to prioritise actions that are achievable within existing fiscal, institutional, and political constraints. Consequently, not all CAP actions will be incorporated at once; rather, the process involves identifying the most relevant and feasible climate priorities for integration during the development planning cycle.

Given the cross-cutting nature of climate change,

Table 6.3 Illustrative Translation of Mitigation and Adaptation Goals into Development Planning Priorities

Climate Goals	Development Planning Translation	Development Outcomes
MITIGATION GOALS		
 Reduce transport emissions	Sustainable mobility, congestion reduction, and air quality improvement	Improved accessibility, reduced travel costs, and better public health
 Increase renewable energy	Energy security and operational efficiency	Reduced energy costs and increased energy resilience
 Improve energy efficiency	Efficient public service delivery and reduced energy demand	Lower public expenditure and improved resource efficiency
 Improve waste management	Resource efficiency and improved sanitation services	Reduced environmental pollution and improved public health
 Promote low-carbon economic development	Economic competitiveness and green job creation	Sustainable economic growth and employment opportunities

ADAPTATION GOALS



Reduce flood risk

Disaster risk reduction, infrastructure improvement, and asset protection

Reduced economic losses and improved service continuity



Improve water resilience

Water security and service reliability

Reduced vulnerability to droughts and water shortages



Expand urban green space

Urban liveability and heat risk reduction

Reduced heat stress and improved environmental quality



Strengthen climate-resilient housing

Housing quality and community resilience

Reduced vulnerability and improved living conditions



Enhance heat resilience

Public health protection and social welfare

Reduced heat-related illness and improved community wellbeing



Strengthen disaster preparedness

Emergency preparedness and community safety

Reduced disaster impacts and faster recovery capacity

the assessment should cover a broad range of sectors, including mobility, housing, water security, waste management, public health, agriculture, energy, disaster risk reduction, economic development, spatial planning, and social inclusion. This comprehensive review helps ensure that climate mitigation and adaptation priorities are systematically integrated across development sectors rather than being confined to environmental programmes alone. The results can then be used to guide the incorporation of climate actions into relevant policies, programmes and activities, and project investment priorities.

STEP 3:

Translate CAP Actions into Programmes, Activities and Projects within Sectoral Planning

a. Listing Programmes, Activities and Projects

To be incorporated into development planning and budgeting systems, CAP priorities must be translated into implementable programmes, activities and projects. Broad climate objectives often provide strategic direction but are generally not sufficiently specific for planning, budgeting, and implementation purposes.

For example, a broad CAP action such as “improve urban resilience to flooding” should be translated into concrete interventions, such as drainage upgrading, flood-risk mapping, retention pond construction, river restoration, early warning systems, community preparedness, maintenance improvements, and land-use restrictions in high-risk areas.

Similarly, an action such as “reduce building-related emissions” may be translated into building energy audits, retrofitting programmes, energy-efficient public buildings, passive cooling standards, green building guidelines, procurement standards, and financing incentives.

This step involves translating the strategic

recommendations contained in the CAP into a set of actionable interventions that can be incorporated into development planning instruments. It requires going beyond conventional planning approaches and identifying opportunities to embed climate considerations within sectoral priorities, programmes, and investments. Rather than treating climate action as an additional agenda, the CAP should serve as a key reference for shaping development priorities and promoting more climate sensitive and responsive planning processes.

This translation process serves as a critical bridge between climate ambition and implementation. By defining specific actions, responsibilities, and resource requirements, climate priorities can be integrated into planning, budgeting, implementation, and monitoring systems. At a minimum, each proposed intervention should identify its objective, target location or beneficiary group, responsible agency, implementation timeframe, indicative financing requirements, and expected outputs.

b. Sectoral Mainstreaming

The translation of CAP priorities into programmes, activities, and projects also provides the basis for sectoral mainstreaming. While climate objectives may originate from a CAP, their implementation ultimately depends on the sectors responsible for delivering development outcomes. Climate action cannot be implemented at scale if it remains confined to an environmental document. Instead, it must be integrated into the plans, programmes, budgets, regulations, and performance systems of the sectors that shape development.

