STATE OF THE CITIES

OM PRAKASH MATHUR

ABBAS HAIDER NAQVI AKANKSHA LAROIYA V SAI SAMYUKTA HIMANI VERMA



Institute of Social Sciences



@ Institute of Social Sciences

8, Nelson Mandela Marg New Delhi, India: 110070 Telephone. : 91- 11- 4315-8800

Internet: http://www.issin.org

Email: centreforurbanstudies@issin.org

ISBN: 978-81-921041-3-3

No part of this publication may be reproduced or transmitted in any form of or by any means, including electronic, mechanical, or photocopying, or otherwise, without the prior permission of the Institute of Social Sciences, except in the case of brief quotations and other non-commercial uses permitted under the copyright laws.

This publication is the work of the research team at the Centre of Urban Studies, Institute of Social Sciences. The findings, interpretations, and conclusions presented in this publication do not represent the views of either the Governing Board of the Institute of Social Sciences or of the Housing and Urban Development Corporation (HUDCO).

Published by the Institute of Social Sciences, New Delhi, India

SATYAM GRAFIX UG-55, Ansal Chambers-2, Bhikaji Cama Place, New Delhi-110066 Tel. : 98101 15187 Email : satyamgrafix@gmail.com

Edited by K. P. Eashwar, Academic and Development Communications Services (ADCS), Chennai

Design and Layout by Trinankur Banerjee

STATE OF CITIES ΙΝΠΙΑ

OM PRAKASH MATHUR

ABBAS HAIDER AKANKSHA V SAI

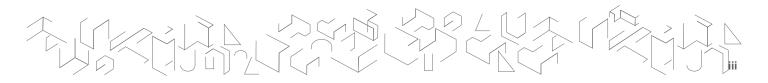
NAQVI LAROIYA SAMYUKTA

HIMANI VERMA





Institute of Social Sciences





CONTENTS

OVERVIEW

INDIA'S URBAN TRANSITION: THE DEMOGRAPHICS	17
Introduction	18
Indians urban transition	21
Decomposing India's urban transition natural increase, migration, or new settlements	: 26
City- size distribution: small, intermediate, or large?	33
A sub-national view of urbanisation	40
The demographics of age	46

ECONOMIC FOUNDATIONS OF URBANISATION Introduction Size of the urban economy Urban gross/net domestic product Exploring urbanisation-gdp linkages 55 Urban consumption expenditures Tracking trends in employment



4	75
INFRASTRUCTURE, ENVIRONMENT, AND URBAN CHANGE	75
Changing meaning of infrastructure	76
A status card on urban infrastructure	77
Service-level gaps	81
Gaps in soft infrastructure	86
Urban environment	89

5	93
HOW URBAN IS INDIA?	93
Introduction	94
Index and ranking of Indian states	94
A work-in- progress	100

STATE URBAN INFOGRAPHICS	102
ANNEX TABLES	138
Demography	139
Urban economy	143
Infrastructure and environment	148

REFERENCES AND BIBLIOGRAPHY	158
INDEX	168

TABLES

1.	Growth of urban population: India and the World	19
2.	Trends in rural-urban transition	21
3.	Urban share in net population increment	22
4.	Trends in urban-rural population growth rates	25
5.	Composition of urban population growth	27
6.	Percent share of different types of migration in India	29
7.	Migration in India: decadal change	30
8.	Census towns in India's urban transition	31
9.	Size class distribution of urban population	36
10.	Size class distribution of cities and towns	36
11.	Size class decadal growth of urban population between 2001-2011	36
12.	Number and population of U.A.s/ Cities with one million or more	
	population	39
13.	Key factors in state urbanisation	44
14.	Level of urbanisation in Districts	45
15.	Demographic dividend and aging in selected states (URBAN)	47
16.	Size of the urban economy	53
17.	Urban share of Net domestic product (NDP)	56
18.	Non-Primary NDP in total NDP	56
19.	Structural shift in urban NDP	57
20.	Percent share of total population, urban population,	
	and per capita GDP	62
21.	Rural-urban per capita consumption expenditure	62
22.	Monthly per capita consumption expenditure (MPCE)	63
23.	Trends in urban employment	67
24.	Main-marginal, male-female urban workforce	69
25.	Urban workforce: compound average growth rate (CAGR)	69
26.	Organised vs unorganised manufacturing sector	70
27.	State wise structure of urban employment	71
28.	Sectoral shares in Net Domestic Product and Employment	71
29.	Status card of urban infrastructure	79
30.	Recent data on sanitation facilities	80
31.	Urbanisation impact on infrastructure development	83
32.	Affordable housing in cities	84
33.	Estimate of gaps, using average of top three states as benchmark	85
34.	Estimate of gaps, using "no one to be left behind" as benchmark	86
35.	Assessing urban vulnerability	90
36.	Indicators used for preparing Urbanisation Index	95
37.	Composite Index values and ranks of states and Union territories	97
38.	Constituent-wise Index values and ranks of states and Union territories	99

FIGURES

1.	Transitioning to an urban world	19
2.	Share in world's urban population	20
3.	Trends in India's urbanisation	22
4.	Number and average population by size classes, 1991	23
5.	Number and average population by size classes, 2011	24
6.	Proportion of urban and rural population	25
7.	India's pace of urbanisation compared with other countries	25
8.	Composition of urban population growth	27
9.	Size-class distribution of Census towns	32
10.	Size class population annual exponential growth rates (AEGR)	37
11.	States' urban population	41
12.	Diverse patterns of urbanisation	42
13.	Level of urbanisation	43
14.	Urbanisation and AEGR of urban population	43
15.	AEGR 2001-11 and urbanisation at district level	45
16.	Age-sex structure, India	47
17.	Age-sex structure, Bihar	48
18.	Age-sex structure, Kerala	48
19.	Rural- urban share in manufacturing NDP	55
20.	Rural- urban share in construction NDP	56
21.	Rural -urban share in finance, insurance, real estate	
	& business services/NDP	56
22.	Regression between urbanisation and per capita GDP-153 countries,	
	developed and less developed	58
23.	Regression between urbanisation and per capita regional product: China	
24.	Level of urbanisation and log per capita NSDP	60
25.	Percent share of total population, urban population, and per capita GDP	61
26.	Rural and urban distribution of population by MPCE	63
27.	Organised factory sector: urban-rural percent of share of workforce	70
28.	Unorganised manufacturing sector: urban-rural percent	70
20	share of workforce	70
29.	Proportion of total workers in industrial categories	72
30.	Number of households availing infrastructure facilities	80
31.	Urban households using toilet facility in selected Indian states	81
32.	Income impact on urban services	82
33.	Gender gap in urban literacy	87
34. 25	Percent of districts (Urban) with literacy rate below the national average	88
35.	Infant mortality rate (Urban)	88
36.	Infant Mortality per 1000 births in each urban quintile class of MPCE, India	00
37.	Regression between urbanisation index values and productivity, and	88
57.	urbanisation index values and inclusion and environment.	98

BOXES

1.	Multiple measures of urbanisation: India	3
2.	Definition of urban areas	5
3.	The Five Bases of the General Theory of Urbanization	9
4.	Urban theory and cities	13
5.	What is demography?	18
6.	India and the world's urban population	19
7.	Measuring urban transition	21
8.	The form of urbanisation - concentric to polycentric	26
9.	Rural - Urban migration	28
10.	The challenge of urbanisation	30
11.	Sprawl Index	32
12.	Human Progress	39
13.	A definitional divide	40
14.	The world of work	64
15.	Definitions used in Census of India, NSSO and Labour Bureau	66
16.	Changing meaning of Infrastructure	78
17.	Infrastructure components in Central government missions	78
18.	Assessing Infrastructure gaps	84

CHARTS

Chart 1 India's Urban Story	10
Chart 2 Anatomy of India's Urban Structure	34

ANNEX TABLES

DEMOGRAPHICS

1 State-wise urban population, level of urbanisation, annual exponential	
growth rate and annual rate of change of urban population	139
2 State-wise number and population of statutory towns, census	
towns and out- growths in 2011	140
3 State-wise demographic dividend and proportion of ageing	
population in urban areas	141

URBAN ECONOMY

4 State-wise per capita net state domestic product at current price	142
5 State-wise percentage share of urban workers in total urban population	
and share of main and marginal workers (15 years and above)	143
6 State-wise urban share in employment	145
7 State wise urban share in employment: labour bureau	146
8 State wise urban share employment in industrial distribution (all ages)	147

INFRASTRUCTURE AND ENVIRONMENTAL SECURITY

9 State-wise status of solid waste treatment	148
10 State-wise monthly per capita consumption of electricity	149
11 State-wise percentage of households with basic services	150
12 State-wise literacy rate by sex	151
13 State-wise percentage of literates who studied above class 12th	152
14 State-wise infant mortality rate (urban)	153
15 State-wise percentage of urban population living below poverty line	
and percentage of slum population in urban population	155
16 Households having access to clean cooking fuels in urban India and	
percentage of households with 3 or more members living in one room	156
17 State-wise municipal road mileage per 100 sq. km. of area	157

FOREWORD

Om Mathur is, beyond doubt, the foremost urban scholar in India and has been for some time. He has worked on Indian urban issues on a continuous basis for almost half a century, a record that is unlikely to be surpassed in the near future. His long career has spanned various institutions including an early stint in the Planning Commission in its multilevel planning unit, in the United Nations Centre for Regional Development, as Director of the National Institute of Urban Affairs, at the National Institute of Public Finance and Policy, and now with the Institute of Social Sciences. For decades, he has been the go to person for advice on urban policy for the government of India, successive Indian Finance Commissions, the Planning Commission, state governments, international institutions like the World Bank, the Asian Development Bank and others. His advice is always given with the backup of well researched papers drawing on his extensive empirical and historical knowledge

Through this volume on the *State of the Cities:* India, he, along with his young colleagues, has now done a signal service to both researchers and policymakers alike by bringing together the state of knowledge on urban issues in India. A perusal of the volume's bibliography illustrates the dearth of studies on urban issues at both the micro and macro levels over the last decade. This is a bit of a puzzle, given the size of the country, the number of research institutions, universities and NGOs that are spread all across India. Many of the issues and features of recent urbanization highlighted in this volume pose many unanswered questions as to the ongoing changes in the process of urbanization unfolding in India in recent decades. There is also the overall issue of how large cities are continuing to grow and are being managed at very low income levels in India, when the historical experience has been that very large cities generally emerge as income levels increase along with overall development. That enables adequate investment in the infrastructure needed to sustain the growing populations in these cities.

In compiling this volume, the key handicap that Professor Mathur has faced is the lack of recent data. As is evident throughout the study the key source of data on various aspects of urbanization in India is the decennial population census. With the next census being slated to be held in 2021, Om Mathur's work program for the next two years is already cut out: issuance of a new updated volume as soon as the 2021 census data become available!

Coming to the substance of this report, it provides a comprehensive view of the evolution of Indian urbanization over the last 50 years or so. A new controversy has arisen in recent years regarding the definition of urbanization in India. Whereas, the definition used in Indian censuses suggests that the level of urbanization in 2011 was still only around 31 percent in 2011, various other definitions provide estimates ranging from 40 to 60 percent. Chapter 2 documents the basis of these definitions, but does not offer a definitive view on the relative reliability of these different estimates. My own view is that the census definitions are rational and consistent over time and therefore still continue to provide the best guidance on the pattern of change of the urbanization process in India. It is also consistent, on a cross-country basis, with the level of India's per capita income. There is no doubt that the composition and characteristics of change have been different over the decades. One constant, however, is the relatively low contribution of net rural urban migration to urban population growth in India at just around 20 percent over the last few decades: this must be an outlier among fastgrowing emerging and developing economies. Among the significant new features in the 2000-2011 decade were the very large increase in urban to urban migration and a huge decline in rural population growth at just 1.16 percent per year. These new trends in Indian demography need much greater research and understanding of the phenomena behind these changes. Assuming that the rural population growth rate between 2011 and 2021 will continue to exhibit a further decline, one can speculate that the next decade of 2021 to 2031 may even experience an unprecedented actual fall in total rural population in India. These issues will be of great relevance to the policy stance towards both rural and urban development in the years to come. I hope that the data marshalled in this volume will generate enough curiosity among both researchers and policymakers to initiate work to understand this change so that we can cope with it better in the future.

Another feature that has been brought to our notice is the extreme diversity between different regions of the country. States such as Tamil Nadu, Kerala and Maharashtra exhibit urbanization levels in excess of 45 percent, similar to the or high middle-income countries, whereas, at the other end, Bihar's level of urbanization was as low as 11.7 percent, similar to the least developed countries in the world. Odisha at 16.7 percent and Rajasthan at 24 percent are in a similar category. This suggests that the approach to urban development within the country must be very different across states. There is very little evidence of much thought being given to this unique feature of the pattern of Indian urbanization across the country.

Among the startling recent developments brought out in chapter 3 on "Economic Foundations of Urbanisation" is the stagnating urban share in GDP over the last couple of decades at around 52 percent. With the increasing share of urban population this stagnation implies *falling urban productivity* over the last couple of decades. Even more startling is the precipitous decline in the share of urban areas in manufacturing GDP from around 70 percent in the early 1990s to around 50 percent in 2011-12. The urban share of manufacturing workforce has been falling correspondingly. I have not seen references to these remarkable counterintuitive developments anywhere else and am constrained to observe that this constitutes shocking neglect by macroeconomic and economic researchers alike. It would be interesting to find out whether such an urban decline has taken place anywhere else in the world at this stage of development. Overall growth in manufacturing on a decadal level was the highest during the 2000s; it has certainly fallen since. One feature of Indian manufacturing which is consistent with both the

remarkably slow growth of Indian urbanization, and the falling urban share in manufacturing employment, is that it has not been either employment intensive or export oriented like East and South East Asian countries. These are issues of great importance for overall and urban economic policy in the coming years and decades. It would seem that we are not taking advantage of agglomeration economies that cities typically provide: it is no wonder then that Indian industry finds it difficult to compete with its peers in the rest of Asia.

Government policy with regard to urban development is typically focused on the provision of infrastructure. Chapter 4 on "Infrastructure, Environment and Urban Change" documents the various government programmes that have been designed over the last few decades. Presumably, because of the lack of data and of studies, there is no information on the actual allocations, implementation, and outcomes of these different programmes. It is therefore not possible to evaluate the efficacy of these programmes and of this size in addition to the needs of the country. The availability of information on essential public services like electricity, water, sanitation, etc. to urban residents is still dependent on the data provided by the 2011 census and is hence very outdated. This suggests that both the government at different levels and research institutions need to put in place programmes that monitor the spread of services over time on a regular basis between censuses. Overall, the availability of essential public services to the Indian urban citizen, such as only 54 percent having access to tap water in their homes in 2011, was of a very low order. Given the low level of the quantity and quality of essential public services, availability of affordable housing, and generation of quality jobs, the low rate of rural urban migration and slow urbanization in India is perhaps not surprising!

State of the Cities, India is a welcome digest on Indian urbanization bringing together in one place information that is usually not easy to access and absorb. I hope that it is read widely and that it induces much more research on the Indian urban condition, which is sorely needed.

Regia

March 2021

Rakesh Mohan President and Distinguished Fellow Centre for Social and Economic Progress & Former Secretary Economic Affairs and Chief Economic Adviser Government of India New Delhi.

PREFACE

Urbanisation occupies an important place in India's public policy frameworks. Parallel to the global trends in urbanisation and the fact that the world has already sailed through the demographic threshold of 50 percent with no evidence of any country having succeeded in arresting it, urbanisation in India is beginning to be seen as given, evident, and to an extent even irreversible. It has become an object of examination in its own right and, as Neil Brenner puts it, is a seemingly ubiquitous frame for coming to grips with space-economic relations.¹ Not only is a discourse on urbanisation out of the shadow of the maxim that 'India lives in villages', but it has also outgrown, to a significant extent, the kinds of questions that urban observers would often ask: 'why has India's urbanisation been so slow' and offer an answer at the same time, 'the answer, I suggest, is the relative slowness of economic development'.² It is a fact that India's urbanisation has not leap-frogged, but it stands dominated by multiple narratives to describe India's urban transition, and in several ways endorse what Becker, Mills and Williamson wrote and I quote 'India's experience with city growth is complex, and it raises a host of related questions. What explains the timing and the extent of the transition from a traditional rural to a modern society? Why does city growth typically speed up in early stages and slowdown in later stages?'3

Recent advances in the sphere of urbanisation relate to several core issues of which four are of vital importance. The first issue relates to the measurement of urbanisation, stemming from the increasing use of an index of agglomeration and application of satellite imaging and night-light data for assessing the intensity and connectivity of urban economic growth. The Asian Development Bank (ADB) considers cities measured this way as 'natural cities', distinguishing them from cities that are assessed on administrative parameters.⁴ The questions are: What do these new measures point to?; Have the traditional criteria – administrative considerations, density, non-agricultural occupations, and infrastructure and other socioeconomic indicators – lost their relevance?; Or, is the use of these criteria in various combinations just a statistical artifact?; Or, is it keeping up with the times?

The second issue is of the form and structure of urbanisation, exhibiting trends towards urbanisation beyond the typical historical patterns, for example, in the relentless growth of large cities extending into peripheries and blurring

¹Neil Brenner. 2017. Critique of Urbanization: Selected Essays. Birkhauser Verlag Gmbh. Basel. Switzerland.

²Kingsley Davis. 1962. 'Urbanization in India: Past and Future'. In Roy Turner (ed.), India's Urban Future. University of California Press, Berkeley. California. USA.

³ Charles Becker, et.al. 1992. Indian Urbanization and Economic Growth since 1960's. The Johns Hopkins University Press, Baltimore.

⁴ Asian Development Bank. 2019. Fostering Growth and Inclusion in Asia's cities. Theme Chapter of the Asian Development Outlook 2019 Update. Manila, The Philippines.

of the city boundaries. These have led many to suggest that politico-economic spaces are no longer to be treated as if they were composed of discrete, distinct, and bounded settlements. As a result, new questions have arisen----How should such a phenomenon that transgresses the statutory boundaries be described?; How best to characterise a process that is led, on the one hand, by the growth of large cities, and, on the other hand, by the growth of peripheries, suburbs, and settlements, which, as the Census of India claims, have acquired urban characteristics, and are called in its vocabulary, census towns; What is the best way to put together and explain the duality that marks the process of urbanisation – coexistence of areas with levels of urbanisation matching those of the emerging economies and those that are still to cross the 1951 level of urbanisation in a common frame?

The third issue concerns the link between urbanisation and economic growth and other developmental parameters such as poverty reduction. At one level of generalisation, as this study will demonstrate, the links between urbanisation and growth are viewed as given, with supporting data on regression values drawn from a sample of 153 developing and developed countries and likewise, the Indian states. Yet, establishing the causation between urbanisation and growth has proved to be elusive. Urban scholars such as Gilles Duranton, for example, asks and I quote, 'Arguably urbanization and growth interact but in what proportions? How much of that extra 5 percent of GDP is a consequence of this extra percentage point in the rate of urbanization? 0.1 percent? 1 percent? 2.5 percent? 5 percent? Is there a third variable out there that explains both the GDP growth and urbanization? Although a lot is at stake here, we have almost no idea.⁵ India's data on urbanisation and growth are complex, showing trends that would seem to be positive on the one hand, and disconcerting on the other, evidenced in the slowing down of the rate of growth of the urban share of GDP. What could explain this phenomenon at such a low level of urbanisation? How best to read the growing disconnect between the urban share of GDP and the non-primary sector GDP?

The fourth issue is about the approach to urbanisation: how should cities be planned, developed, governed, financed, and sustained? Questions have been raised about the relevance of traditional, master planning approaches and the continuing use of the floor area ratio (FAR) for determining the physical expansion of cities in the context of globalisation that requires cities to be competitive and flexible enough to adjust to the changing economic realities. Questions have been raised about the impact of the principle of subsidiarity and the new benchmarks such as the Sustainable Development Goals (SDG), the Paris Agreement on Climate Change and the New Urban Agenda on the role of cities in city governance and city financing, adding though that cities lack the sovereignty that is typically available to nation states in meeting such challenges.

The purpose of this study - State of the Cities: India (SOCR) is to bring up such

⁵ Gilles Duranton. 2014. 'The Urbanization and Development Puzzle'. In Shahid Yusuf (Ed.). The Buzz in Cities: New Economic Thinking. The Growth Dialogue. Washington, DC.

issues and gain understanding of how the phenomenon of urbanisation has unfolded itself in India and what challenges and opportunities have surfaced in the process. This report focuses on the issues of the measurement of urbanisation, of its form and structure, and its links with growth, inclusion, and environmental security. **The SOCR recognises in this connection the absence** of post-2011 data on most constituents of urbanisation.

The field of urban studies is today confronted with significant conceptual and methodological challenges. As David Madden and David Wachsmuth point out, urbanisation today 'astonishes us by its scale; its complexity surpasses the tools of our understanding and the instruments of practical capacity', and add, 'Fortunately, however, there is considerable intellectual adventurousness on display, as urbanists across the social sciences and humanities, as well as in the cognate fields of planning, architecture and design, grapple creatively with the tasks of deciphering the rapidly transforming worldwide landscapes of urbanization.'⁶

Prepared in this larger context, this report establishes an analytical framework and database to be periodically upgraded and reinforced for a better grip on the processes of urbanisation. If this report can help initiate an informed debate on India's urbanisation and lead to an examination of the other facets of urbanisation – how are cities planned, governed, and financed – we would consider it a high return on the efforts that we (myself and the urban research team at the Institute of Social Sciences) have put in its preparation. It is a workin-progress.

Du takalimon

March 2021

Om Prakash Mathur

Chair, Urban Studies, Centre for Urban Studies Institute of Social Sciences New Delhi & Non-resident Senior Fellow Global Cities Institute, University of Toronto Toronto

⁶David J. Madden and David Wachsmuth. 2017. 'Assemblage, Actor- Networks and the Challenges of Critical Urban Theory'. in Neil Brenner. Critique of Urbanization: Selected Essays, Biskhauser Verlog Gmbh. Basel. Switzerland.

ACKNOWLEDGEMENTS

The State of the Cities: India (SOCR) is a follow-up on the discussions held between Om Prakash Mathur, Chair, Centre for Urban Studies, Institute of Social Sciences (ISS), and Mr Rajiv R Mishra, then Additional Secretary, Ministry of Housing and Urban Poverty Alleviation, and Professor Chetan Vaidya, former Director, School of Planning and Architecture, New Delhi, on the feasibility of advancing the base work on urbanisation that had initially been done for the preparation of HABITAT III National Report. Noting their positive response, the ISS, New Delhi, submitted a proposal to HUDCO, seeking support under its HUDCO Chair Programme for the preparation of a report on State of the Cities: India (SOCR). HUDCO Board approved the proposal and conveyed it to the ISS vide letter No. HSMI/HUDCO Chair/Let/2017/6317 dated 12 April 2017. The ISS places on record its deep appreciation to the HUDCO Board, especially its former Chairman, Dr Ravi Kant, Shri Kamran Rizvi, present Chairman and Managing Director, and other members of the Board, and the Human Settlements Management Institute (HSMI) for endorsing the proposal and establishing a Chair at the ISS, enabling it to undertake work on the preparation of a report on State of the Cities.

The Urban Research Team at the ISS comprising Om Prakash Mathur, Abbas Haider Naqvi, Akanksha Laroiya, Varikoti Sai Samyukta, and Himani Verma places its special thanks to Dr D. Subrahmanyam, Senior Executive Director, HSMI and his colleagues Akshaya Sen, Dr Manika Negi and Dr Sukanya Ghosh for organising an expert group meeting to discuss a draft of this report in 2019 and approving it for finalisation. Suggestions made by experts have been incorporated in this report. Much as the Urban Research Team desired the report to be enriched with updated data on such aspects as the urban share of gross domestic product and urban consumption and employment pattern, which were due in August/September, 2019, it had to finalise the report as the above-stated data were not released for technical reasons. The Institute recognises the limitations of data in the finalisation of the report, but expects it to be reinforced as such data are placed in public domain.

A very special thanks to Jana Urban Foundation, a not-for-profit company based in Bangalore, for providing support for publication of the *State of the Cities: India* report. The support has enabled the ISS to place this research report in public domain for a close review and examination.

At the Institute, Dr George Mathew, Chairman, and Dr Ash Narain Roy, Director, are especially acknowledged for their quiet but critical support extended to the team. The team also places its appreciation to Mr Joshy Jose, Administrator, and Mr Lalit Arya, Assistant Librarian, for their support. Ms Jaya Prajeeth is especially acknowledged for her tireless commitment to the arduous task of providing several drafts of the report.

For all the deficiencies in the report, the Team Leader (HUDCO Chair) alone is responsible.

ABBREVIATIONS

A M R U TAtal Mission for Rejuvenation and Urban TransformationA S1Annual Survey of IndustriesC A G RCompound average growth rateC P C BCentral Pollution Control BoardC S0Central Statistics OrganisationC TCensus townEIUSEnvironmental Improvement of Urban SlumsFA RFloor area ratioG D PGross Domestic ProductH D1Human Development IndexH P E CHigh Powered Expert CommitteeH RID AYNational Heritage City Development and Augmentation YojanaH SM IHuman Settlement Management InstituteH U D C OHousing and Urban Development Corporation LtdI D S M TIntegrated Development of Small and Medium TownsI LOInternational Labour OrganizationI M RInfant Mortality RateI S SInstitute of Social SciencesJ N N U R MJavaharlal Nehru National Urban Renewal MissionM P C EMonthly per capita Consumption ExpenditureN A SNational Commission on UrbanisationN D PNet Domestic ProductN IT INational Institution for Transforming IndiaN LTNight Light DataN SD PNet State Domestic ProductN VANet Value AddedOGOut growthPPP PPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development GoalsSOC RState of the Cities Report	AEGR	Average Exponential Growth Rate		
CAGRCompound average growth rateCPCBCentral Pollution Control BoardCSOCentral Statistics OrganisationCTCensus townEIUSEnvironmental Improvement of Urban SlumsFARFloor area ratioGDPGross Domestic ProductHD1Human Development IndexHPECHigh Powered Expert CommitteeHRIDAYNational Heritage City Development and Augmentation YojanaHSM1Human Settlement Management InstituteHUDCOHousing and Urban Development Corporation LtdIDSMTIntegrated Development of Small and Medium TownsILOInternational Labour OrganizationIMRInfart Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Capital TerritoryNCUNational Commission on UrbanisationNDPNet Domestic ProductNIT1National Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	AMRUT	Atal Mission for Rejuvenation and Urban Transformation		
CPCBCentral Pollution Control BoardCSOCentral Statistics OrganisationCTCensus townEIUSEnvironmental Improvement of Urban SlumsFARFloor area ratioGDPGross Domestic ProductHDIHuman Development IndexHPECHigh Powered Expert CommitteeHRIDAYNational Heritage City Development and Augmentation YojanaHSMIHuman Settlement Management InstituteHUDCOHousing and Urban Development Corporation LtdIDSMTIntegrated Development of Small and Medium TownsILOInternational Labour OrganizationIMRInfant Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Commission on UrbanisationNDPNet Domestic ProductNIT1National Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	ASI	Annual Survey of Industries		
CSOCentral Statistics OrganisationCTCensus townEIUSEnvironmental Improvement of Urban SlumsFARFloor area ratioGDPGross Domestic ProductHD1Human Development IndexHPECHigh Powered Expert CommitteeHRIDAYNational Heritage City Development and Augmentation YojanaHSMIHuman Settlement Management InstituteHUDCOHousing and Urban Development Corporation LtdIDSMTIntegrated Development of Small and Medium TownsILOInternational Labour OrganizationIMRInfant Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Commission on UrbanisationNDPNet Domestic ProductNIT1National Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSDPNet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	CAGR	Compound average growth rate		
CTCensus townEIUSEnvironmental Improvement of Urban SlumsFARFloor area ratioGDPGross Domestic ProductHD1Human Development IndexHPECHigh Powered Expert CommitteeHRIDAYNational Heritage City Development and Augmentation YojanaHSM1Human Settlement Management InstituteHUDCOHousing and Urban Development Corporation LtdIDSMTIntegrated Development of Small and Medium TownsILOInternational Labour OrganizationIMRInfant Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Commission on UrbanisationNDPNet Domestic ProductNIT1National Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	СРСВ	Central Pollution Control Board		
E1USEnvironmental Improvement of Urban SlumsFARFloor area ratioGDPGross Domestic ProductHDIHuman Development IndexHPECHigh Powered Expert CommitteeHRIDAYNational Heritage City Development and Augmentation YojanaHSMIHuman Settlement Management InstituteHUDCOHousing and Urban Development Corporation LtdIDS MTIntegrated Development of Small and Medium TownsILOInternational Labour OrganizationIMRInfant Mortality RateISSInstitute of Social SciencesJNN URMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Capital TerritoryNCUNational Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSASNational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	CSO	Central Statistics Organisation		
FARFloor area ratioGDPGross Domestic ProductHDIHuman Development IndexHPECHigh Powered Expert CommitteeHRIDAYNational Heritage City Development and Augmentation YojanaHSMIHuman Settlement Management InstituteHUDCOHousing and Urban Development Corporation LtdIDSMTIntegrated Development of Small and Medium TownsILOInternational Labour OrganizationIMRInfant Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Capital TerritoryNCUNational Commission on UrbanisationNDPNet Domestic ProductNIT1National Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	СТ	Census town		
GD PGross Domestic ProductHD1Human Development IndexHPECHigh Powered Expert CommitteeHRIDAYNational Heritage City Development and Augmentation YojanaHSM1Human Settlement Management InstituteHUDCOHousing and Urban Development Corporation LtdIDSMTIntegrated Development of Small and Medium TownsILOInternational Labour OrganizationIMRInfant Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Capital TerritoryNCUNational Commission on UrbanisationNDPNet Domestic ProductNIT1National Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajir Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	EIUS	Environmental Improvement of Urban Slums		
HDIHuman Development IndexHPECHigh Powered Expert CommitteeHRIDAYNational Heritage City Development and Augmentation YojanaHSMIHuman Settlement Management InstituteHUDCOHousing and Urban Development Corporation LtdIDSMTIntegrated Development of Small and Medium TownsILOInternational Labour OrganizationIMRInfant Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Commission on UrbanisationNDPNet Domestic ProductNITINational Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	FAR	Floor area ratio		
HPECHigh Powered Expert CommitteeHRIDAYNational Heritage City Development and Augmentation YojanaHSM1Human Settlement Management InstituteHUDCOHousing and Urban Development Corporation LtdIDSMTIntegrated Development of Small and Medium TownsILOInternational Labour OrganizationIMRInfant Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Commission on UrbanisationNDPNet Domestic ProductNIT1National Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	GDP	Gross Domestic Product		
HRIDAYNational Heritage City Development and Augmentation YojanaHSMIHuman Settlement Management InstituteHUDCOHousing and Urban Development Corporation LtdIDSMTIntegrated Development of Small and Medium TownsILOInternational Labour OrganizationIMRInfant Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Commission on UrbanisationNDPNet Domestic ProductNIT1National Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	HDI	Human Development Index		
HSM1Human Settlement Management InstituteHUDCOHousing and Urban Development Corporation LtdIDSMTIntegrated Development of Small and Medium TownsILOInternational Labour OrganizationIMRInfant Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Capital TerritoryNCUNational Commission on UrbanisationNDPNet Domestic ProductNITINational Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	HPEC	High Powered Expert Committee		
HUDCOHousing and Urban Development Corporation LtdIDSMTIntegrated Development of Small and Medium TownsILOInternational Labour OrganizationIMRInfant Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Capital TerritoryNCUNational Commission on UrbanisationNDPNet Domestic ProductNITINational Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRBIReserve Bank of IndiaSDGSustainable Development Goals	HRIDAY	National Heritage City Development and Augmentation Yojana		
ID S MTIntegrated Development of Small and Medium TownsILOInternational Labour OrganizationIMRInfant Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Capital TerritoryNCUNational Commission on UrbanisationNDPNet Domestic ProductNIT1National Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	HSMI	Human Settlement Management Institute		
ILOInternational Labour OrganizationIMRInfant Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Capital TerritoryNCUNational Commission on UrbanisationNDPNet Domestic ProductNIT1National Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	HUDCO	Housing and Urban Development Corporation Ltd		
IMRInfant Mortality RateISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Capital TerritoryNCUNational Commission on UrbanisationNDPNet Domestic ProductNITINational Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	IDSMT	Integrated Development of Small and Medium Towns		
ISSInstitute of Social SciencesJNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Capital TerritoryNCUNational Commission on UrbanisationNDPNet Domestic ProductNITINational Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRBIReserve Bank of IndiaSDGSustainable Development Goals	ILO	International Labour Organization		
JNNURMJawaharlal Nehru National Urban Renewal MissionMPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Capital TerritoryNCUNational Commission on UrbanisationNDPNet Domestic ProductNIT1National Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	IMR	Infant Mortality Rate		
MPCEMonthly per capita Consumption ExpenditureNASNational Accounts StatisticsNCTNational Capital TerritoryNCUNational Commission on UrbanisationNDPNet Domestic ProductNIT1National Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRB1Reserve Bank of IndiaSDGSustainable Development Goals	ISS	Institute of Social Sciences		
NASNational Accounts StatisticsNCTNational Capital TerritoryNCUNational Commission on UrbanisationNDPNet Domestic ProductNIT1National Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRBIReserve Bank of IndiaSDGSustainable Development Goals	JNNURM	Jawaharlal Nehru National Urban Renewal Mission		
NCTNational Capital TerritoryNCUNational Commission on UrbanisationNDPNet Domestic ProductNITINational Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRBIReserve Bank of IndiaSDGSustainable Development Goals	MPCE	Monthly per capita Consumption Expenditure		
NCUNational Commission on UrbanisationNDPNet Domestic ProductNITINational Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRBIReserve Bank of IndiaSDGSustainable Development Goals	NAS	National Accounts Statistics		
NDPNet Domestic ProductNITINational Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRBIReserve Bank of IndiaSDGSustainable Development Goals	NCT	National Capital Territory		
NITINational Institution for Transforming IndiaNLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRBIReserve Bank of IndiaSDGSustainable Development Goals	NCU	National Commission on Urbanisation		
NLTNight Light DataNSDPNet State Domestic ProductNSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRBIReserve Bank of IndiaSDGSustainable Development Goals	NDP	Net Domestic Product		
N S DPNet State Domestic ProductN S SONational Sample Survey OrganisationN V ANet Value AddedO GOut growthP P PPurchasing Power ParityR AYRajiv Awas YojnaR B IReserve Bank of IndiaS D GSustainable Development Goals	NITI	National Institution for Transforming India		
NSSONational Sample Survey OrganisationNVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRBIReserve Bank of IndiaSDGSustainable Development Goals	NLT	Night Light Data		
NVANet Value AddedOGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRBIReserve Bank of IndiaSDGSustainable Development Goals	NSDP	Net State Domestic Product		
OGOut growthPPPPurchasing Power ParityRAYRajiv Awas YojnaRBIReserve Bank of IndiaSDGSustainable Development Goals	ΝSSO	National Sample Survey Organisation		
P P PPurchasing Power ParityR AYRajiv Awas YojnaR B IReserve Bank of IndiaS D GSustainable Development Goals	NVA	Net Value Added		
R AYRajiv Awas YojnaR BIReserve Bank of IndiaS D GSustainable Development Goals	OG	Out growth		
R B IReserve Bank of IndiaS D GSustainable Development Goals	PPP	Purchasing Power Parity		
SDG Sustainable Development Goals	RAY	Rajiv Awas Yojna		
	RBI	Reserve Bank of India		
SOCR State of the Cities Report	SDG	Sustainable Development Goals		
	SOCR	State of the Cities Report		

UA	Urban Agglomeration	
UBSP	Urban Basic Services for Poor	
ULCRA	Urban Land Ceiling and Regulation Act	
UN	United Nations	
URGD	Urban-rural growth differential	
UT	Union Territories	
WPR	Worker-population ratio	

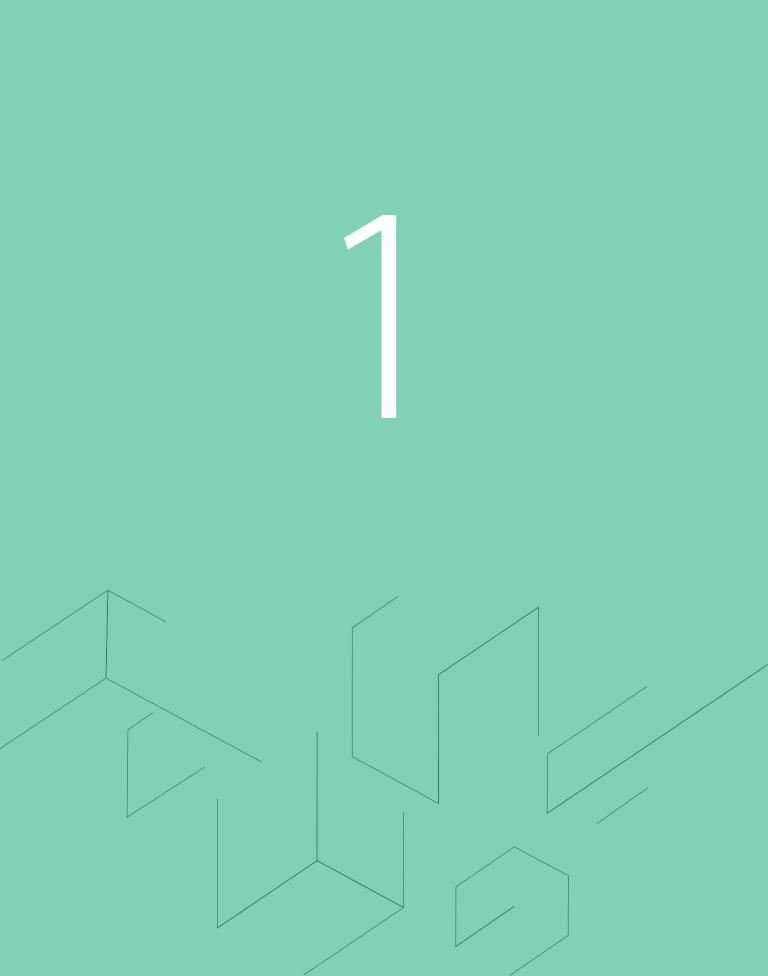
URBAN RESEARCH TEAM

Om Prakash Mathur is Non-resident Senior Fellow, Global Cities Institute, University of Toronto, Toronto, and Senior Fellow and Chair, Urban Studies, at the Institute of Social Sciences, New Delhi. He was previously Director, National Institute of Urban Affairs, New Delhi, and held the IDFC Chair in Urban Economics at the National Institute of Public Finance and Policy. He worked with the United Nations Development Programme from 1975 to 1984, initially in Iran as the UN Team Leader, Decentralisation Project, and later as a senior faculty at the United Nations Centre for Regional Development, Nagoya, Japan. He was a member of India's former Prime Minister's National Review Committee on Jawaharlal Nehru National Urban Renewal Mission (JNNURM), the JNNURM Technical Advisory Group, the High-Powered Expert Committee on Urban Infrastructure Investment Requirements, and the Advisory Group of Experts on Decentralization (AGRED) of UN-Habitat. He was on the Academic Panel of the McKinsey Global Institute for its work on India's urbanisation.