Sectoral mainstreaming is therefore the process of embedding climate priorities within sectoral planning and decision-making. Once climate actions have been translated into specific interventions, they can be incorporated into the mandates, work programmes, and investment priorities of sectoral agencies. This helps ensure that climate considerations become part of routine government functions rather than stand-

alone initiatives. In practice, most climate actions are implemented through sectoral institutions responsible for infrastructure, public services, economic development, land use management, and social welfare.

Each sector has a different relationship with climate change. Some sectors are major sources of greenhouse gas emissions and therefore play

a central role in mitigation efforts. Others are highly exposed to climate hazards and require adaptation measures to maintain service delivery and protect communities. Many sectors perform both functions simultaneously. Consequently, the integration of climate priorities should be tailored to the specific roles, responsibilities, and challenges of each sector.

Table 6.4 Illustrative Entry Points for Sectoral Mainstreaming of Climate Action

Sector	Climate Mainstreaming Focus	Illustrative Interventions
Spatial and Land-Use Planning	Reduce exposure to climate risks and guide resilient development patterns	Hazard-based zoning, climate-sensitive spatial planning, ecosystem protection, risk-informed infrastructure siting, integration of climate projections into land-use decisions
Transport	Promote low-carbon, accessible, and resilient mobility systems	Public transport improvements, walking and cycling infrastructure, electric mobility, transit-oriented development, resilient transport networks
Energy	Reduce emissions and improve energy efficiency and security	Energy audits, building retrofits, renewable energy deployment, efficient public lighting, energy-efficient public facilities
Housing	Improve climate resilience, energy performance, and social protection	Climate-resilient housing design, retrofitting, energy-efficient buildings, relocation from high-risk areas, housing support for vulnerable groups
Water and Sanitation	Strengthen resilience to floods, droughts, and changing hydrological conditions	Drainage improvement, water conservation, watershed protection, water reuse, drought preparedness, climate-resilient sanitation systems
Waste Management	Reduce emissions and support resource efficiency	Recycling programmes, composting, landfill improvement, methane capture, circular economy initiatives
Public Health	Address climate-related health risks and maintain service continuity	Heat action plans, disease surveillance, climate-resilient health facilities, air quality management, emergency health preparedness
Disaster Risk Reduction	Reduce vulnerability and improve preparedness for climate hazards	Hazard mapping, early warning systems, evacuation planning, resilient infrastructure, climate-informed disaster preparedness and recovery

Economic Development	Promote resilient and low-carbon economic growth	Green jobs programmes, sustainable tourism, circular economy initiatives, resilient supply chains, support for climate-smart SMEs
Nature-Based Solutions*	Enhance resilience while delivering environmental and social co-benefits	Wetland restoration, mangrove conservation, urban forests, river restoration, green corridors, urban parks, ecosystem-based adaptation measures

*Nature-based solutions are cross-cutting approaches that can be integrated across multiple sectors rather than treated as a stand-alone sector.

STEP 4:

Identify Institutional Responsibilities

After CAP actions have been mapped to development priorities and translated into sectoral plans, local and regional governments should identify the institutions responsible for implementation. Each climate action should have a lead agency, supporting agencies where necessary, and a clear coordination mechanism.

This step is often where CAP integration falls short. Many climate plans identify actions without clearly assigning institutional responsibilities. As a result, agencies may assume that responsibility for these actions rests with another department, or they may treat the CAP as an environmental document outside their mandate. To avoid this, every major action recommended in the CAP should be assigned to an institution with the authority and capacity to implement it.

Each climate action should be assigned to a lead agency whose mandate is most directly connected to its implementation, while supporting agencies should be identified where cross-sectoral collaboration is required. The lead agency should have primary responsibility for implementation, whereas supporting agencies contribute technical

expertise, coordination, or complementary actions within their respective mandates.

Forexample, public transport reform would normally be led by the transport agency, with support from agencies responsible for development planning and public works. Drainage improvement for flood risk reduction would typically be led by the public works or infrastructure agency, with support from development planning, environmental management, and disaster management agencies. Heatwave preparedness may be led by the climate or environment agency, depending on institutional arrangements, with support from health, disaster management, social protection, and other relevant sectoral agencies. Similarly, flood-risk zoning may require leadership from the development planning agency while involving public works, environment, housing, and disaster management agencies, whereas urban greening initiatives may be led by the environment agency with support from parks, public works, spatial planning, and community development agencies.

Although the specific institutional arrangements will vary across countries and local governments, effective implementation depends on clear institutional responsibilities, strong sectoral ownership, and well-defined coordination mechanisms.