Abbas Haider Naqvi is Urban Economist at the Institute of Social Sciences, New Delhi. Over the past year, he has worked on the preparation of a study on the *State of the Cities: India* and been engaged on the preparation of another study on *Cities and the Sustainable Development Goal 11*. He holds a PhD in Economics from Aligarh Muslim University. Prior to joining the Institute of Social Sciences, he was associated with the Institute of Economic Growth, New Delhi. He has presented and published several research papers in national and international Journals. His areas of interest include fiscal federalism, urban economy, and municipal finance.

Akanksha Laroiya is Regional Planner and associated with the Institute of Social Sciences for over two years. Her key responsibilities included conducting background research on 'sustainable development' and its different aspects; collecting multi-level, multi-temporal data pertaining to India's demography, urban economy, soft/hard infrastructure, energy and transport systems, governance framework, financial structures and urban policies; performing statistical and spatial analysis of the data collected; and preparing drafts reports. Prior to joining the Institute of Social Sciences, she was involved in the preparation of Integrated Cluster Action Plan (ICAP) for five clusters in Rajasthan, identified in the first phase of Shyama Prasad Mukherji Rurban Mission. She has done her Master's in Planning (with specialisation in Regional Planning) with a Gold Medal and a Bachelor's in Physical Planning with General Proficiency Prize, from the School of Planning and Architecture, New Delhi. **Varikoti Sai Samyukta** is Urban Planner at the Institute of Social Sciences, New Delhi. She has a Master's in Urban Planning from the School of Planning and Architecture, New Delhi. She is a part of the team working on the *State of the Cities: India Report*, which aims at an in-depth exploration of India's urbanisation. She has dealt with themes such as urban infrastructure, health, housing, transport and energy, and governance framework. She has also worked on a study, *Cities and the Sustainable Development Goal 11* report. Earlier, she was an intern at the Directorate of Town and Country Planning, Hyderabad, and worked on Kurnool Master Plan and Telangana Statistical Abstract Report. She has also worked on the Revision of City Development Plan of Vijayawada, during her internship period at Feedback Infrastructures Pvt. Ltd.

Himani Verma is Urban Researcher at the Institute of Social Sciences, New Delhi. She has a Master's in Economics from the University of Rajasthan, Jaipur. She started her career as a Research Analyst at the Institute of Economic Growth, Delhi. Later, she joined the Department of Economic Affairs, Ministry of Finance, as a Research Associate and subsequently the 15th Finance Commission as a Young Professional to the Chairman. She has been a part of the team who have worked on the *State of the Cities Report (SOCR)*.



Overview

It may indeed be both the best of times and the worst of times to be studying cities, for while there is so much that is new and challenging to respond to, there is much less agreement than ever before as to how best to make sense, practically and theoretically, of the new urban worlds being created.

> Edward Soja. 2000. Postmetropolis: Critical Studies of Cities and Regions. Cambridge. MA. Blackwell.

With 55 percent of the world's population living in settlements designated as urban, urbanisation is one of the most notable developments the world has witnessed in recent decades. The United Nations project this percentage to increase to about 68 percent by the middle of the century, with indications that there will still be a scope for it to rise further.

Urbanisation in India has in recent years unfolded itself in ways that are markedly different from the processes and trends observed earlier, especially in the pre-1990 period.

ith 55 percent of the world's population living in settlements designated as urban, urbanisation is one of the most notable developments the world has witnessed in recent decades. The United Nations (UN) projects this percentage to increase to about 68 percent by the middle of the century, with indications that there will still be a scope for it to rise further. India is an integral part of this process, having registered, in parallel, a slow but steady increase in the level of urbanisation. Although the current level of India's urbanisation (31.1 percent: 2011) is substantially below the global average and the averages of several developing regions, the 'urban' importance of the country rests in the scale of growth in urban population and in the changes that have taken place in its composition and distribution across spaces and cities of different sizes, and the impact it has had on the country's economy, the labour market, and the social structures. This study report, titled as State of the Cities: India (SOCR) examines India's urbanisation and its multiple facets and dimensions. It delves into the demographic, economic, and infrastructural aspects of urbanisation and lays a foundation for further work on how Indian cities are planned, governed, financed, and sustained.

Urbanisation in India has, in recent years, unfolded itself in ways that are markedly different from the processes and trends observed earlier, especially in the pre-1990 period, when it was urbanisation with low economic growth, propelling a series of questions on whether a liberalised or quasi-liberalised macroeconomic framework that marked the post -1991 period, produces a different order and pattern of urbanisation, or leads to a change in the structure of gross domestic product (GDP) and employment or spawns a different format of intergovernmental relations and governance and financial systems. As connections between urbanisation and macroeconomic parameters deepen with the advancement of the economy, questions on the role of cities and consequently on how they need to be planned, governed, and financed begin to surface with increasing frequency, demanding a careful examination of the existing state of cities and how these have grown and developed together with the underlying philosophy, principles and postulates, and their preparedness to be able to address the emerging challenges.

THERE EXISTS TODAY MULTIPLE NARRATIVES OF URBANISATION – IT IS SAID TO BE RAPID, MODERATE, SLOW, MESSY, AND HIDDEN, ALL AT THE SAME TIME. Of the many facets of urbanisation, the one that has attracted attention not just in India but internationally too, is the definition of what constitutes an urban settlement. The *Economic Survey 2016-17* (Government of India) states that 'as India rapidly urbanises, these traditional measures (for measuring urbanisation) are inadequate to capture the complex phenomenon, especially when we study this at the state or local level.'⁷ The *Economic Survey* puts

BOX 1 MULTIPLE MEASURES OF URBANISATION: INDIA

	Level of	
	urbanisation	
1. Census of India		
Urban areas		
(a) Statutory towns, 2011	26.66%	Administrative units defined by states as urban like municipal corporation, municipality, and nagar palika etc.
(b) Census towns, 2011 (c) All settlements	4.48% 31.16%	 Administrative units satisfying the following three criteria simultaneously – i. Minimum population of 5,000 persons. ii. 75% and above of the male working population being engaged in non-agricultural pursuits. iii. A density of population of at least 400 persons per sq.km.
2. World Bank		
(a) Agglomeration index, 2008/09	42.90% to 51.90%	An index based on the threshold values of three criteria, namely, population density, population of a large city (50,000 and 1,00,000), and travel time to the nearest largest city.
(b) Remote sensing, night light data (NLT)	63%	Twice as large as the official urbanisation rate for India.
(c) Identifying urban areas combining data from the ground and from the outer space	31.4%	Population size and population density from the 'ground', and built-up area and lit-up area from the 'outer space'.
3. United Nations estimates		
(a) 2010	30.9%	
(b) 2020	39.9%	
Sourcos		

Sources:

1. Registrar General & Census Commissioner, Census of India, 2011.ibid.

2. Mark Roberts. 2018. Urban Growth in South Asia. A view from outer space. In P. Arestis (ed). Alternative Approaches in Macro Economics.

3. Virgilo Galdo, Ye Lie and Martin Rama. 2018. Identifying Urban Areas by identifying Data from the ground and from outer space. World Bank Policy Research Working Paper No: 8628. The World Bank, Washington D.C.

4. United Nations. 2018. World Urbanization Prospects. The 2018 Revision. The Online Edition, New York.

⁷Government of India. 2017. Economic Survey, 2016-17. Volume II, pp 221-224

The most fundamental source of potential confusion in the study of urbanisation and city growth is the measurement of urban itself. What defines an urban area? Unfortunately, there is no unique answer. Despite the fact that the world is becoming more and more urban in nature, the definition of urban itself remain fleeting, changing over time and space.

out a few numbers to demonstrate that India will be 47 percent urban if all settlements with over 5,000 population were deemed to be urban and 65 percent if it adopts a population threshold of 2500+, a threshold used in such countries as Mexico and Venezuela. The *Economic Survey* brings in measures such as an 'agglomeration index', initially put out by Uchida and Nelson and incorporated in the World Development Report 2009,⁸ to measure urbanisation – an index averaging between 42.9 and 51.9 (2008/09), and satellite imaging data sets to indicate that India was far more urban – about 63 percent – than estimated by the 2011 Census of India.

Reference in the Economic Survey and recent articles on what constitutes a settlement as urban is neither unique to India nor new nor even surprising. Barney Cohen in his seminal paper on 'Urban Growth in Developing Countries' observed, 'the most fundamental source of potential confusion in the study of urbanisation and city growth is the measurement of urban itself. What defines an urban area? Unfortunately, there is no unique answer. Despite the fact that the world is becoming more and more urban in nature, the definition of urban itself remains fleeting, changing over time and space.9 Other scholars such as Frey and Zimmer have likewise considered the concept, stressing the point that definitions of urban are not static, and change in response to shifting macroeconomic and other developmental parameters.¹⁰ The UN is one source that records in its bi-annual publication, World Urbanization Prospects, the range of criteria that its member countries use for defining what they consider to be urban including the changes that they introduce therein over time. China, for instance, has brought about changes in the definition of urban three times between 1982 and 2010, which currently consists of 'all urban residents meeting the criterion laid down by the National Bureau of Statistics of China and residents living in villages or towns that are directly connected to municipal infrastructure and that receive public services from municipalities.'11 In India while the definition of urban has stayed unchanged since 1961, this issue has historically been debated centering around the (i) primacy of the administrative set-up in deciding whether or not the place is urban, (ii) discretion of the state governments in designating a settlement as urban even when it may satisfy the Census criteria, and (iii) line of distinction between a small town and a village. Pointing to the difficulty in distinguishing a large village from a small town, the Census Commissioner (1931) noted: 'many of the non-industrial towns differ but little in their conditions from large villages, except in the provision of an infrequent lamp post'.¹²

⁸ Hirotsugu Uchida and Andrew Nelson. 2008. 'Agglomeration Index: Towards a New Measure of Urban Concentration', a background paper for the World Development Report 2009, The World Bank, Washington, D.C.

⁹Barney Cohen. 2004. 'Urban growth in Developing Countries: A Review of Current Trends and a caution regarding Existing Forecasts', in World Development, Elsevier Ltd. London Volume 32, No. 1, pp. 23-51.

¹⁰ W. H. Frey and Z. Zimmer. 2001. 'Defining the City', in R. Paddison (ed.), Handbook of Urban Studies. Sage Publications. London.

¹¹ United Nations. 2018. World Urbanization Prospects, New York. The UN database on 'Urban' population has often been criticised on the ground that urban population estimates therein are based on criteria that are used by national census bureaus, which are hardly comparable.

¹²Government of India, 1931. Census of India, pp 45-46.

BOX 2 DEFINITION OF URBAN AREAS

Brazil

Administrative centres of municipios and districts, including suburban zones.

China

For up to 1982, total population of cities and towns. Cities had to have a population of at least 100,000 inhabitants or command special administrative, strategic, or economic importance to qualify as cities. Towns were either settlements with 3000 inhabitants or more, of whom more than 70 percent were registered as nonagricultural or settlements with a population ranging from 2500 to 3000 inhabitants of whom more than 85 percent were registered as non-agricultural.

For the 1990 census, the urban population included: (1) all residents of urban districts in provincial and prefectural-level cities; (2) resident population of 'streets' (*jiedao*) in county-level cities; (3) population of all residents' committees in towns. For the 2000 census, the urban population was composed of population in City Districts with an average population density of at least 1500 persons per square kilometre, other population in suburban-district units and township-level units meeting criteria such as contiguous built-up area, being the location of the local government, or being a Street or having a Resident Committee. For the 2010 census, urban population included all urban residents meeting the criterion defined by the National Bureau of Statistics of China in 2008, i.e., the criterion used in the 2000 census plus residents living in villages or towns in outer urban and suburban areas that are directly connected to municipal infrastructure, and that receive public services from urban municipalities.

India

All places with a Municipality, Corporation, Cantonment Board or Notified Town Area Committee, etc. A place satisfying the following three criteria simultaneously:

- i. a minimum population of 5000;
- ii. at least 75 percent of male main working population engaged in non-agricultural pursuits; and
- iii. a density of population of at least 400 per sq. km. (1000 per sq. mile).

Russian Federation

Cities and urban-type localities, officially designated as such, usually according to criteria based on the number of inhabitants and the predominance of non-agricultural workers and their families.

South Africa

A classification based on dominant settlement type and land use. Cities, towns, townships, suburbs, etc., are typical urban settlements. Enumeration areas comprising informal settlements, hostels, institutions, industrial and recreational areas, and smallholdings within or adjacent to any formal urban settlement are classified as urban. The 1996 estimate was adjusted to comply with the 2001 census definition. Estimates from 1980, 1985, and 1991 were adjusted to take into account the populations of Transkei, Bophuthatswana, Venda, and Ciskei.

Source: World Urbanization Prospects: The 2014 revision, United Nations, Department of Economic and Social Affairs/ Population Division.

Defining Urban

- 1. In over half of the countries, definition of 'urban' is based on administrative considerations equating urban settlements with certain types of local governments, capital cities etc.
- 2. In about one-fourth of the countries, population size and density are the principal criteria; population sizes vary between 200 and 50,000.
- 3. Several countries (single party system) include socioeconomic criteria, e.g., percent of labour force employed in non-agricultural occupation and availability of urban facilities.
- 4. There are a few countries who do not lay down any criteria. (adapted from United Nations, World Urbanization Prospects. 2014)

Such towns, as this report will indicate, now play an important role in the process of India's urbanisation.

A DUALITY IN THE DEFINITION OF WHAT IS URBAN HAS LED TO AN ANOMALY WHEREIN WHAT IS URBAN IS NOT NECESSARILY MUNICIPAL, AND WHAT IS MUNICIPAL IS NOT URBAN.

Application of the criteria laid out by the Census of India together with the use of satellite imaging and agglomeration index (and more recently, a combination of the two sets of data, namely, population size and population density from the ground and built-up area and lit-up area from remote sensing exercises, or outer space) has opened up the subject of urbanisation to a deeper analysis of not just the thresholds of population or of density or occupational attributes of settlements but a range of characteristics that involve, for example, the scale, pace, and composition of urban population growth, rate of urbanisation, structure of urban employment and gross domestic product (GDP), the nature and quality of infrastructure equipment, in order to be able to address the question: how urban is India ? **SOCR**

This study has several triggers, one of them being the extraordinarily important shift that has been observed in the world's vision and perspective of the phenomenon of urbanisation. In 1969, Robert McNamara, then President of the World Bank in his address to the UN Economic and Social Council noted, 'I have mentioned the steady drift from the countryside to the cities. That is a phenomenon the world over, but its effects in the underdeveloped countries are even more serious than in the developed nations. We simply do not understand the dynamics of urbanization in sufficient depth so as to be fully certain of the most efficient solutions. Should the developing nations use their limited resources in an effort to motivate villages through intensive rural development to remain in the countryside? Or, should the funds be invested in urban infrastructure?'¹³ In yet another address to the IBRD Board of Governors, McNamara said: 'our knowledge of how best to deal with the whole issue of urbanization remains primitive'.¹⁴Lester Pearson's report on Partners in Development (1969) perceived the growth of cities in terms of unemployment and an increase in social tensions, suggesting that 'the planning strategy in developing countries must emphasize the growth of small and intermediate centres'15 The world community has since come a long way in recognising the potential gains and transformative and disruptive attributes of cities and urbanisation,

"Our knowledge of how best to deal with the whole issue of urbanization remains primitive". Robert McNamara

¹³ Robert McNamara. 1969. Address to the UN Economic and Social Council, New York.

¹⁴ Robert McNamara. 1969. Address to the Board of Governors. The World Bank. Washington D.C.

¹⁵ Lester Pearson. 1969. Partners in Development. Report of the Commission on International Development, New York. Praeger.

with India being an integral part of this process. From a stage where much of the formal thinking on urbanisation in India focused on how to control and regulate urbanisation and develop small and intermediate towns (India's Fourth and Fifth Five Year Plans), India now considers urbanisation an 'engine of economic growth' (Planning Commission), 'integral part of economic development' (NITI Aayog), and one that will define the 'trajectory of India's future development' (Economic Survey).¹⁶ For India, it has been a long journey, far from smooth, often ambivalent, but simply the changes over the decades are phenomenally instructive, shedding light on the dynamics of urbanisation and how it has translated into public policy responses from time to time. Environmental Improvement of Urban Slums (EIUS), Integrated Development of Small and Medium-sized Towns (IDSMT), Urban Basic Services for the Poor (UBSP), Mega Cities, National Slum Development Programme (NSDP), Jawaharlal Nehru National Urban Renewal Mission (JNNURM), Rajiv Awas Yojna (RAY), Smart Cities, Atal Mission for Rejuvenation and Urban Transformation (AMRUT), Swachh Bharat Mission, National Urban Livelihood Mission (NULM), and Housing for All are examples of publicly-led initiatives to address the challenges of urbanisation and tap its potential and economies. This study takes note of these developments, together with the precepts and the thought processes underlying them. Chart 1 attempts to capture India's urban story.

As urbanisation has advanced and spread out to countries, large and small, developed and developing, concerns over its challenges and how these might possibly be approached and responded to have become an important theme globally. In its first policy paper on urbanisation (1991), the World Bank discerned a close link between urbanisation and macroeconomic parameters and called upon countries to 'alleviate the constraints on the productivity of cities'. Noting the serious gaps in the understanding of urban issues, the World Bank produced in the follow-up paper (2000), a matrix of strategic vision for supporting cities, arguing that they were important for their liveability, bankability, and competitiveness.¹⁷ Based on its ongoing urban work in the intervening years and noting the very significant contribution of cities to the global GDP, the World Bank's 2010 urban strategy paper underlined the importance of a 'system of cities' in making cities 'more equitable, efficient, sustainable, and environmentally friendly'. According to the 2010 paper, density, agglomeration, and proximity were fundamental to human advancement, economic productivity, and social equity.¹⁸ The UN-Habitat, a specialised agency of the UN dealing with human settlements, on the other hand, put to the global community that over 1 billion people, or 32 percent of the world's urban population, were living in

¹⁶ Planning Commission, Eleventh Five Year Plan, 2007-12. New Delhi; NITI Aayog. 2017. The 3-year Action Plan. New Delhi; and Economic Survey. ibid.

¹⁷ The World Bank. 1991. Urban Policy and Economic Development: An Agenda for the 1990s; and Cities in Transition. 2000. International Bank of Reconstruction and Development. Washington D.C.

¹⁸ The World Bank. 2010. System of Cities: Harnessing Urbanization for Growth and Poverty Alleviation. Washington D.C.

slums and that poverty was shifting its locus towards cities, a process that it recognised as *"urbanisation of poverty"* (2003). As a result, *Cities without Slums* emerged as a lead benchmark for the developing world to act upon.¹⁹

Benchmarks such as liveability, bankability, competitiveness, equitable, efficiency, and sustainability, and cities without slums have often served as guide points for countries to shape their approaches to urbanisation. Several of these have now been re-cast and stand absorbed, albeit in varying forms and degrees, in the Sustainable Development Goal 11, the New Urban Agenda, and in the Paris Agreement on Climate Change. Although segments of these goals and agendas have been emphasized from time to time, these are still in the process of being understood. Scholars have been quick to point out that the theoretical approaches to the study of cities in global climate politics are relatively new, reflecting the speed and scale at which cities have expanded and changed over the last two decades. Others have drawn attention to the challenges of theorising the power of cities, stating that cities that ought to be the driving force for the new global benchmarks 'lack the sovereignty' that is typically afforded to nation-states'²⁰ Yet others have suggested that 'we need cities and human settlements that are inclusive, safe, resilient, and sustainable. We are failing in how we plan, build and manage our cities.²¹ Mention may be made here of the Government of India's recent thinking on how urbanisation in India should be taken forward. Contained in a draft National Urban Policy 2018, it is presented in the form of ten sutras or philosophical principles whose prime message is to replace a view of cities as 'machines for living' with one that sees them as 'evolving ecosystems.'22 This study attempts to weave several of the global considerations to understand the state of the Indian cities.

This study recognises the complexity in capturing the dynamic and transformative attributes of urbanisation. It acknowledges the dramatic shifts that have taken place in this sphere since the time when Ildefons Cerda,

CITIES THAT OUGHT TO BE THE DRIVING FORCE FOR THE NEW GLOBAL BENCHMARKS, SUCH AS THE SUSTAINABLE DEVELOPMENT GOALS, LACK THE SOVEREIGNTY THAT IS TYPICALLY AFFORDED TO NATION-STATES.

²⁰ Craig A Johnson. 2018. The Power of Cities in Global Climate Politics. Palgrave Macmillan. Canada.

We need cities and human settlements that are inclusive, safe, resilient, and sustainable. We are failing in how we plan, build and manage our cities.

¹⁹ UN-Habitat.2003. The Challenge of Slums. Global Report on Human Settlements. Earthscan Publications Ltd. London and Sterling. VA.

²¹ Joan Clos. 2016. Towards a new urban agenda. LSE CITIES.

²² Government of India, Ministry of Housing and Urban affairs. 2018, Draft National Urban Policy, New Delhi (mimeo).

BOX 3 THE FIVE BASES OF THE GENERAL THEORY OF URBANISATION

At no time has anyone, anywhere, treated the subject of the **urb** from so many viewpoints; no one else has ever even tried. Cerda was concerned with the 'hygiene, the health order; the moral order, the economic order, the political order; the legal order', and of course, with social, financial, and aesthetic aspects, logic, rationality, feelings, justice, liberty, equality, and internal consistency. No other thinker, no other treatise writer had even attempted to tackle head-on the full complexity of these human artifacts to which we have given the name **cities**.

In FOREWARD to CERDA: *The Five Bases of the General Theory of Urbanization*, pp. 13, edited by Arturo Sorie Y Puig. 1999.

a Spanish engineer, invented the term *"urbanizacion"* in 1860/61, and wrote a classic under the title, *Teoria general de la urbanizacion* (The Five Bases of the General Theory of Urbanization),²³ and Kingsley Davis (1955), who put out a definition of urbanisation as the 'expansion of city-based population relative to the total national population' ($U = P_0/P_t$ with U = urbanisation; $P_0 =$ population of cities; and $P_t =$ total national population).²⁴

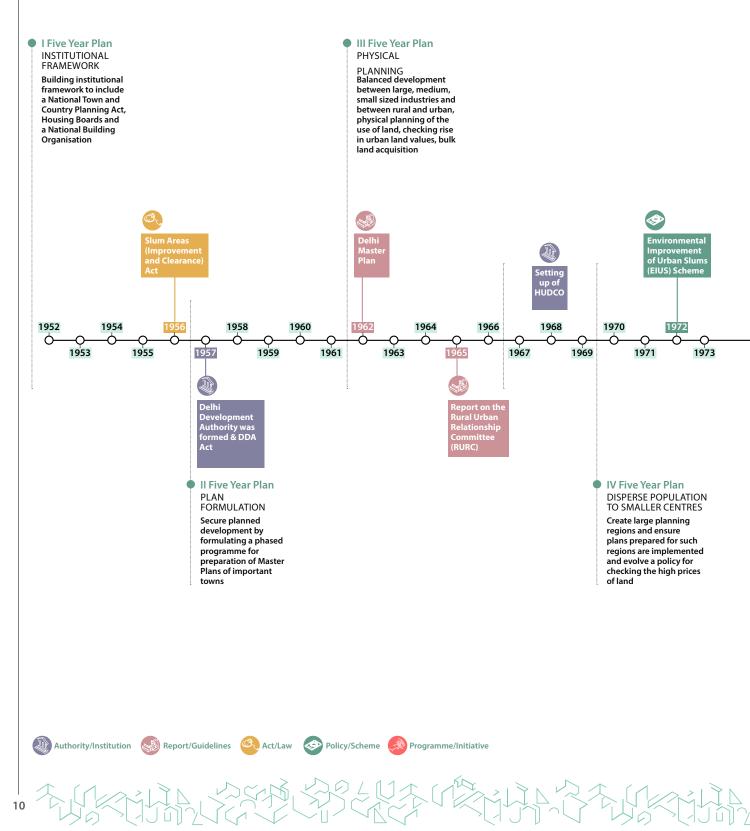
Subsequent work, however, considers Davis' description of urbanisation a 'narrow, ahistorical and population-centric', unable to grasp the scale and diversity of agglomeration processes that are associated with the contemporary form of urban around the world.²⁵ The form of urbanisation, as scholars note, has been radically reconfigured, a process that has seriously called into question the assumptions, underpinned in urban theory and research. Along with the dramatic spatial and demographic expansion of major mega-city regions, recent decades have also witnessed far-reaching implosions and explosions of the urban at all spatial levels, continuing in many ways the seminal work of Jane Jacobs on the rise and fall of cities. Writing in the widely-quoted book, The Death and Life of Great American Cities, Jacobs alleged: 'cities happen to be problems in organized complexity, like the life sciences. They present situations in which a half-dozen or even several dozen quantities are all varying simultaneously and in subtly interconnected ways. Cities, again like the life sciences, do not exhibit one problem in organized complexity which, if understood, explains all'. For Jacobs, 'master planning', 'order', and 'zoning regulations' in city building and rebuilding were 'sterile, regimented, empty'; she argued that 'intricate intermingling of different uses in cities are not a form of chaos. On the contrary, they represent a complex and highly developed form of order. Everything in this book has been directed towards showing how this complex order of mingled uses works'.²⁶

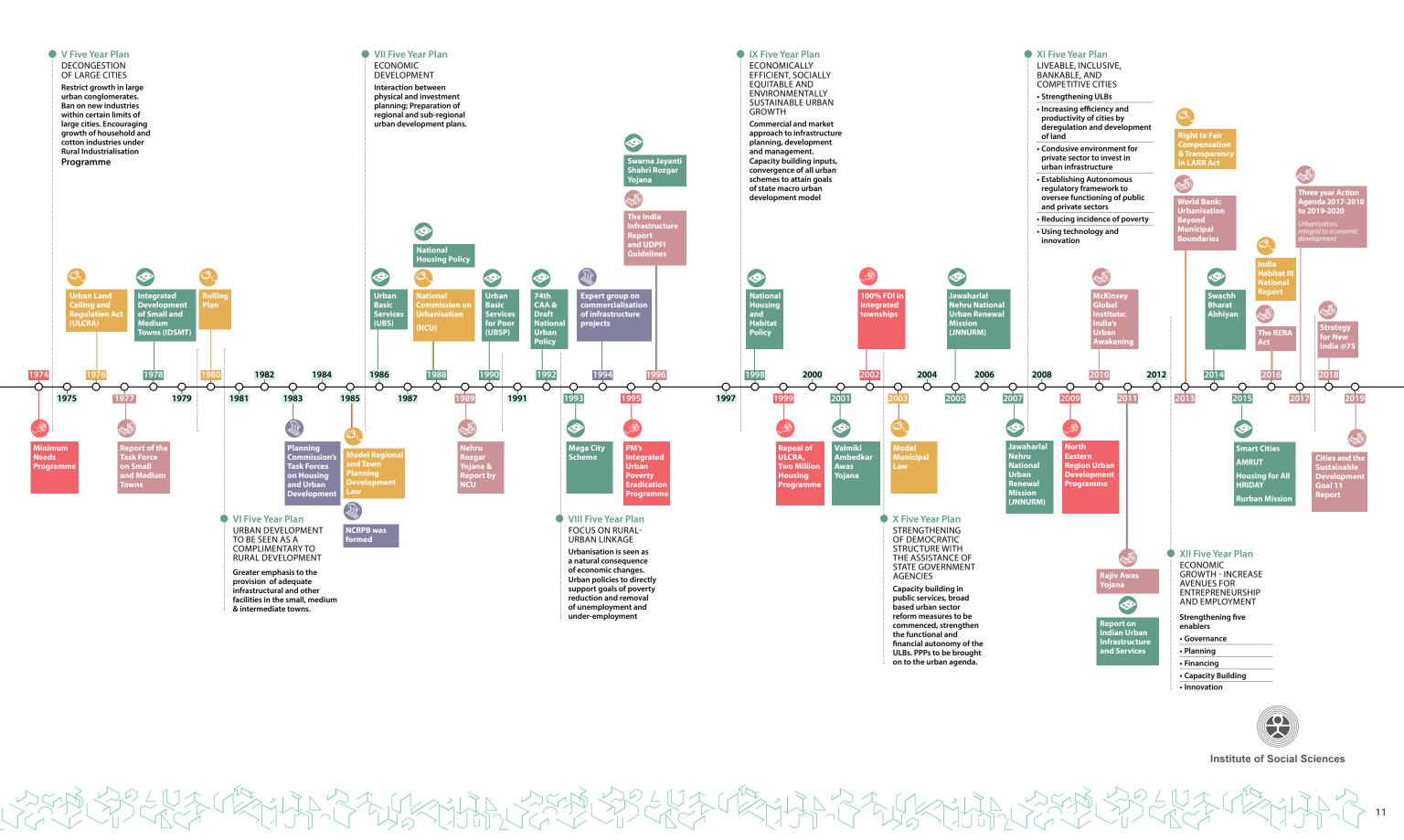
²³ Arturo Soria y Puig. 1988. The Five Bases of the General Theory of Urbanization. Fundacio Catalana per a Recerca. Barcelona.

²⁴ Kingsley Davis. 1955. 'The origin and growth of urbanization'. American Journal of Sociology. 60.5 (pp. 429-37).

²⁵ Neil Brenner (ed.). 2014. Implosions/Explosions: Towards a Study of Planetary Urbanization. Imprint by Jovis Verlag GmbH. Berlin. Cities happen to be problems in organised complexity, like the life sciences.

TIMELINE OF URBAN DEVELOPMENT IN INDIA





STATE OF THE CITIES INDIA

> The theories that explain the phenomenon of urbanisation, ruralurban migration, (Harris-Todaro model), economies of urban concentration, growth poles, the Zipf law, inter-firm clustering, and global flows and borderline connectivity are adjusting to the changing circumstances and priorities.

Mention may be made here of Global Urban Research Initiative (GURI) that set in at the University of Toronto a research platform for the developing countries to share and deliberate on the overviews of urban research' and lay ground for a scientific examination of the emerging urban issues. Spearheaded by the Ford Foundation and the World Bank to help build a knowledge base, GURI's Initiative (1993-1999) focused on documenting the state of urban research as it was getting reshaped by globalisation, structural adjustment, and reduction in the role of the public sector. GURI contributed to the production of several volumes of comparative urban research, spanning over forty countries of Africa, Asia, and Latin America. The India's state of urban research forms a part of Richard Stren's edited book on Urban Research for Asia.²⁷ More recently, a New Science of Cities has emerged. Michael Batty, author of the book with the same title advocates application of tools and methods for defining spatial flows and interactions. According to Batty, cities are complex systems that grow from the bottom, their size and shape follow well-defined scaling laws that result from competition for space. Recent advances in digitisation, big data, artificial intelligence and cloud computing are producing new forms of communications, creating opportunities for city growth analysis and modelling that are dramatically different from those available thus far.²⁸ It is significant that in many ways, even the theories that explain the phenomenon of urbanisation, rural-urban migration, (Harris-Todaro model), economies of urban concentration, growth poles, rank size rule, the Zipf law, central place theory, inter-firm clustering, and global flows and borderline connectivity are adjusting to the changing circumstances and priorities. The SOCR keeps this context in view.

The State of the Cities Report (SOCR) focuses on the demographic, economic and infrastructural characteristics of Indian cities aggregated at state levels, with a view to address a core question: how urban is India? It is designed to enhance our understanding of the urban phenomenon, how it has unfolded itself in India and what directions it is setting for its future. There exist today multiple narratives of urbanisationy —it is said to be rapid, moderate, slow, messy, hidden, all at the same time. What underpins these narratives? An attempt is made here to study the process and the phenomenon of urbanisation at different spatial levels with the use and application of the various tools and methods, and probe such questions as: Is India's urbanisation spatially more balanced today than in the past?; Is it more productive?; Is it better equipped with infrastructural services? Is it moving closer to the goals of inclusion and environmental security? - in an attempt to develop and advance our understanding of the key question, how urban is India?

Urbanisation in India as in several other countries is a complex phenomenon; moreover, it is taking place under conditions of low per capita income and

Studies. University of Toronto Press Incorporated.

Future of Urban Life. Yale University Press. New Haven. USA

²⁶ Jane Jacobs. 1961. The Death and Life of Great American Cities. Vintage Books, New York.

²⁷ Richard Stren. 1994. Urban Research in the Developing World: Asia. Centre for Urban and Community

²⁸ Carlo Ratti and Matthew Claudel. 2016. The City of Tomorrow. Sensors, Networks, Hackers, and the

BOX 4 URBAN THEORY AND CITIES

What is the current state of urban theory? Is contemporary urban theory capable of capturing the complexity of contemporary cities around the world? How is the contemporary city being studied? Using what methods? What constitutes the CITY?

Historically, urban theorists differentiated the city from towns or villages according to population size or density, built form, or economic, political or religious power. It was the concentration of people, living and working together, the agglomeration of buildings and infrastructure, institutions and organizations that defined urban spaces and places as cities. Most recently, urban theorists have argued that distinctions between the rural and urban have become irrelevant, or at least less relevant, and that the spread of urban life has led to the bleeding together of numerous cities, small towns, suburbs and so on to such a degree that it is more relevant to talk of city-regions, or globalised urban systems than discrete urban spaces. Such insights highlight how cities are not discrete boundaries or individual settlements but, instead, that flows and juxtapositions, porosity and relational connectivity define urban life. As such, cities are now understood as existing in an era of increasingly geographic extended spatial flows, where relations stretch out across space. Cities now are considered as discontinuous, internally diverse, open and relational.