STEP 5:

Align CAP Actions with Budgeting and Financing through Climate Tagging

Climate actions cannot be implemented without adequate financing. Therefore, once climate priorities have been incorporated into development planning instruments, they should also be reflected within government budgeting and financing system.

A key mechanism for achieving this is climate tagging. Climate tagging is the process of identifying, classifying, and tracking climate-related programmes, activities, and expenditures within government budgets. By applying climate tags to budget items, governments can determine which expenditures contribute to climate mitigation, adaptation, or resilience objectives and assess the extent to which public resources support climate action. This enables climate priorities to be systematically monitored throughout the budgeting cycle rather than being treated as a separate or parallel agenda.

Climate tagging also strengthens transparency and accountability by providing information on how public resources are allocated to climate-related objectives. It can help governments identify funding gaps, assess whether climate priorities are adequately resourced, and inform future budgeting decisions. In addition, climate tagging can support reporting requirements for climate finance, Nationally Determined Contributions (NDCs), and other national or international climate commitments.

There is no single methodology for climate tagging, and governments may adopt approaches that reflect their institutional arrangements and policy priorities. However, regardless of the methodology used, the primary objective remains the same: to systematically identify and track climate-related expenditures within broader public finance systems. Through this process, climate action becomes more visible within budgeting and financing decisions, helping to strengthen the alignment between climate ambitions, development priorities, and resource allocation.

STEP 6:

Integrate Climate Indicators into Monitoring and Evaluation Systems

Development planning programmes and activities are typically accompanied by targets and indicators that provide a measurable basis for assessing implementation progress and results. Therefore, once CAP priorities have been incorporated into development planning instruments, they should also be supported by clearly defined targets and indicators. Without such indicators, governments cannot effectively monitor the implementation of climate actions or assess their contribution to climate objectives. As climate actions become part of the development plans, their implementation and performance can be monitored through the broader development planning and performance management systems.

Table 6.5 Examples of Integrated CAP Indicators

CAP Objective	Development Indicator	Possible Data Source
Reduce transport emissions	Public transport ridership; modal share; transport emissions	Transport agency, emissions inventory
Improve flood resilience	Number of households protected; area of flood-prone land reduced	Public works agency, disaster database, GIS
Increase energy efficiency	Energy consumption in public buildings	Facility management records, utility data
Improve waste management	Waste diversion rate; recycling rate; organic waste composted	Waste agency records
Reduce heat risk	Tree canopy coverage; number of cooling centres	Environment agency, health agency, GIS
Protect vulnerable communities	Number of high-risk households covered by adaptation programmes	Social welfare, disaster management, community data
Strengthen ecosystem resilience	Area of restored ecosystem or protected watershed	Environment agency, land-use data

Evaluation should be conducted periodically to assess the relevance, effectiveness, efficiency, equity, and sustainability. Relevance examines whether actions remain aligned with current risks and priorities. Effectiveness examines whether actions are achieving intended outcomes. Efficiency examines whether resources are being used well. Equity examines whether benefits reach vulnerable communities. Sustainability examines whether outcomes can be maintained over time.

Monitoring and evaluation results should inform subsequent planning cycles and future updates of the CAP, creating a continuous learning and improvement process. Reporting should be integrated into annual development reports, sustainability reports, public dashboards, SDGs reporting, and national climate reporting where relevant. Integrating climate reporting into established government systems helps strengthen accountability while avoiding parallel reporting processes.

6.5 INSTITUTIONAL ROLES IN CAP INTEGRATION

Institutional coordination is essential because climate action cannot be implemented by a single department alone. While the environment or climate agency may provide technical guidance, and development planning agency often plays a central coordinating role, other agencies responsible for transport, housing, public works, water, waste, health, disaster management,

finance, and economic development all play important roles in influencing climate outcomes.

Even a technically sound CAP will fail if institutional responsibilities are unclear, decision-making authority is weak, coordination mechanisms are absent, or sectoral agencies do not internalise climate objectives. Governance arrangements must therefore clarify who leads, who implements, who finances, who monitors, and how decisions are made.