Mark Jayne and Kevin Ward. 2017. Urban Theory: New Critical Perspectives. Routledge. London and New York.

substantial governance and infrastructure and service deficits. This study attempts to capture its complexity via an urbanisation index using a set of 25 indicators. It presents a body of evidence and data as brought out from time to time by the Census of India, National Sample Survey Organisation (NSSO), Annual Survey of Industries (ASI), Economic Survey, Central Pollution Control Board (CPCB), the Ministry of Road Transport and Highways, and the National Family Health Survey, 2015-16. It also uses the bi-annual publications of the United Nations, World Urbanization Prospects, and the World Bank's World Development Indicators. For a wider usage of this report, it brings in at appropriate places, the theoretical propositions and underpinnings in the expectation of triggering an informed debate about India's urban profile and trajectory and its relationship with macroeconomic parameters. Recognising the SOCR to be a build-up on several recent reports, namely, McKinsey Global Institute's India's urban awakening: Building inclusive cities, sustaining economic growth, (2010); Ministry of Urban Development's, India: Urban Infrastructure and Services (2011); World Bank's Urbanisation beyond Municipal Boundaries (2013); World Bank's Leveraging Urbanisation in South Asia (2016); Government of India's India Habitat III National Report (2016); and Institute of Social Sciences's Cities and the Sustainable Development Goal 11 (2019), the SOCR divides up the study into five chapters, namely, (1) Overview, (2) India's Urban Transition: The Demographics, (3) Economic Foundations of Urbanisation, (4) Infrastructure, Environment, and Urban Change, and concludes it in Chapter (5) with a ranking of Indian states under the title, How Urban is India?²⁹. A compendium of state urban infographics which forms the basis for the construction of ranking of states has been included in a separate section.

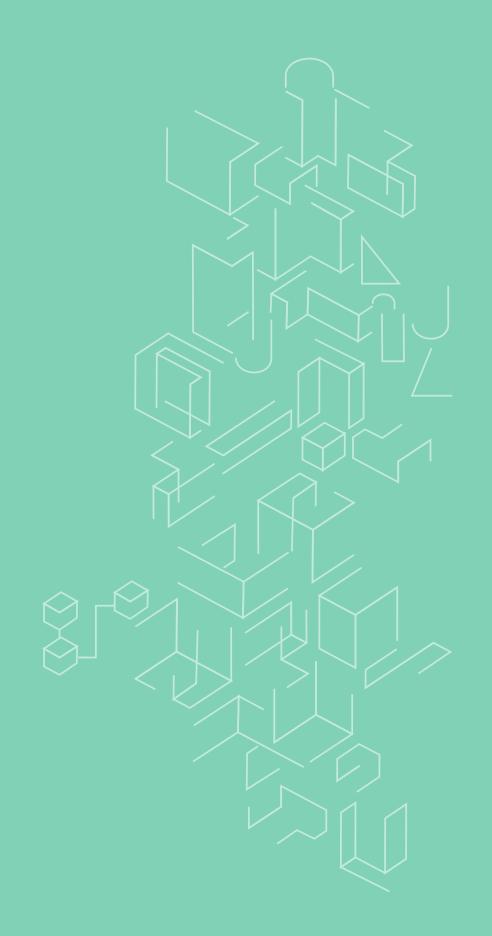
Urbanization in India as in several other countries is a complex phenomenon; Moreover, it is taking place under conditions of low per capita income and substantial governance and infrastructure and service deficits and is, therefore, far more complex.

²⁹ The SOCR makes use of the official statistics that are in public domain.

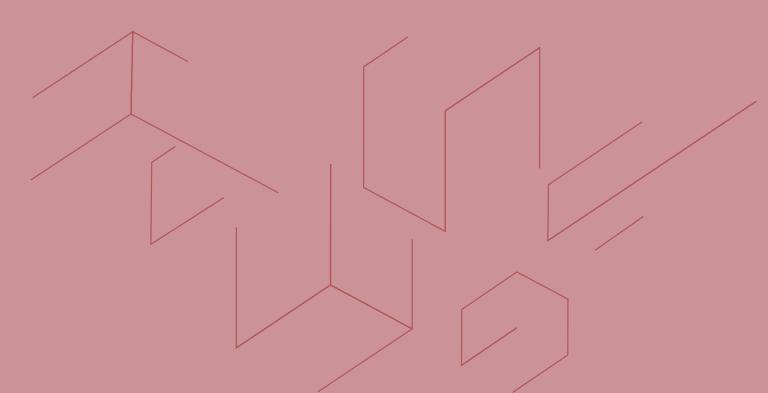
STATE OF THE CITIES

> As this report gets ready to be put in the public domain, the Covid-19 pandemic continues to be a major concern in India as in several other countries. As Antonio Guterres, Secretary-General, United Nations, puts it in the UN-Habitat's 2020 report on THE VALUE OF SUSTAINABLE URBANIZATION, Covid-19 'has disrupted lives and economies around the world. Cities have borne the brunt of the pandemic.... The Covid-19 pandemic has exposed deep inequalities and demonstrated that tackling the virus is more challenging in urban areas, where access to quality healthcare is uneven, housing inadequate, water and sanitation lacking, transport infrastructure patchy, and jobs precarious'. In the same report, Maimunah Mohd Sharif, Executive Director, UN Habitat, states that Covid-19 will not reverse urbanization. 'The primal drive to congregate in cities and towns in pursuit of aspirations and a better life will continue. We have a chance to make this applomeration process more inclusive, with a clear focus on our collective wellbeing. To harness the transformative powers of urbanization towards sustainable development, we need effective planning, management and governance'.³⁰ It is in this spirit that the SOCR has been prepared.

³⁰ Antonio Guterres. 2020 'Foreword' in UN-HABITAT.2020. World Cities Report 2020: The Value of Sustainable Urbanization, and Maimunah Mohd Sharif. 2020. Introduction in UN-HABITAT. 2020. World Cities Report 2020. United Nations Human Settlement Programme. Nairobi, Kenya.







India's Urban Transition: The Demographics

The world continues to experience an increase in its urban population even as the rate of urbanisation in many regions has slowed from pervious decades. Nevertheless, urban areas are expected to absorb virtually all the future growth of the world's population. At the time of adoption of the 2030 Agenda for Sustainable Development in 2015, 54 percent (4 billion) of the world's population lived in urban areas; by the end of the 20-year period covering the New Urban Agenda in 2036, 62 percent (5.4 billion) of the global population is expected to reside in urban areas. Ninety-six percent of urban growth will occur in the less developed regions of East Asia, South Asia and Africa with three countries - India, China and Nigeria - accounting for 35 percent of the total increase in global urban population from 2018 to 2050.

FOR A BETTER URBAN FUTURE World Cities Report 2020 Urbanisation is one of the important emerging realities of recent decades in India. Consisting of 7933 urban settlements of different population sizes and a population of 377 million, India has the second largest urban system in the world.

INTRODUCTION

rbanisation – commonly understood in terms of a proportion of people living in settlements designated as urban – is one of the important emerging realities of recent decades in India. Consisting of 7,933 urban settlements of different population sizes and a population of 377.1 million (2011), India has the second largest urban system in the world. In the 2001-11 census decade, the urban system registered an unprecedented expansion, fuelled in the main by an increase in the numbers and population of census towns and in part by an impressive growth in the population of large cities. The overall urban population growth rate which had registered a steep fall in the decades of 1981-91 and 1991-2001 has posted a recovery, albeit a marginal one. Inter-state gaps in the levels of urbanisation have displayed trends towards convergence. Eighty one out of 640 districts now claim to have over 50 percent of their populations living in cities and towns. At the international level, India's share in the world's urban population has risen to 11.03 percent and is projected to rise to 14 percent by 2050. On the other hand, population growth rates of statutory cities and towns are at a historic low, 2.03% during the 2001-2011 decade. The role of rural-urban migration in the process of urbanisation continues to be subdued, staying within a narrow range of 20.0-22.8 percent. 268 districts are grossly under-urbanised, with the level of urbanisation in these districts being less than 17.3 percent, the 1951 level of India's urbanisation. Internationally too, the level of India's urbanisation has thus far been consistently lower compared with the average for the less developed countries. Nor has India demonstrated any initiative that will bring its urbanisation parameters close to what scholars such as Neil Brenner and Henri Lefebvre call, 'planetary' or 'generalised' urbanisation, a process associated with economic globalisation and integration and information revolution.³¹

It is in this context that this chapter examines the trends in India's urbanisation, covering the scale, pace, rate, composition, and its spread and distribution across city-sizes and spaces at various levels. Given that India's urbanisation has been described in multiple ways- *rapid, moderate, slow, hidden, and messy,* an attempt is made here to make use of the established frameworks

BOX 5. WHAT IS DEMOGRAPHY?

Demography is the scientific study of population. It is concerned with the 'numbering of the people' and with the 'understanding of population dynamics' – how populations change in response to the interplay between fertility, mortality, and migration. This understanding is a pre-requisite for making forecasts about future population size and structure, which are required for planning and development. Demography is largely concerned with addressing questions about how populations change and with the measurement of population and the components of population change.

(International Union for the Scientific Study of Population)

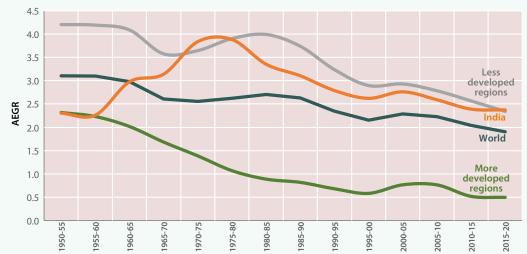
³¹ Neil Brenner (ed). 2014. Implosion/Explosion: Towards a study of planetary urbanisation. Jovis Verlog Gmbh. Berlin

BOX 6. INDIA AND THE WORLD'S URBAN POPULATION

'Globally, more people live in urban areas than in rural areas, with 55 percent of the world's population residing in urban areas in 2018. In 1950, 30 percent of the world's population was urban, and in 2050, 68 percent of the world's population is projected to be urban. Growth in the urban population is driven by overall population increase and by the upward shift in the percentage living in urban areas. Together, these two factors are projected to add 2.5 billion to the world's urban population by 2050, with almost 90 percent of this growth happening in Asia and Africa.' (United Nations. 2018. World Urbanization Prospects: The 2018 Revision. New York).

India has been an important part of the process of world's urbanisation. Although the rate of India's urbanisation has been lower in comparison with the average for the less developed countries, its share in the world's urban population has risen consistently over the decades.

In 1950, India's urban population was 8.5 percent of the world's urban population; in 2020, it is estimated at 11.03 percent. Over the decades (2020–2050), India will play a larger role in the world's urbanisation process than any other country, with its share in the increment to world's urban population rising up to 13.1 percent. China's share over the same period will begin to decline, ending with 16.3 percent in 2050.



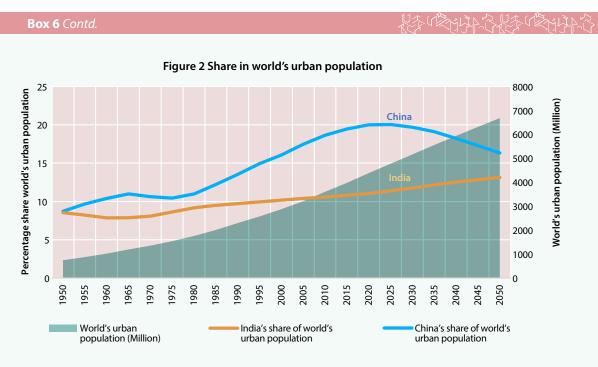




Year	Urban population: AEGR (Annual exponential growth rate)				
	India	World	Less developed countries		
1960–1990	3.38	2.68	3.82		
1990–2010	2.75	2.25	2.96		
2010–2020	2.40	1.98	2.46		
1960–2010	3.13	2.51	3.48		
1960–2020	3.00	2.42	3.31		

Source: United Nations. 2018. World Urbanization Prospects. The 2018 Revision. New York.

STATE OF THE CITIES



Source: United Nations. 2018. World Urbanization Prospects. The 2018 Revision. New York.

That urbanisation is not neutral to macroeconomic factors and developments is one of the premises that guides the analysis of the trends in urbanisation. for discerning its principal characteristics. *That urbanisation is not neutral to macro factors and developments is one of the premises that under-runs the analysis; it attempts to respond to the question: what is different about India's urbanisation of the post-1991 period?* This chapter also refers to a few propositions that have dominated urban thinking, for example, the process of urbanisation being an attenuated 'S', 'logistic curve', exhibiting a pattern in which the rate of change is slow at first, then rises steeply as the early stages of industrialisation are reached, and tapers off gradually when the proportion urban begins to reach a saturation point; or that the rate of population growth is independent of the size of the city. The SOCR attempts to test out a few such propositions³².

³² Scholars look at the theory of urbanisation as an underdeveloped area in the field of urban and regional economics. It is partly a consequence of the great diversity of urbanisation experience which does not easily lend itself to generalisation. It also reflects the complex character of the urban growth process which cannot solely be explained in economic terms. See Harry Richardson. 1969. Regional Economics. Weidenfald and Nicholson. London.

INDIA'S URBAN TRANSITION

BOX 7. MEASURING URBAN TRANSITION

Measuring urban transition:-

- Absolute increase in urban population
- Percent growth rate of urban population
- Level of urbanisation (percentage of population that lives in settlements designated as urban)
- Percentage growth rate of the urban share of population

Michael Cohen *et al.*, notes that there is often a confusion between overall urban population growth and the growth rate of the share of urban population. Urban growth is the rate of change in the urban population; the rate of change in the proportion of population that is urban, measures how fast a country is transitioning from rural to urban.

Source: Michael Cohen *et al.*, (eds). 1996. Preparing for the Urban Future: Global Pressures and Local Forces. The Woodrow Wilson Center Press. Washington D. C.; Also see. The World Bank. 2019. Realising Indonesia's Urban Potential. Time to Act. Washington, D.C.

Census Years	F	Population (in million)	Level of urbanisation	Number of cities
	Urban	Rural	Total	(%))	and towns ³³
1901	25.9	212.5	238.4	10.8	1915
1911	25.9	226.1	252.1	10.3	1864
1921	28.1	223.2	251.3	11.2	2018
1931	33.5	245.5	279.0	12.0	2188
1941	44.2	274.5	318.7	13.9	2392
1951	62.4	298.6	361.1	17.3	3035
1961	78.9	360.3	439.2	18.0	2657
1971	109.1	439.0	548.2	19.9	3081
1981	159.5*	523.9	683.3	23.3	3891
1991	217.6*	628.9	846.4	25.7	4651
2001	286.1	742.6	1028.7	27.8	5161
2011	377.1	833.7	1210.9	31.1	7933

Table 2. Trends in rural-urban transition

Source: Census of India, various issues.

*These figures include the estimated population of Jammu and Kashmir and Assam.

³³ Source: Census of India, 2011, Table A-1. Number of villages, towns, households and population. Note should be made of the fact that Table A-4 of the census registers UAs and towns whose number in 2011 was 6171. This report makes use of these two sets of figures in line with the relevance of the figure.

STATE OF THE CITIES

> From a modest total of 25.9 million (1901), the number of urban dwellers has risen to 377.1 million (2011), signalling a phenomenal 14-fold increase in urban population over the period 1901

Source: Census of India, various issues. 2011. India has witnessed an unprecedented increase in its urban population over the past several decades. From a modest total of 25.9 million in India (1901), the number of urban dwellers has risen to 377.1 million (2011), signalling a phenomenal 14-fold increase in urban population over the period 1901-2011. In the last census decade, 90.9 million people, almost equal to the increment in rural population, were added to the country's total urban population. Moreover, the urban population in India is doubling itself at a faster rate than any time in the past. It took, for instance, all of history up to the beginning of the 20th century for urban population to reach 25 million, about 43 years to increase from 25.9 million to 51.8 million, and another 26 years for it to double once again. At the current growth rates, urban population growth will keep to its upward movement, and reach a staggering total of over 600 million by the year 2030 and 870 million persons by 2050!

1961-71 109.0 30.2 27.7 1971-81 135.1 50.4 37.3 1981-91 163.1 58.1 35.6 1991-01 182.3 68.6 37.6 2001-11 182.1 90.9 49.96

Table 3. Urban share in net population increment (1961-71 to 2001-2011)

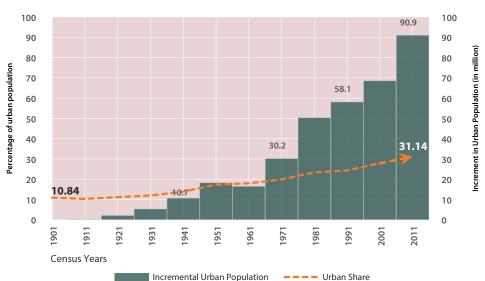


Figure 3. Trends in India's urbanisation

India's urban transition combines decades of high urban population growth with decades of low urban population growth with a peak annual growth of 3.79 percent in 1971-81 and a low of 2.74 percent in the decade of 1991-2001. What lends significance to this decadal behaviour is that high urban population growth can take place under conditions of low economic growth as indeed was the case in 1971-81; likewise, low urban population growth is not necessarily an impediment to high economic performance. The relationship between urbanisation and overall economic performance, although positive, is complex. It is analysed in the next chapter.

INDIA'S URBAN TRANSITION HAS PASSED THROUGH PHASES, WHERE IT ACCELERATED UNDER CONDITIONS OF LOW ECONOMIC GROWTH, AND MODERATED UNDER CONDITIONS OF HIGH ECONOMIC PERFORMANCE.

Urban transition shows itself not just in the scale of urban population growth and rising shares – it has involved a sharp increase in the number and population of cities and towns across city sizes. What is important, however, is that the average population size of cities and towns in the different size categories has remained largely unaffected. (Figures 4 and 5).

Transition shows itself not just in the scale of urban population growth and rising shares – it has involved a sharp increase in the number and population of cities and towns across city sizes. What is important, however, is that this increase has not affected the average population size of cities and towns in the different size categories.

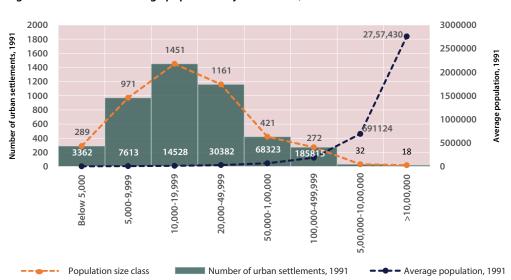


Figure 4. Number and average population by size classes, 1991*



Figure 5. Number and average population by size classes, 2011*

Source: Census of India, various issues.