Table 6.6 Institutional Roles in CAP Integration

Institution or Actor	Main Role	Practical Responsibilities
Political leadership	Provides mandate and legitimacy	Endorses CAP integration, resolves trade-offs, supports budget prioritisation
Planning agency	Aligns CAP with development planning	Integrates CAP actions into plans, programmes, and indicators
Environment or climate agency	Provides technical guidance	Leads climate analysis, emissions inventory, risk assessment, and technical review
Finance agency	Links climate action with resources	Integrates CAP into budgets, investment plans, and financing strategies
Sectoral departments	Implement climate actions	Translate CAP into transport, water, waste, housing, health, and infrastructure programmes
Monitoring unit	Tracks progress	Develops indicators, collects data, and prepares reports
Council or legislative body	Provides oversight	Reviews policy alignment and approves relevant plans or budgets
Community, academia, private sector, and civil society	Support implementation and accountability	Provide data, technical input, participation, innovation, and social oversight

Political leadership is critical because climate action integration often requires trade-offs. Governments may need to redirect infrastructure investment, restrict development in high-risk areas, revise regulations, or allocate resources to long-term resilience measures. These decisions require political commitment and authority beyond technical departments.

Although institutional arrangements vary across countries and local governments, development planning agencies often play a central coordinating role because they manage development planning processes. Finance agencies should be involved early to ensure that climate priorities are reflected in the budget allocations. While the environment or climate agency typically provides technical guidance and associated with various climate-related issues, the implementation of climate actions must be distributed across sectoral agencies whose mandates are directly related to the proposed actions.

To strengthen cross-sectoral coordination, local and regional governments may establish or strengthen a Climate Change Working Group, Climate Change Task Force, or other similar coordination platform. Although the name, mandate, and institutional arrangement may vary, these platforms typically bring together representatives from relevant government agencies to coordinate climate planning and implementation. Depending on the local context, participation may also be extended to private sector representatives, civil society organisations, research institutions, universities, think tanks, and other development partners to strengthen technical expertise, stakeholder engagement, and collaborative decision-making.

Such coordination platforms can support the development and periodic review of the CAP, facilitate the integration of climate priorities into development planning and budgeting processes,

strengthen coordination among sectoral agencies, monitor implementation progress, and serve as a forum for addressing implementation challenges and identifying opportunities for continuous improvement.

A practical coordination structure may include a high-level steering committee, a technical working group, a secretariat, and sectoral focal points. The steering committee provides strategic direction. The technical working group reviews data and sectoral actions. The secretariat manages coordination and documentation. Sectoral focal points help ensure that each department integrates CAP priorities into its plans, budgets, and implementation activities

The secretariat function is especially important for maintaining continuity throughout the planning and implementation process. Without it, coordination mechanisms often become inactive. The secretariat should coordinate meetings, document decisions, follow up agreed actions, facilitate communication and meetings, coordinate inputs, and maintain continuity across planning and budgeting cycles.

Institutional coordination should be linked to accountability. Each CAP action should have a responsible agency, supporting agencies, indicative budget, timeline, and indicator. If no agency is responsible, the action is unlikely to be implemented. If no indicator exists, progress cannot be measured. If no financing pathway exists, implementation will remain uncertain.

Monitoring should also support adaptive management. Climate risks, technologies, policies, and development conditions change over time. If an action is not working, governments should revise it. If a pilot succeeds, it can be scaled. If new risks emerge, priorities should be adjusted.

6.6 CHALLENGES AND ENABLING CONDITIONS

CAP integration into development planning is essential, but it is often challenging. Local and regional governments frequently face institutional, financial, technical, political, and social barriers that can affect both the preparation of the CAPs document, and their integration into development planning.

Common challenges include sectoral silos, weak inter-agency coordination, limited availability of climate data, insufficient financial resources, unclear institutional mandates, limited technical capacity, political turnover, fragmented reporting systems, and limited access to climate finance. These challenges are not minor administrative issues. They directly determine whether climate action can move from planning to implementation.

Sectoral silos are particularly disadvantageous because climate action requires coordination across institutions. For example, transport policies may increase GHG emissions while environmental policies try to reduce them. Housing programmes may expand development in climate-prone areas despite disaster risk assessments recommending otherwise. Effective integration therefore requires coordination mechanisms that can resolve these contradictions.

Data gaps also limit integration. Without GHG emissions inventories, climate risk maps, vulnerability assessments, and socio-economic data, governments may struggle to prioritise

climate actions or justify investment decisions. However, the absence of perfect data should not prevent action. Governments can begin with best available evidence while progressively improving climate information and data systems over time, which can be useful when there is an opportunity to update the CAP.

Financing constraints remain another significant challenge. Many local and regional governments operate within limited fiscal space while addressing multiple development priorities. This makes it essential for local governments to be able to identify actions that generate strong development co-benefits, integrate climate actions into regular budgeting process, and prepare investment-ready projects capable of attracting additional sources of finance.

Political turnover can also affect continuity. Climate action requires long-term commitment beyond electoral cycles. Institutionalising CAP priorities within formal development plans, regulations, budgets, and monitoring systems can help maintain continuity despite changes in political leadership.

Successful CAP integration depends on several enabling conditions. These include strong political leadership, supportive legal or policy mandate, effective coordination between planning and finance functions, clear sectoral ownership, reliable climate data systems, institutional and technical capacity, stakeholder engagement, climate-responsive budgeting, and transparent monitoring and reporting mechanisms.

Table 6.7 Challenges and Enabling Conditions for CAP Integration

Challenges	Risks	Solutions or Enabling Conditions
Sectoral silos	Fragmented or contradictory implementation	Interdepartmental coordination and joint planning
Weak budget linkage	Climate actions remain unfunded	Integration into budgets and investment plans
Limited data	Poor prioritisation and weak justification	Climate risk assessments, inventories, GIS, and monitoring systems
Political turnover	Loss of continuity	Formal institutionalisation in plans, regulations, and performance systems
Low technical capacity	Weak project design and implementation	Training, technical assistance, peer learning
Weak accountability	No clear responsibility for action	Assigned agencies, indicators, reporting mechanisms

6.7 TOOLS AND TEMPLATES FOR CAP INTEGRATION

This section provides practical tools and templates that local and regional governments can adapt when integrating CAP priorities into medium-term development planning systems. These tools are intended to support structured decision-making, interdepartmental coordination, budgeting, monitoring, and accountability throughout the CAP integration process.

The tools should not be treated as rigid or prescriptive formats. Rather, they are intended as practical references that can be adapted to each government's planning system, administrative structure, legal framework, data availability, and institutional capacity. Their purpose is to facilitate the operationalisation of CAP integration within existing government planning and management systems.

TOOL 1

CAP Priorities–Development Planning Integration Matrix

The CAP Priorities–Development Planning Integration Matrix is the core tool for aligning CAP priorities with development planning systems. It helps governments identify how each CAP action relates to development priorities, which sector is responsible, what budget source may be used, and how progress will be measured.

This tool is useful during the preparation or revision of medium-term development plans, sectoral plans, annual work plans, and budget proposals. It can also be used by planning agencies to coordinate inputs from sectoral departments.

Table 6.8 CAP–Development Planning Integration Matrix

CAP Action	Related Development Priority	Sector	Lead Agency	Supporting Agencies	Budget Source	Indicator	Implementation Phase
Public building energy retrofit	Energy efficiency and public expenditure reduction	Energy / buildings	Public works agency	Finance agency, environment agency	Local budget, green finance, national support	Reduction in energy consumption in public buildings	Short to medium term
Flood-risk zoning	Disaster resilience and risk-sensitive spatial planning	Spatial planning / disaster risk reduction	Planning agency	Disaster management, public works, housing agency	Regular planning budget	Area of high-risk zones regulated	Short term
Composting programme	Waste reduction and methane reduction	Waste management	Waste management agency	Environment agency, community organisations	Local budget, donor support	Volume or percentage of organic waste composted	Short to medium term
Urban tree canopy expansion	Heat reduction, public health, and liveability	Environment / public health	Environment agency	Parks department, health agency, communities	Local budget, corporate social responsibility, community partnership	Increase in tree canopy coverage or green space	Medium term
Public transport improvement	Sustainable mobility and emissions reduction	Transport	Transport agency	Planning agency, public works, finance agency	Public investment, national support, development finance	Increase in public transport ridership or modal share	Medium to long term

The matrix encourages governments to avoid vague commitments. Each action should be linked to a sector, responsible institution, financing pathway, timeline, and measurable indicator. If these elements cannot be identified, the action may need further preparation before it can be integrated into the development plan.