*The numbers of urban settlements as given in the two graphs represent the total of urban agglomerations and towns. See Census of India A-4 Tables

Rural urban differences in population growth rates are a key factor in the process of urbanisation. These differences, shown in Table 4, have fluctuated over the decades, representing differences in (i) fertility rates, (ii) migration from rural to urban areas, and (iii) reclassification of rural settlements into urban. As India's demographics change with the advancement of the India's economy, the differences in rural-urban population growth, particularly those attributable to fertility have narrowed down. As a result, urban-rural shares in incremental population have changed significantly in favour of urban. The United Nations has forecast that India's rural population will begin to decline in absolute numbers around 2027. From that year onwards (or in a year between 2025 and 2030), population increase in India will be wholly an URBAN STORY.

THE UNITED NATIONS HAS FORECAST THAT INDIA'S RURAL POPULATION WILL BEGIN TO DECLINE IN ABSOLUTE NUMBERS AROUND 2027; FROM THAT YEAR ONWARDS, POPULATION INCREASE IN INDIA WILL BE WHOLLY AN URBAN STORY.

Figure 6. Proportion of urban and rural population 1901-2011

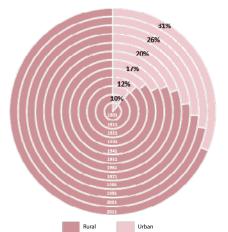


Table 4. Trends in urban-rural population growth rates

	Annual exponential percent increase				
Census Year	Total population	Rural population	Urban population		
1961-1971	2.22	1.98	3.24		
1971-1981	2.20	1.77	3.79		
1981-1991	2.14	1.83	3.11		
1991-2001	1.95	1.66	2.74		
2001-2011	1.63	1.16	2.76		

Source: Census of India, various issues.

The growth rate of the urban share of population is an important yardstick for assessing how fast India has transitioned from a rural to an urban or quasiurban nation. In the decades of 1951 and 1961, this rate was 0.5 percent. On an annual basis, the rate rose in the decades, 1961-71 and 1971-81, reaching a high of 1.56 percent. Since then, it has declined and seen to be stabilising between 1.0 to 1.2 percent. The important point is that India's urban growth, measured in terms of the growth rate of the urban share of population, has consistently been lower than the predicted pace of urbanisation derived from the data of 234 countries. Measured in terms of the growth rate of the urban share of population. India's urban population growth in comparison with other countries has been consistently lower.

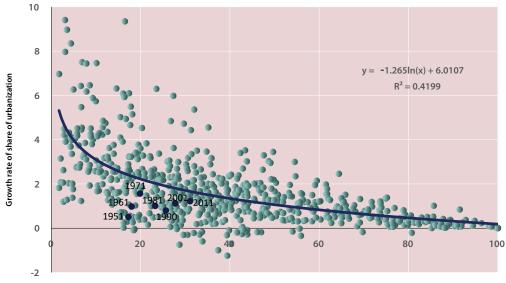


Figure 7. India's pace of urbanisation compared with other countries

% Level of urbanisation

Source: Census of India, various issues: United Nations: World Urbanization Prospects.2018

With a share of about 60 percent, natural increase has historically been a dominant source of urban population growth, followed by rural-urban migration and reclassification of settlements into urban. The 2011 census shows an altogether different composition, with the share of natural increase dipping to 43 percent, followed by census towns whose share has jumped to 31.2 percent, and rural-urban migration accounting for 22.8 percent of the net urban population increase.

Natural increase is stated to have a direct positive effect on urban population increase. Literature suggests that as countries become increasingly urban the relationship between natural increase and urban population growth begins to attenuate; this trend appears to be setting in India.

DECOMPOSING INDIA'S URBAN TRANSITION: NATURAL INCREASE, MIGRATION, OR NEW SETTLEMENTS

India's urban transition involving the addition of 90.9 million persons within a decade, 2001-2011, has been variously described. It is said to be dispersed, having taken place 'beyond municipalities', as the World Bank puts it; it is seen as an outcome of rural-urban migration directed towards large cities, it is simultaneously viewed as fertility driven. The questions are: what is the evidence on the drivers of urbanisation? Is India's urban transition a continuation of the trends observed earlier or does it signal a departure from the past? Has urban population growth taken place across city-sizes, or has the growth been size-selective? Has the process bridged the urban gap within the country or widened it? The following sections attempt to address several of these questions.

Urban population growth across countries is analysed in terms of four proximate factors, (i) natural increase, (ii) rural-urban migration, (iii) reclassification of rural settlements into urban, and (iv) changes in the physical boundaries of existing urban settlements. The relative importance of these factors varies and changes over time. In the Indian case, with a share of about 60 percent, natural increase has historically been a dominant source of urban population growth, followed by rural-urban migration and reclassification of settlements into urban. The 2011 census shows an altogether different composition, with the share of natural increase dipping to 43.3 percent, followed by the share of census towns rising to 31.2 percent, and rural-urban migration accounting for 22.8 percent of the net urban population increase. New statutory towns account for 2.8 percent of the net increase in urban population.

Natural increase is stated to have a direct positive effect on urban population increase. Literature suggests that as countries become increasingly urban, the relationship between natural increase and urban population growth begins to attenuate; this trend appears to be setting in India, with its share taking a substantial dip in the most recent census decade.

BOX 8. THE FORM OF URBANISATION-CONCENTRIC TO POLYCENTRIC

The process of urbanization has changed fundamentally in recent years. For more than a century, the dominant form of urbanisation was concentric, with suburbs arranged like belts around an urban core. This is how the large agglomerations of the twentieth century emerged. Around the end of the century, however, the urban growth pattern began to change as manifested in a wide variety of places: the process of urbanization has become undirected; existing urban forms are beginning to dissolve; centrality is becoming polymorphous. Overarching polycentric urban regions are taking shape. Extremely heterogeneous in structure, they include old city centres as well as the once-peripheral areas.

Christian Schmid. 2014. 'Networks, Borders, Differences: Towards a Theory of the Urban'. In Neil Brennan (ed.). 2014. ibid.

N/	Percentage share in urban population growth					
Year	Natural increase	New census towns	Net migration	New statutory towns		
1971-81	51.7	16.6	19.9	11.9		
1981-91	62.7	12.6	22.6	2.1		
1991-2001	60.9	10.2	21.1	7.9		
2001-11	43.3	31.2	22.8	2.8		

Source: 1971-81 and 1981-91 data: IIHS-RF paper on Indian Urban Economy, 2014 1991-2001 and 2001-11 data: Computed using data from Census of India 2001 and 2011

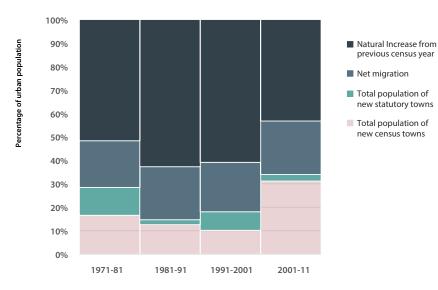


Figure 8. Composition of urban population growth

IF HISTORY IS ANY GUIDE, LARGE SCALE MIGRATION TO THE CITIES IS PART AND PARCEL OF THE TRANSFORMATION ECONOMIES MUST GO THROUGH IF THEY ARE TO GROW QUICKLY. NO COUNTRY HAS EVER CAUGHT UP WITH THE ADVANCED ECONOMIES THROUGH FARMING ALONE.

- COMMISSION ON GROWTH AND DEVELOPMENT

Rural-urban migration underpins the process of urbanisation and is a universally observed phenomenon in the developing and emerging economies. Rural–urban migration underpins the process of urbanisation and is a universally observed phenomenon in the developing and emerging economies. In 1962, Bogue and Zachariah observed that 'rural–urban migration is by far the major component of urbanisation and is the chief mechanism by which all of the world's great urbanisation trends have been accomplished. This being the case, there is a great potential in focusing on rural–urban migration as a way of deepening one's understanding of the urbanisation process'. According to the authors, 'a discussion of urbanisation in India is fundamentally a discussion of net rural–urban migration and an analysis of the migration-stimulating effects of the various demographic, economic and social factors that are at work'.³⁴

BOX 9. RURAL - URBAN MIGRATION

Why do people migrate from the rural to the urban areas? This phenomenon of rural-urban migration has been long studied and examined in literature, with references dating to the latter part of the 19th century where attempts to explain it were made in terms of population pressures, food scarcity and famines, somewhat akin to what later came to be known as push factors (Ravenstein : 1885. The Laws of Migration). The orthodox economic theory on the question of geographic labour mobility as advanced by J. R. Hicks (1932) suggested differences in net economic advantages, chiefly differences in wages, as the main cause of rural to urban migration. A number of models have since been developed (Arthur Lewis (1954), Gustav Ranisand John C.H. Fei (1961) that have helped to better understand the phenomenon of rural to urban migration. It is, however, the Harris - Todaro model that has been widely held; the rate of rural-urban migration in their formulation is held to be a function of expected urban earnings and expected rural earnings. *Their model assumes that rural-urban migration will continue as long as the expected urban real income exceeds real agricultural or rural product.*

Om Prakash Mathur.2003. "Agriculture, Rural-Urban Balance, and Social Viability". A paper prepared for the Food and Agriculture Organization. mimeo.

Rural- urban migration accounts for 22.2 percent of the total migrant population in 2011; in the earlier censuses, this percentage was 20.8 in 1991 and 21.8 in 2001, increasing with every successive year.

Overall mobility in India has risen in recent decades, but it is still a trickle compared to most large developing economies. In its decennial population count, the Census of India collects data on migrants by last residence, classifying migrants in four categories, namely, rural-rural migration, rural-urban migration, urban-rural migration and urban-urban migration. The 2011 census places the number of migrants at 141.9 million or about 12 percent of the total population (those who moved between 2001 and 2011). Successive decades have seen the volume of migrants rise from 80.7 million in 1981-91 (9 percent of the total population) to 95.2 million in 1991-2001 (9.2 percent), and 141.9 million (11.7 percent) in 2001-11. Overall mobility in India has been rising over the years, yet it is still a trickle compared with several large developing countries. Bogue and Zachariah considered India's population largely immobile. They observed: 'It has been widely accepted that the rural population of India is comparatively non-migratory, because it is too strongly tied to its village origin by bonds of kinship, marriage customs, language, and centuries of in-group living to be easily diverted

³⁴ Donald Bogue and K C Zachariah. 1962. "Urbanization and Migration in India". in Roy Turner. India's Urban Future. University of California Press, Berkeley

to the comparative insecurity and strangeness of the city. So widely has this viewpoint been accepted that urbanisation has almost come to be regarded as being inconsistent with the Indian way of life'.³⁵

Rural-urban migration accounted for 22.1 percent of the total migrant population in 2011; in the earlier censuses, these percentages were 20.8 in 1991 and 21.8 in 2001, increasing, albeit marginally, with every successive decade. However, the increase is not large enough to cause any disruption in the pace and pattern of urbanisation. At the same time, urban to urban mobility has risen noticeably in the most recent decade of 2001 to 2011, providing a strong indication of the likely future course of migration and urbanisation trends. Eighty-five percent of movements are, however, intrastate and would attest to the position Bogue and Zachariah had advanced. In states such as Bihar, intra-state mobility accounts for over 90 percent of migration. Social movements form the predominant raison d'être underlying rural-rural migration in India which account for a substantial proportion of total migration. The economic potential of rural-urban migration is neither adequately recognised nor fostered as a part of urban policy. Commenting on India's rural-urban migration, the World Bank in its 2009 report, observed, 'the economic benefits of migration are not always recognized by policymakers. Two forms of policy have been attempted to counter migration in India. The first response has been to increase rural employment, in an attempt to stem movement out of rural areas. The second policy response is implicit. Because of the perceived negative effects, local governments remain hostile towards migrants, while employers routinely disregard laws to protect their rights and needs'.³⁶

The reclassification of rural settlements into urban and likewise, declassification of urban settlements into rural form a part of the process that is commonly observed across countries. Reclassification is regulated Social movements still form the predominant raison d'etre underlying rural-rural migration in India. Its economic potential is neither adequately recognised nor fostered.

Table of Shale of american types of might of mining (76)				
% Share				
1991	2001	2011		
20.8	21.8	22.1		
14.4	15.2	22.6		
7.6	6.6	7.9		
57.2	56.3	47.4		
100.0	100.0	100.0		
80.7	95.2	141.9		
	1991 20.8 14.4 7.6 57.2 100.0	% Share 1991 2001 20.8 21.8 14.4 15.2 7.6 6.6 57.2 56.3 100.0 100.0		

Table 6. Share of different types of migration in India (%)

Source: Census of India, 2011

³⁵ Donald Bogue and K C Zachariah. ibid.

³⁶ The World Bank.2008. World Development Report 2009. Reshaping Economic Geography. The World Bank. Washington D.C.

Table 7. Migration in India: decadal change %

Types	1991-2001	2001-2011
Rural-Urban	23.8	54.8
Urban-Urban	25.0	126.9
Urban-Rural	3.3	82.5
Rural-Rural	16.0	28.9
Total	18.0	53.0

Source: Census of India, 2011

BOX 10. THE CHALLENGE OF URBANISATION

One of the biggest challenges in development is urbanization in developing countries. Nearly two billion people are expected to move from rural regions into cities in the next two decades. The pace at which it will happen (in the future) will be much faster than what the world has experienced before. For instance, China's and India's economic transformation and urbanization is happening at 100 times the scale of the first country in the world to urbanize – the United Kingdom and in just one-tenth of the time. Most countries have only one chance to get it right and there is no 'one size fits all' recipe.

Ejaz Ghani, Arti Grover Goswami and William R Kerr, 2012. 'Is India's Manufacturing Sector Moving Away From Cities?' Policy Research Working Paper 6271. The World Bank. Washington D.C.

The numbers of census towns increased from 1362 in 2001 census to 3892 in 2011, and the population rose from 21.02 million to 54.28 million during the 2001-2011, provoking urban scholars to signal it as a new emerging phase in the country's urban transition.

> Urban space in India stands refigured on account of outward spread of urban activities.

by a set of pre-determined criteria, fulfilment of which enables a settlement to acquire the status of an 'urban' settlement; likewise, urban settlements are declassified when they fail to meet the laid down criteria. In India, fulfilment of three criteria, viz., a population threshold of 5,000 persons; a density of 400 persons per sq.km., and 75 percent of male workers in non-primary sector activities enables a settlement to qualify for an urban status. The exercise involved is *ex-ante* where the Census of India identifies settlements with a population of 4,000 persons and above, and applies the other two criteria to determine their status.

The 2011 census witnessed an unprecedented surge in the numbers and population of such settlements, called census towns. The numbers increased from 1362 to 3894 between 2001 and 2011, and the population rose from 21.02 million to 54.28 million during the same decade, provoking urban scholars to signal it as a new **emerging** phase in the country's urban transition. What has lent it prominence is the follow-up research that shows it to be a potentially important source of urbanisation in the 2021 census with an estimated population of 17.9 million persons.³⁷

³⁷ A recent paper estimates that 2,231 settlements with a population in excess of 4,000 persons will fulfil the criteria for becoming census towns in the 2021 census. These settlements currently (2011) have a population of 17.9 million. See K. C. Pradhan.2018.Predicting the Future of Census Towns'. Economic and Political Weekly, New Delhi. Also see, K.C. Pradhan.2013. "Unacknowledged Urbanisation: New Census Towns of India". Economic and Political Weekly. 48(36). Mumbai.

Table 8. Census towns in India's urban transition

Year	Numbers	Population (million)	Share of population of Census towns in total urban population
2001	1362	21.02	7.3
2011	3894	54.28	14.4

Source: Census of India, various issues.

EMERGENCE OF CENSUS TOWNS AS A FACTOR IN INDIA'S URBANISATION HAS IMPARTED A LOT OF UNCERTAINTY TO ITS FUTURE SHAPE AND STRUCTURE. DOES THIS DEVELOPMENT - 'BEYOND THE MUNICIPAL BOUNDARIES' - MAKE A CASE FOR A CHANGE IN THE WAY CITIES AND TOWNS ARE DEFINED?

Two important characteristics of census towns need to be recognised: (i) the distribution of census towns by population size; as the following pyramid shows, there are 20 census towns in the population range of over 100,000 and another 54 in the population range of 50,000 and 100,000; and (ii) census towns are often beyond the municipal boundaries and not necessarily within the hinterland of large cities. A large number of census towns represent an 'autonomous' growth, meeting the population, density, and occupational criteria. In a recent report, the World Bank describes it as 'messy urbanisation' that is reflected in faster population growth beyond municipal boundaries; for the 12 largest Indian cities satellite imaging shows that the proportion of built-up area outside a city's official boundaries exceeds that within its boundaries.³⁸ The emergence of census towns on this scale has given rise to guestions about what these are and what role do they play in the process of India's urbanisation. Questions have been asked if this development is synonymous with suburbanisation (the example of the USA), or peri-urban development, or rural industrialisation close to large cities, or just a sprawl, unplanned and informal development, a phenomenon driven by local factors.

However, apart from the increase in numbers, little is known about their economic contribution and effects on productivity and economies. Further, the reluctance on the part of the state governments to assign such settlements, particularly those that are within the hinterland of large cities, a statutory status, combined with a tepid growth in the population of statutory cities present an uncertain outlook for the future growth of 'urban' population.

The reluctance on the part of the state governments to assign such settlements a statutory status combined with a tepid growth in the population of statutory cities and towns present a grim outlook for the future growth of "urban" population.

³⁸ The World Bank. 2015. Leveraging Urbanization in South Asia. Washington. D. C.

In an impressive paper titled as 'Subaltern Urbanization: Indian Insights for Urban Theory', Partha Mukhopadhyay and others have referred to this development as subaltern urbanisation, arguing that, to quote, 'we do not use subaltern to mean <u>subordinate</u>, but rather to denote <u>autonomous</u>, if lower status'. They continue, 'while some are located in the shadow of large cities, many of these invisible settlements are far from the metropolitan areas and not subject to major government intervention. We posit that the transformation taking place in these towns go beyond metropolitan influence or growth related to the relocation of activities unwelcome in the metropolis (e.g., polluting industries) or a simple expansion of banal urban functions.'³⁹

BOX 11. SPRAWL INDEX

Sprawl is the result of a faster increase in the growth in urban built-up areas compared to that of population. The sprawl index is defined as the difference between the average annual growth of the urban built-up areas and the average annual population growth in the urban agglomerate. The sprawl index is equal to zero when both population and the urban built-up area are stable over time. It is above (or below) zero when both population and the urban built-up areas are larger (or smaller) than population growth, i.e., the city density has decreased (or increased).