TOOL 2

Sectoral Mainstreaming Checklist

The Sectoral Mainstreaming Checklist helps each sector assess whether it has integrated CAP priorities into its planning and implementation systems. It is particularly useful for transport, housing, water, waste, health, energy, public works, spatial planning, and disaster management agencies.

The checklist should be used during sectoral plan preparation, annual work planning, and programme review. It can also support internal discussions between the planning agency and sectoral departments.

Table 6.9 Sectoral Mainstreaming Checklist

Question	Purpose	Evidence to Check
Has the sector identified its contribution to emissions and climate risks?	Confirms the sector's climate relevance	Emissions data, risk assessment, vulnerability analysis, sectoral diagnostic
Are CAP priorities reflected in the sectoral plan or strategy?	Ensures formal integration	Sectoral plan, strategy document, policy statement
Are climate actions translated into specific programmes or projects?	Moves climate priorities from broad commitments into implementation	Programme list, project pipeline, annual work plan
Is there a responsible unit or agency for each action?	Clarifies ownership and accountability	Responsibility matrix, agency mandate, coordination record
Are budget sources or financing needs identified?	Connects sectoral climate actions with financial planning	Budget proposal, investment plan, financing note
Are indicators included in the sectoral monitoring system?	Enables implementation tracking	M&E framework, performance indicators, reporting template
Have vulnerable groups been considered?	Ensures that climate action supports inclusive development	Social assessment, stakeholder consultation, beneficiary targeting
Has maladaptation risk been assessed?	Prevents sectoral actions from increasing long-term climate risk	Risk screening, environmental assessment, technical review

This checklist is intentionally practical. It should help sectoral agencies ask the right questions before submitting programmes for inclusion in development planning and budgeting processes.

TOOL 3

Institutional Responsibility Matrix

=The Institutional Responsibility Matrix clarifies who does what. It is useful because CAP actions often require cooperation across several institutions. Without clear responsibility, implementation becomes slow, fragmented, or unaccountable.

A simple format can distinguish between the lead agency, supporting agencies, decision-making authority, and reporting responsibility.

Table 6.10 Institutional Responsibility Matrix

CAP Action	Lead Agency	Supporting Agencies	Decision-Making Level	Reporting Mechanism
Develop low-emission mobility programme	Transport agency	Planning, finance, public works, environment	Steering committee / transport authority	Quarterly implementation report
Integrate flood-risk zoning into spatial plan	Planning agency	Disaster management, public works, housing, environment	Planning authority / local council	Spatial planning review report
Implement public building energy audits	Public works agency	Finance, energy unit, procurement office	Departmental decision / budget approval	Annual energy performance report
Establish heatwave preparedness system	Health agency	Disaster management, social welfare, environment	Health emergency coordination body	Seasonal preparedness report
Develop climate budget tagging system	Finance agency	Planning, environment, sectoral agencies	Budget authority	Annual climate expenditure report

This matrix should be endorsed by the relevant coordination mechanism so that agencies recognise their responsibilities formally.

TOOL 4

Climate Budget Integration Template

The Climate Budget Integration Template helps governments assess whether CAP actions have a realistic financing pathway. It supports the conversion of climate priorities into activities with allocated budget.

Table 6.11 Climate Budget Integration Template

CAP Action	Activity with Allocated Budget	Estimated Cost	Existing Budget Available?	Financing Gap	Potential Financing Source	Next Step
Improve flood resilience	Drainage rehabilitation in high-risk areas	To be estimated	Partial	Yes	Local budget, national infrastructure fund, development bank	Prepare feasibility study
Reduce municipal energy use	Retrofit public buildings with efficient lighting	To be estimated	Yes	No/limited	Local budget, energy savings, green procurement	Include in annual work plan
Expand composting	Establish decentralised composting centres	To be estimated	No	Yes	Local budget, donor support, community partnership	Develop project concept
Increase urban greening	Tree planting and maintenance in heat-prone areas	To be estimated	Partial	Yes	Local budget, CSR, community stewardship	Identify priority locations
Strengthen early warning	Install flood and heat alert systems	To be estimated	No	Yes	National disaster fund, climate finance, donor support	Conduct technical assessment

This template helps governments distinguish between actions that are ready for budget integration and actions that still require preparation. It also prevents the creation of unfunded mandates.

TOOL 5

Climate Indicator Alignment Template

The Climate Indicator Alignment Template helps governments link CAP objectives with existing development indicators. This avoids parallel reporting and strengthens institutional accountability.

Table 6.12 Climate Indicator Alignment Template

CAP Objective	Proposed Climate Indicator	Related Development Indicator	Data Source	Reporting Frequency	Responsible Agency
Reduce transport emissions	Transport emissions reduced	Public transport ridership; modal share	Transport agency, emissions inventory	Annual	Transport agency
Improve flood resilience	Households protected from flooding	Disaster losses reduced; drainage coverage improved	Disaster database, public works data, GIS	Annual	Public works / disaster management
Increase energy efficiency	Energy consumption in public buildings reduced	Public facility operating cost reduced	Utility bills, facility records	Annual	Public works / finance
Reduce heat risk	Tree canopy coverage increased	Heat-exposed areas reduced	GIS, environment agency, health data	Annual / biennial	Environment agency
Improve waste management	Organic waste composted	Waste diversion rate increased	Waste agency records	Quarterly / annual	Waste management agency

Indicators should be realistic and data should be obtainable. A sophisticated indicator that cannot be measured is less useful than a simpler indicator that can be reported consistently.

TOOL 6

CAP Integration Review Checklist

The CAP Integration Review Checklist can be used before finalising a medium-term development plan or annual work plan. It helps assess whether integration is substantive or merely rhetorical.

Table 6.13 CAP Integration Review Checklist

Review Question	Yes/No	Notes or Required Action
Are CAP priorities reflected in the development vision and strategic objectives?		
Are major CAP actions included in sectoral programmes?		
Are responsible agencies clearly assigned?		
Are CAP actions linked to budget sources or financing pathways?		
Are climate indicators included in the monitoring framework?		
Are vulnerable communities explicitly considered?		
Have climate risks been considered in infrastructure planning?		
Have potential maladaptation risks been assessed?		
Is there a coordination mechanism for implementation?		
Is there a reporting mechanism for climate progress?		

This checklist can be used by planning agencies, steering committees, technical working groups, or external reviewers. Its main function is quality control. It helps ensure that CAP integration is visible in the actual structure of the development plan.

APPENDICES

APPENDIX A: DETAILED METHODOLOGIES

Objective: This appendix provides in-depth explanations of the methodologies used in the development of the Climate Action Plan (CAP), including those applied in GHG inventories, climate risk assessments, and socio-economic analyses. These detailed methodologies offer transparency and ensure that the CAP's assessments and strategies are based on reliable, standardised, and scientifically sound approaches.

A.1 Methodology for Greenhouse Gas (GHG) Inventories

Purpose: The GHG inventory is a critical element of the CAP, providing a comprehensive accounting of emissions across key sectors, such as energy, transport, waste, and land use. This section details the methods and standards used to calculate and track GHG emissions in the city.

1. GHG Inventory Standard:

The GHG Protocol for Cities (developed by World Resources Institute, C40 Cities, and ICLEI) was used as the guiding framework. This protocol standardises the methodology for reporting and calculating GHG emissions in urban areas.

2. Scope of GHG Inventory:

Scope 1: Direct GHG emissions from sources within the city's boundary (e.g., fuel combustion in vehicles, emissions from industrial processes).

Scope 2: Indirect GHG emissions from energy consumption (e.g., electricity purchased from the grid).

Scope 3: Other indirect emissions associated with the city's activities (e.g., waste generated outside the city's boundary or emissions from goods and services produced elsewhere).

3. Data Collection Process:

Energy Sector: Data on electricity consumption was obtained from local utility companies. For fuel consumption, data was sourced from transport authorities and industrial operations.

Transportation Sector: Traffic surveys, fuel sales data, and vehicle registration databases were used to estimate emissions from road transport. Emissions from public transport and aviation were also accounted for.

Waste Sector: Data on waste generation, disposal, and treatment (e.g., landfill gas recovery, incineration) was collected from municipal waste management departments.

4. Emissions Factors:

Standard emissions factors (e.g., CO₂ per unit of electricity, per tonne of waste) were derived from national inventories and databases such as the IPCC Emission Factor Database. Localised factors were applied where available.

5. Reporting of GHGs:

GHGs included in the inventory were CO₂, CH₄ (methane), N₂O (nitrous oxide), and HFCs. All emissions were reported in CO₂-equivalent (CO₂e) terms to ensure comparability and standardisation across sectors.