OECD: The OECD Metropolitan Data Base. May 2015

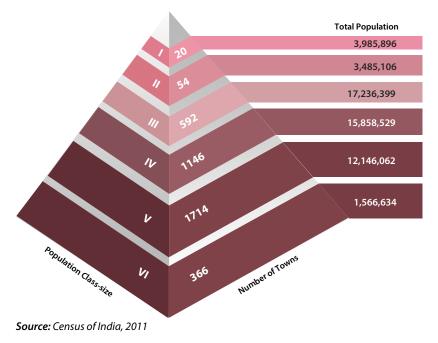


Figure 9. Size-class distribution of Census Towns

³⁹ Partha Mukhopadhyay, Marie-Helene Zerah, and Eric Denis. 2020. 'Subaltern Urbanization: Indian Insights for .Urban Theory'. In International Journal of Urban and Regional Research, Volume 44, Issue No 4, Wiley Online Library. Urban Research Publications .Limited. According to the World Bank, the spillover of cities across their boundaries creates challenges for metropolitan coordination in the delivery of basic services and the provision of infrastructure. It notes, 'the scale of the challenge has grown, evident in the rapid spread of urban footprints. Analysis based on night lights data shows that the region's urban areas expanded at slightly more than 5 percent a year between 1999 and 2010. But the region's urban population grew a little less than 2 percent a year'....'These urbanization belts present an opportunity for greater agglomeration economies, but realising these opportunities require better coordination between different urban local governments:'⁴⁰

The unprecedented rise in the numbers and population of census towns during the 2001-11 decade, reversing the trends of the past three decades where the share of census towns in urban population increase dipped from 16.6 percent in 1971-81 to 12.6 percent in 1981-91 and further to 10.2 percent in the subsequent decade, and the share of rural-urban migration staying within a narrow range, raises vital questions about India's urbanisation. What has led to the emergence of census towns as a factor in the country's urbanisation process? In what way is the emergence of census towns linked with rural-urban migration and what implications does it have for the future of urbanisation? Is there a trade-off between rural-urban migration and census towns? Has geography come to play a greater role in defining India's urbanisation or a geographical change?⁴¹

It is significant that while census towns account for 31 percent of the urban population increase (2011), its decomposition seen alongside other constituents of urban population growth show different patterns and typologies and offer important insights into their respective roles. Thus, there are states (i) where urban population increase is dominated by natural increase; (ii) states where rural-urban migration, accounting for over 35 percent of the urban population increase, plays a dominant role in the process of urbanisation, (iii) where census towns have contributed over 60 percent of the increment to urban population; and (iv) where urban population increase is shared among natural increase, the census towns, and rural-urban migration.

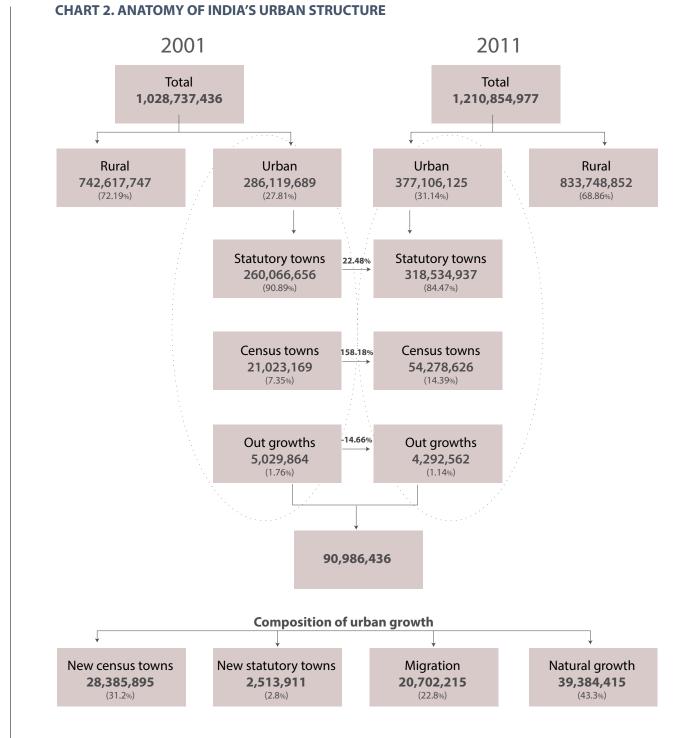
CITY-SIZE DISTRIBUTION: SMALL, INTERMEDIATE OR LARGE?

'Most developing countries are in the middle of the urbanization process. Examining how cities of different sizes have evolved reveals the characteristics of ongoing urbanization and the direction toward which urbanization is heading in the region'.⁴²

⁴⁰ The World Bank. 2015. ibid.

⁴¹ Research on these questions is still to be initiated on a scale that will enable a grip on the role of such towns in the urbanisation process.

⁴² Asian Development Bank. 2019. Fostering Growth and Inclusion in Asia's Cities pp10- Theme chapter of the Asian Development Outlook 2019 Update. Manila. The Philippines.



Source: Census of India, 2001 and 2011

A portfolio of cities and towns is an enduring feature of the pattern of settlements in most countries. Settlements of different population sizes complement one another. Agglomeration is said to provide a balance between centripetal and centrifugal forces. India's urban portfolio consists of a small number of large cities and a large number of small towns. In 2011, the number of all urban settlements was 7,933; between 2001 and 2011, the number increased phenomenally adding 2772 urban settlements. A significant part of the increase is in the number of small towns (census towns) that accounts for 79 percent of all cities and towns; the upper tail cities have witnessed a transition of cities from one size to the next highersize category. For reasons of a transition of cities from one size to the next higher size and variable growth rates in different size categories, their relative proportions have changed noticeably over the decades, with the most noticed change having taken place in the population share of large (>100,000) cities. Metropolitan cities with a population in excess of one million now account for 42.3 percent of the total urban population; the share of large-sized cities (the range 100,000 to one million) in total urban population is 27.9 percent, and that of small towns, 29.8 percent. In this transition, cities with a population of over ten million (Mumbai and Delhi) have come to account for 9.2 percent of the country's total urban population. However, India displays no significant urban concentration; nor are there any trends towards convergence of cities to a common size class category. The pattern of distribution tends to affirm that cities of different sizes have their ongoing roles and functions.

A continuously rising share of large cities in urban population (Table 9) has often been used to suggest that large cities in India have a tendency to post higher growth rates compared to intermediate and smaller sizes- an undertone being that such trends needed to be curbed or slowed down in order to have a more balanced city size distribution. This, in fact, was a dominant theme in the five year plans of the 1970s and 1980s. Rakesh Mohan's 1983 paper 'India: coming to terms with urbanization' put out an alternative method of estimating population growth rates for cities in different size categories that involved exclusion of cities that transited from a lower size to a higher size category and meant reworking of growth rates of cities and towns in size categories that were common between two censuses. The paper showed that the differences in the growth rate in cities of different sizes were at best marginal. According to the paper, the Indian urban system exhibited remarkable stability in that the distribution of city sizes was relatively even. Redoing the growth rates (AEGR) on this methodology for cities and towns common between 2001 and 2011 attest to the above conclusion as may be seen in the following table.43

Metropolitan cities in India, defined as areas having a population of one million or more, comprised in one or more districts and consisting of two or more municipalities or Panchayats or other contiguous areas, are an important

"Urbanization is not concentrated in megacities, and urban hierarchies have not become increasingly unbalanced with urbanization. The worldwide relative size distribution of cities have been rock stable over the past forty years".

Vernon Henderson Hyoung Gun Wang

⁴³ Rakesh Mohan.1983."India coming to grips with urbanization".in Cities. Vol 1, issue 1, Elsevier Ltd.

Table 9. Size class distribution of urban population

	1901		1991		2001		2011	
Size class	Population (million)	% share						
>= 1,00,000	6.8	26.3	142.4	66.0	200.1	69.9	264.7	70.2
50,000-1,00,000	3.02	11.7	22.7	10.5	27.2	9.5	32.2	8.5
20,000-49,999	4.1	15.9	27.7	12.8	33.6	11.7	41.8	11.1
10,000-19,999	5.3	20.3	16.5	7.6	18.3	6.4	24.0	6.4
5,000-9,999	5.1	19.7	5.6	2.6	6.2	2.2	12.6	3.4
Below 5,000	1.6	6.0	0.8	0.4	0.7	0.2	1.7	0.4
Total urban population	25.9	100.0	215.8	100.0	286.1	100.0	377.1	100.0

Source: Census of India, various issues.

Table 10. Size class distribution of cities and towns 2001-2011*

Class-Size	Number o	of cities/towns	Number of U.A.s and towns
	2001	2011	2011
>= 1,00,000	421	498	468
50,000-1,00,000	504	600	474
20,000-49,999	1,396	1,913	1,373
10,000-19,999	1,564	2,237	1,683
5,000-9,999	1,043	2,188	1,749
Below 5,000	232	499	424

** Census of India, several issues. These numbers drawn from census tables are marginally at variance from the numbers used elsewhere in the report. See for example, Census of India: Data Highlights, Table-4, and Table A-1 on the number of villages, towns, households, population and area.

Table 11. Size class decadal growth of	furban population between 2001-2011
--	-------------------------------------

Class-Size	Decadal growth, %	Decadal growth of cities and towns common in 2001 and 2011, %
>= 1,00,000	32.3	23.4
50,000-1,00,000	18.4	17.4
20,000-50,000	24.4	19.0
10,000-20,000	31.1	16.6
5,000-10,000	103.2	16.0
<5,000	142.8	35.4
ALL	31.8	21.6

Source: Census of India, 2001 and 2011

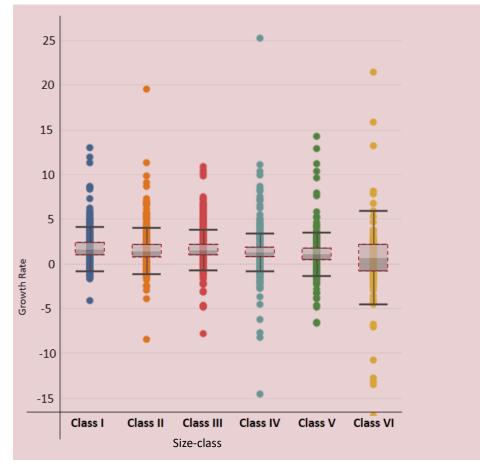


Figure 10. Size class population annual exponential growth rates (AEGR), 2001-2011

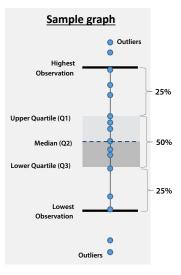
Source: Census of India, 2001 and 2011

A Box - Plot graph

- This box-plot graph which shows whether the distribution of a data set is grouped or skewed.
- The above graph represents class-wise distribution of growth rates of cities where each circle represents a city.

How to read the graph

- The grey box represents the values between upper and lower quartile (range = Q1 – Q3) also known as "interquartile" - this is where the middle half of the data is distributed i.e., half of the cities in each class-size have growth rates ranging in these boxes.
- The two whiskers, one is from the highest observation to the upper quartile (Q1) and the other is from the lowest observation to the lower quartile (Q3) have the rest of the 1/4th of the number of cities each.
- The circles beyond the highest and lowest observations are the outliers and are not considered.



"No precedent exists for feeding, sheltering, or transporting so many people nor for removing their waste products or providing clean water."

Janice Perlman

Large cities represent a range of opportunities that are propelled not only by the economic and social processes operating within the national boundaries but equally by the new role they play in the emerging production frontiers that are set in motion globally. The global factors have heightened their economic importance. part of the country's urban system and have gained considerable importance over the decades.⁴⁴ In 1901, there was only one city with a population of over one million (Calcutta), which accounted for 5.8 percent of the country's urban population. In 1991, the number of cities in this category was 23 and their shares in urban population, 32.8 percent. In 2011, the number has increased to 5245 and the population share to 42.3 percent, heralding what would seem to be one of the most significant changes in the pattern of habitation in the country. Given the existing distribution of cities in the different size categories and there being no evidence of a self-regulating mechanism that would regulate city-size, India is likely to witness the emergence of many more such cities by the year 2030, estimated at 78 by the year 2035 (United Nations), representing yet another shift in the pattern of habitation in the country. Only recently have cities of these sizes been conceptualized as a distinct phenomenon, deserving special consideration. As Perlman notes,⁴⁶ the world has little systematic knowledge and experience to draw upon in responding to the challenges of such large cities: "no precedent exists for feeding, sheltering, or transporting so many people nor for removing their waste or providing clean water". This applies more to India as India's metropolises (over one million population) are growing at variable rates- low to moderate to high, both in terms of population and economic importance and transforming themselves functionally and physically. Several metropolitan areas have seen their peripheries posting higher population growth rates in comparison with the "cores". Between 2001 and 2011, the population of the core areas of metropolitan cities which are co-terminus with the municipal boundaries,⁴⁷ increased at an annual exponential rate of 1.87 percent; in comparison, the peripheries posted an annual average increase of 9.78 percent. There are however, interesting patterns of growth differentials of core and peripheries, with some registering growing core and growing peripheries, some growing core and declining peripheries; other declining core and growing peripheries; and declining core and declining peripheries. Scholars point out that the growth rates of metro cities are a function of the dynamics of the economy, where the identification of the core and the periphery cannot be static.48

⁴⁴ Metropolitan area is defined in the Constitution of India. The Census of India does not use this term. In this report, metropolitan cities or areas are referred to as cities and urban agglomeration with a population of over one million.

⁴⁵ These 52 metropolitan cities comprise over 1200 settlements that include census towns, outgrowths, cantonment boards, municipal bodies, municipal corporations, nagar panchayats, notified area committees and several others.

⁴⁶ Janice Perlman. 1989. 'Mega-cities: Global Urbanization and Innovation'. Paper prepared for the International Workshop on Improving Urban Management Policies'. East-West Center, Honolulu. USA.

⁴⁷ There are a few exceptions; in the case of the Municipal Corporation of Delhi, a cantonment board and municipal council are included. Other metropolitan areas are inclusive of municipal councils, nagar panchayats, etc.

⁴⁸ See K.C. Sivaramakrishnan. 2015. Governance of Megacities: Fractured Thinking, Fragmented Setup. Oxford University Press. New Delhi.

METROPOLITAN CITIES CONSISTING OF A CORE AND MULTIPLE PERIPHERIES OCCUPY A PIVOTAL POSITION IN INDIA'S DEMOGRAPHIC AND ECONOMIC STRUCTURE. FUNCTIONAL INTEGRATION OF PERIPHERIES WITH THE CORE WILL DETERMINE THE PACE OF COUNTRY'S GROWTH AND DEVELOPMENT.

These cities are at the centre of the country's economic growth and progress. Their growth represents a range of opportunities that are propelled not only by the economic and social processes operating within the national boundaries but equally by the new role they play in the emerging production frontiers that are set in motion globally. Many cities such as Bengaluru, Hyderabad, Pune, Pimpri Chinchwad, Navi-Mumbai, (Gurugram and Noida non metropolitan cities) and many more stand reshaped by global forces that have heightened their economic importance.

Two features of India's urban portfolio need to be underlined. One, the urban structure as it has evolved raises vital issues of scale and agglomeration economies, i.e., whether India's urban portfolio is able to generate enough economies so as to be able to use urbanisation as an instrument for its growth objectives. GDP does not show any sensitivity to urban densities. The one redeeming feature of the urbanisation process is the increase in the number of

Census Year	Number of U.A.s/ Cities	Population (in million)	AEGR
1991	23	71.7	******
2001	35	108.3	4.13
2011	52	159.6	3.88

Table 12. Number and population of U.A.s	/ Cities with one million or more population
--	--

Source: Census of India

BOX 12. HUMAN PROGRESS



Cities, the dense agglomerations that dot the globe, have been engines of innovation since Plato and Socrates bickered in an Athenian market place. The streets of Florence gave us the Renaissance, and the streets of Birmingham gave us the Industrial Revolution. The great prosperity of contemporary London and Bangalore and Tokyo comes from their ability to produce new thinking. Wandering these cities whether down cobblestone sidewalks or grid-cutting across streets, around roundabouts or under freeways – is to study nothing less than human progress.

Edward Glaeser in Triumph of the City. pp1.2011.

BOX 13. A DEFINITIONAL DIVIDE

India:

Urban agglomeration

A city or a town with a continuous outgrowth, the outgrowth being outside the statutory limits but falling within the boundaries of the adjoining village or villages; or

Two or more adjoining towns with their outgrowths; or

A city and one or more adjoining towns with or without outgrowths all of which form a continuous spread. In India, of the 474 UAs (2011), 298 have populations in excess of 1,00,000; 100 have populations in the range of 50,000 and 1,00,000 and 76 have populations ranging between 10,000 and 50,000.

China:

Urban agglomeration

Urban agglomeration refers to a spatial format of urbanization which centres on one mega city, with three or more metropolitan areas or large cities as the fundamental composing unit. Urban agglomerations have developed transportation and communication networks. The spatial organization is often compact; the economic connections close and cities within the agglomeration very integrated.

intermediate sized cities (1,00,000 to one million population), generally called second tier cities whose numbers have increased from 304 in 1991 to 416 in 2011. Two, *India's urban system is not an integrated or unified system of cities. Several states have primate city development; in a few states, greater evenness is observed in city-size distribution, while in others distribution is uneven*. While a part of the explanation may lie in India's federal structure and its large size, it does not provide adequate understanding of the different forms of size distribution. City size distributions need to be understood in terms of their extraordinary heterogeneity, the population of some size categories being greater than what typical laws such as the Zipf law stipulates and the population of other size categories, being lower than the predicated Zipf values.⁴⁹

A SUB-NATIONAL VIEW OF URBANISATION

States urbanise at different paces, they display variation in their size composition, and make for forces that lead urbanisation to diversify its growth pattern. Studying urbanisation at the level of states is instructive and sheds important insights into the process of urbanisation. Several features deserve to be highlighted:

⁴⁹ George Kingsley Zipf (1949) developed a theory of human behaviour and later applied it to cities, to look at the relation between a city's rank (r) with its population (P1) for a range of cities. His postulate was that all cities and towns interact with each other and form part of an integrated system. If the second-ranking city has half the population of the largest city, the third –ranking city, the third of the largest, it is said to have rank-size regularity. This law, the Zipf law, is commonly applied to studying urban systems. See. Om Prakash Mathur.2019. "City-Size Distributions in a Quasi-open Economy". In Guanghua Wan and Ming Lu. Cities of Dragons and Elephants, Oxford University Press. London.