A.2 Methodology for Climate Risk Assessments

Purpose: The climate risk assessment identifies the city's vulnerabilities to climate change and evaluates the potential impacts of hazards such as floods, heatwaves, droughts, and sea-level rise. This section explains how risks were assessed, prioritised, and mapped.

1. Risk Assessment Framework:

The IPCC framework for risk assessment was used, which examines three key factors: exposure (what is exposed to climate risks, such as people, infrastructure), sensitivity (how sensitive the exposed systems are to changes), and adaptive capacity (the ability of systems to cope with impacts).

2. Data Sources and Tools:

Climate Data: Historical climate data (e.g., temperature, rainfall patterns) was obtained from the national meteorological service, while future climate projections (e.g., temperature rise, extreme precipitation) were sourced from global climate models (GCMs) using Representative Concentration Pathways (RCPs) scenarios (RCP 2.6, RCP 8.5).

Socio-Economic Data: Data on population density, economic activities, and infrastructure distribution were sourced from city planning departments and national census data.

Vulnerability Mapping: Geographic Information Systems (GIS) were used to spatially analyse vulnerabilities across different parts of the city. GIS maps helped identify flood-prone areas, heat island effects, and other high-risk zones.

3. Risk Quantification:

Risks were quantified using a combination of historical event data (e.g., flood damage reports, insurance claims) and future impact scenarios. Probability assessments were carried out to estimate the likelihood of climate events and their potential severity.

4. Community Engagement:

Public consultations and surveys were conducted to gather local knowledge about historical climate impacts and assess the adaptive capacity of communities, particularly in vulnerable areas such as coastal zones or informal settlements.

A.3 Methodology for Socio-Economic Impact Assessments

Purpose: The socio-economic impact assessment evaluates how climate change and the CAP's mitigation/adaptation strategies affect different population groups, particularly the most vulnerable. It also assesses the economic costs and benefits of climate action.

1. Vulnerability Assessments:

Social Vulnerability: Data on income levels, education, health services, and housing quality was collected to assess the vulnerability of different communities to climate risks. Indicators such as access to basic services and economic resilience were used to evaluate the socio-economic sensitivity of specific neighbourhoods.

Demographic Data: Information on vulnerable populations (e.g., elderly, low-income households, informal workers) was sourced from social services departments, census data, and community surveys.

2. Cost-Benefit Analysis (CBA):

A CBA was conducted to assess the economic feasibility of proposed mitigation and adaptation actions. The analysis compared the costs of action (e.g., installing renewable energy systems, upgrading flood defences) against the costs of inaction (e.g., economic losses due to climate-related disasters, increased healthcare costs due to heatwaves).

Monetary values for climate risks (e.g., flood damage, agricultural losses) were derived from national insurance data, disaster reports, and climate impact literature.

3. Employment and Economic Resilience:

The socio-economic analysis also evaluated the potential for job creation through climate actions, such as employment in renewable energy sectors, energy efficiency retrofits, or climate-resilient infrastructure projects. Labour market data and industry reports were used to estimate the long-term economic benefits of these strategies.

A.4 Methodology for Scenario Planning

Purpose: Scenario planning allows the city to explore different future pathways based on a variety of factors, including emissions reduction targets, adaptation measures, and external developments (e.g., policy changes, technological advancements).

1. Scenarios Considered:

Business-as-Usual (BAU): This scenario assumes no significant changes in policy or behaviour and projects future emissions and risks based on current trends.

Moderate Climate Action: This scenario reflects the implementation of some mitigation and adaptation strategies but not at the scale needed to meet ambitious climate targets.

Ambitious Climate Action: This scenario represents full implementation of the CAP, aiming for significant emissions reductions and enhanced resilience.

2. Modelling Tools:

Energy system models such as LEAP (Long-range Energy Alternatives Planning) and climate impact models were used to simulate different scenarios. These tools allowed the city to project emissions, energy demand, and climate impacts under various assumptions and policy frameworks.

3. Risk and Uncertainty Management:

Uncertainty in climate projections was managed by applying a range of climate models and scenarios. Risk management strategies included the development of flexible policies that could be adapted as new data emerged.

APPENDIX B: ADDITIONAL TEMPLATES AND TOOLS

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On behalf of:



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