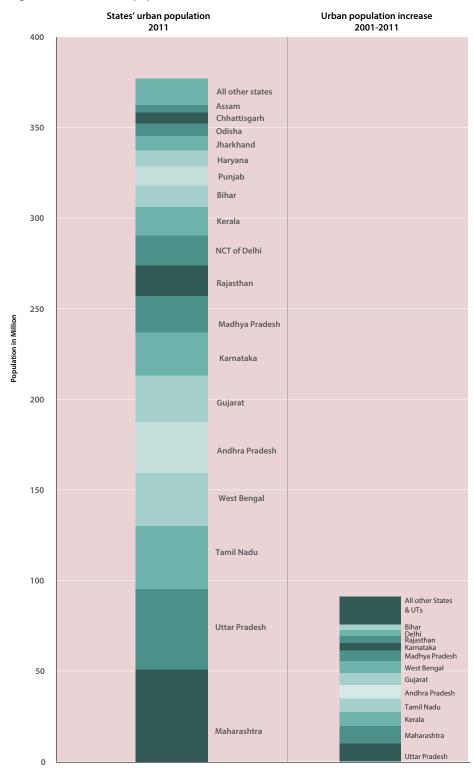
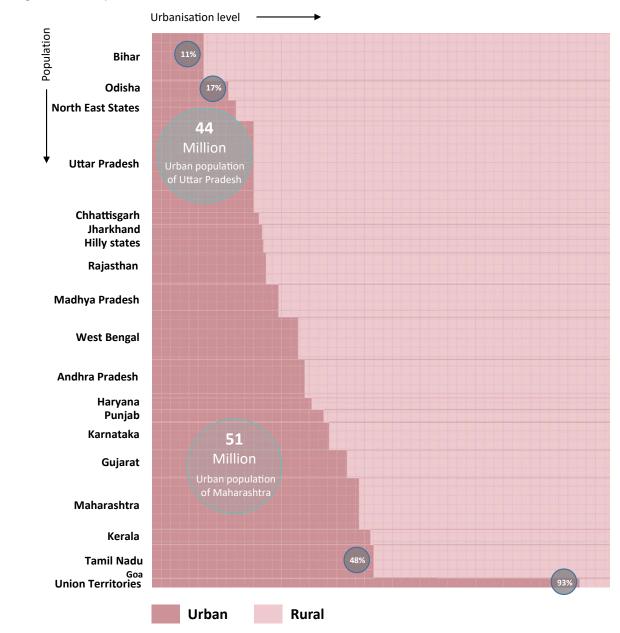


Figure 11. States' urban population, 2011

Source: Census of India, 2011.

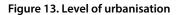
Figure 12. Diverse patterns of urbanisation, 2011



Note:

- i. Each square in the grid represents 0.4 Million population
- ii. Horizontal axis represents the urbanisation level while the vertical axis represents the proportion of population
- iii. Hilly states include Jammu and Kashmir, Himachal Pradesh and Uttarakhand
- iv. North Eastern states include Assam, Arunachal Pradesh, Manipur, Meghalaya, Mizoram, Nagaland, Sikkim, Tripura
- v. Union Territories include Andaman & Nicobar Islands, Chandigarh, Dadra and Nagar Haveli, Daman & Diu, Lakshadweep, NCT Delhi, Puducherry.

Source: Computed from Primary Census Abstract, Census of India, 2011.



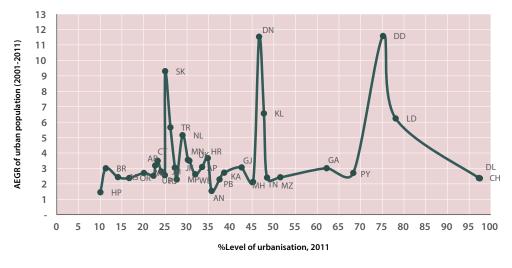
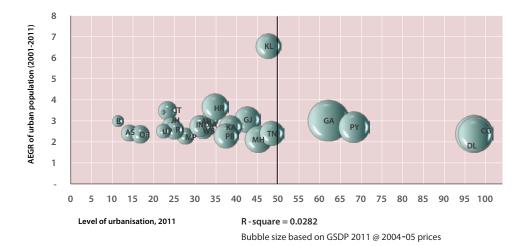


Figure 14. Urbanisation and AEGR of urban population for 2001 to 2011



State	State code	
Himachal Pradesh	HP	
Bihar	BR	
Assam	AS	
Odisha	OR	
Meghalaya	ML	
Uttar Pradesh	UP	
Arunachal Pradesh	AR	
Chhattisgarh	CT	
Jharkhand	JH	
Rajasthan	RJ	
Sikkim	SK	
Tripura	TR	
Jammu & Kashmir	JK	
Madhya Pradesh	MP	
Nagaland	NL	
Manipur	MN	
Uttaranchal	UK	
West Bengal	WB	
Andhra Pradesh	AP	
Haryana	HR	
Andaman and Nicobar	AN	
Punjab	PB	
Karnataka	KA	
Gujrat	GJ	
Maharashtra	MH	
Dadra and Nagar Haveli	DN	
Kerala	KL	
Tamil Nadu	TN	
Mizoram	MZ	
Goa	GA	
Puducherry	PY	
Daman and Diu	DD	
Lakshadweep	LD	
Chandigarh	CH	
Delhi	DL	

One: India is characterised by extreme diversity with respect to the levels of urbanisation and urban growth patterns. States such as Tamil Nadu (48.4 percent), Kerala (47.7 percent), and Maharashtra (45.2 percent) are close to achieving the tipping point of 50 percent level, while the other extreme is represented by Bihar (11.3 percent), Odisha (16.7 percent), Uttar Pradesh (22.3 percent), and Rajasthan (24.9 percent) which have not only low levels of urbanisation but also moderate rates of urban population growth. Recent trends indicate some convergence in the levels of urbanisation across states. The gini co-efficient, a measure of inequality, has dipped from 0.39 in 2001 to 0.37 in 2011. That it has taken place even under conditions of significant inter-state differences in the overall index of development and increasing inequalities in per capita non-primary net domestic product, needs to be given full recognition.

Two: Heterogeneity in the source of urbanisation, it being led in some states by high fertility (Bihar where 72 percent of urban population increase is explained by high fertility), in a few states by census towns, e.g., Kerala being its prime example and in some states by rural-urban migration. Notable is the fact that urbanisation in states such as Haryana, Maharashtra and Gujarat has, in the most recent decade, been the product of natural increase, rural-urban migration, and census towns, a pattern said to favourably contribute to long run sustainability and growth. Urbanisation in states where it is led by one of the three sources, the literature suggests, may provide short run impetus to growth but is unlikely to be sustained.

lable 13. Key	factors in state	urbanisation

Factors	States
Natural increase	Punjab (57.2), Haryana (50.7), Rajasthan (63.8),
>43.3 percent population	Uttar Pradesh (59.9), Bihar (72.4), Madhya Pradesh
increase	(62.5) Andhra Pradesh (56.7), Karnataka (65.5)
Led to a significant extent by	Uttarakhand (37.4), Assam (60.3), West Bengal
census towns	(65.4), Jharkhand (39.3), Odisha (37.9), Goa (68.9),
>31.2 percent	and Kerala (89.0)
Migration as an important	Uttarakhand (26.1), Haryana (30.8), Assam (27.0),
factor	Jharkhand (28.1), Odisha (32.8), Chhattisgarh (25.1),
>22.8 percent	Gujarat (35.7), Maharashtra(35.5), and Delhi (42.7)
Multiple triggers	Haryana (50.7%, natural increase, migration 30.8% and census town 18.6%); Andhra Pradesh (56.7%, natural increase, migration 35.5% and census town 17.9%), and Maharashtra (46.6%, natural increase, migration 35.5% and census town 12.9), Gujarat (50%, natural increase, migration (35.7%), and 14.3%, census towns).

Source: Census of India, Migration Tables. 2011.

Figures in brackets represent the percent share of the factor in the process of urbanisation.

Three: Unequal spatial spread of urbanisation. In 2011, 81 out of 640 districts had over 50 percent of their populations living in urban areas, while the level of urbanisation in 268 districts – 41 percent of the total districts and accounting for about 11 percent of country's urban population – has not reached even the 1951 All-India level of urbanisation of 17.3 percent. Only 181 out of 640 districts have urbanisation levels in excess of the country- wide average of 31.1 percent in 2011. Even allowing for factors that may not allow concentration of large populations, such scales of spatial differences are a matter of concern and deserve attention. Subsequent analysis (chapter 4) will show that not only are these districts under-urbanised, these are under-serviced as well in terms of access to water supply, electricity and toilets and present a formidable challenge to the process of urbanisation and economic growth.

Level of urbanisation	Number	Districts (% of total)	
>31.1 (2011 levels)	181	28.3	
25.7 to 31.1	46	7.2	
19.9- 25.7	90	14.1	
17.3-19.9	55	8.6	
<17.3 (1951 level)	268	41.8	
Total	640	100	

Table 14. Level of urbanisation in Districts, (2011)

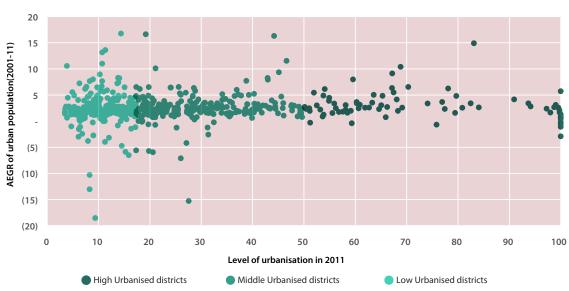


Figure 15. AEGR 2001-11 and urbanisation at district level, 2011

THE DEMOGRAPHICS OF AGE

An important aspect of demography is the age-sex distribution of population, characteristically displayed in population pyramids. Such pyramids are basic constituents in planning and developmental exercises. Two features of age-sex pyramids that are currently in the forefront relate to "ageing" in the developed countries and "demographic dividend" in the developing countries. A limited reference is made in this report to the spatial aspects of both these features in urban India. Most discussions in India are riveted on the overall demographic dividend, with the narrative that the country's higher demographic dividend places India in a comparatively advantageously position relative to several large countries such as China. An examination of the age-sex structure of India's urban population shows two important features-

i. The average age for urban India is 28.15 years, that suggests that roughly half the population is below the age of 28.15 years, and the other half is above this age.

Σ (number of persons in the age-graph) x (mid-point of age graph)

Σ (total population)

States that have a higher average age include, inter-alia, Kerala, Tamil Nadu, Andhra Pradesh, Maharashtra, Karnataka, West Bengal, Gujarat, Chandigarh and Delhi. States that have a lower average compared to that for the country, are Bihar, Uttar Pradesh, Jharkhand, Rajasthan, Madhya Pradesh, and Chhattisgarh.

ii. The demographic dividend, that is, the population in the age-group of 15-24 years is higher in states that have firstly, lower levels of urbanisation and, secondly, lower per capita incomes. Key states among them are Uttar Pradesh, Rajasthan and Madhya Pradesh; the demographic dividend is lower in such states as Kerala, Goa, and Tamil Nadu which have comparatively higher levels of urbanisation and higher per capita incomes.

An extension of the analysis to other age-groups shows additional insights, hitherto unacknowledged, in spheres such as "ageing" in urban

HIGHER DEMOGRAPHIC DIVIDEND (15-24 YEARS) IN LOW URBAN-LOW INCOME STATES AND AGEING (60 YEARS AND ABOVE) IN COMPARATIVELY HIGH URBAN-HIGHER INCOME STATES ARE THE DEFINING CHARACTERISTICS OF INDIA'S URBAN DEMOGRAPHICS.

India. Analysis of the age distribution, that is, those falling in the age group of 60 years and above, shows 8.1 percent of country's urban population to be above 60 years; Kerala, Tamil Nadu, West Bengal and Punjab have percentages of urban population above the country's average. The

Table 15. Demographic dividend and ageing in selected states (URBAN) 2011

States	Percent of population in the age group		Level of urbanisation	Percent of non-
	15-24 years	60 years +	(%)	primary sector GDP
Urban India	19.2	8.1	31.1	
Higher than the country's average				
Uttar Pradesh	20.3	6.7	22.3	70.9
Rajasthan	20.0	7.0	24.9	66.0
Madhya Pradesh	19.5	7.6	27.6	64.3
Lower than the country's average				
Kerala	15.8	12.5	47.7	85.8
Goa	16.8	10.8	62.2	78.7
Tamil Nadu	17.5	10.0	48.4	86.1

Source: Computed from C-13 tables and Primary Census Abstract tables of Census of India. 2011. GDP data computed from Handbook of Statistics on Indian Economy, Reserve Bank of India

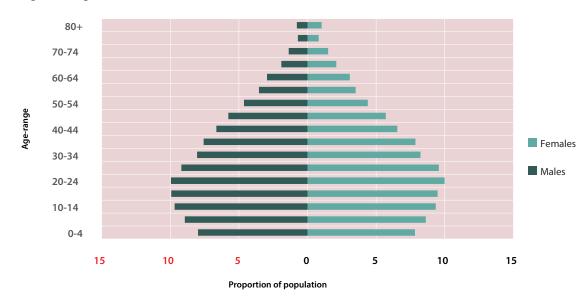
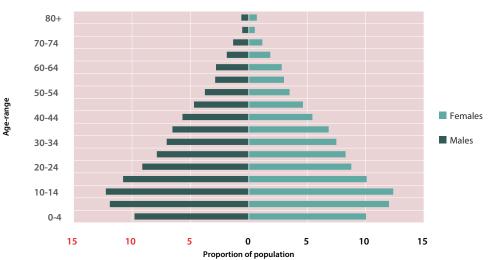


Figure 16. Age-sex structure, India, 2011

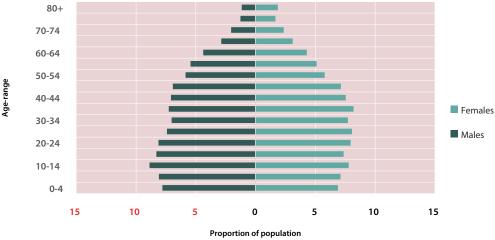
pattern in case of ageing differs, in that ageing is observed in states that have higher levels of urbanisation and higher proportions of non-primary sector GDP, and lower in states that have lower levels of urbanisation and lower per capita GDP.

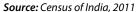
In a 2019 report on population projections for India and states 2011-2036, the Technical Group projects India's urban population at 469.9 million for











the year 2021- the year when the decennial census is expected to take place, and 592.3 million for the year 2035. Between 2011 and 2021, India - according to the Technical Group - will add 92.8 million persons to its 2011 urban population base, only a shade higher than 90.9 million that were added during the 2001-2011 period. It would mark a substantial slowdown in the rate of urban population growth in the country, and will have important ramifications for economic growth, labour supply and other developmental parameters.⁵⁰

⁵⁰ The Technical Group submitted the report in November, 2019, and therefore, excludes the possible impact of outmigration from cities that India witnessed following the breakout of Covid-19.

Note: The image shows the nightlight data of India in 2016. It is taken from the Global night light data published by NASA for viewing the patterns of human settlements across our planet. The graph represents approximate numbers of urban population adding in each decade from 1971.

Source: NASA, 2016. Night Light maps open up New Applications. [Online]

Available at: https://earthobservatory. nasa.gov/images/90008/night-light-mapsopen-up-new-applications[Accessed 20 March 2019].

60 Million

1991

20

00 milio

2001

10 Million

50 Million

1981

30 Million

1971



ECONOMIC FOUNDATIONS OF URBANISATION

Globally, urban centres are expanding due to their capacity to generate income, contribute to national wealth, attract investments and create jobs. Cities are places of mass production, consumption and service provision, with their scale, density and diversity of social, cultural and ethnic groups, setting them apart from rural contexts. This draws focus to the galvanizing power of urbanization and agglomeration which together establish the foundation of the transformative power of urbanization.



The state of an economy is usually measured in terms of the value of goods and services, gross domestic product, the structure of employment, investment, and the pattern of consumption expenditures.

Reviews of urban literature routinely point to strong linkages between urbanisation and GDP, suggesting that urban areas account for a higher proportion of GDP and are more productive compared to the rural counterparts; expressed in term of R², such linkages are used to mobilise support for enhancing investment in urban areas in order to be able to tap urbanisation and agglomeration economies.

INTRODUCTION

"he state of an economy is usually measured in terms of the value of goods and services, gross domestic product, the structure of employment, investment, and the pattern of consumption expenditures. This framework applies as much to assessing the state of the economy of a country as to a part of a country, for instance, the 'urban' areas. While the Reserve Bank of India and the Government of India's Economic Survey undertake regular assessment of the state of India's economy, it has not been the practice to separately assess the performance of either the urban economy or the rural economy. Absence of such an assessment is a substantial handicap in our understanding of the urban-specific economic challenges that confront India. The closest to such an assessment is via a sectoral distribution of GDP where some sectors are assumed to have a pronounced urban or rural character. Reviews of urban literature commonly point to strong linkages between urbanisation and GDP, suggesting that urban areas account for a higher proportion of GDP and are more productive compared to the rural counterparts; expressed in term of R², such linkages are used to mobilise support for enhancing investment in urban areas in order to be able to tap urbanisation and agglomeration economies. Consumption expenditures, especially the non-food components, are routinely stated to be higher for the urban areas. On a somewhat lower pedestal, urbanisation is said to be closely associated with employment in such activities as manufacturing, financial services, real estate, and construction. Other aspects of an urban economy, for instance, investments are addressed, at best, marginally. In fact, investment data are rarely disaggregated between urban and rural areas, possibly on account of the indivisibilities that characterise investment portfolios. This SOCR attempts to look at three main parameters of the urban economy - GDP and net domestic product (NDP), consumption, and employment with a view to better understand the economic foundations of cities and towns in the country. It focuses on the size and structure of the urban economy, in terms of the GDP or NDP, employment, and the levels of consumption expenditure. The central focus of the analysis is on ascertaining how large is the urban economy, how rapid (or slow) has been its growth, and does its size and growth represent the importance that is assigned to and envisioned for the urban sector.

SIZE OF THE URBAN ECONOMY

The Central Statistical Organisation (CSO) publishes the rural-urban share of the NDP or net value added (NVA) periodically, coinciding with the base year revisions of the GDP. The National Sample Survey Organisation (NSSO) undertakes, once in five years, household consumption expenditure surveys for urban and rural areas. The Census of India provides data on the urban-rural workers and their distribution between main and marginal, together with the sectoral distribution on a decadal basis. A table based on the estimates provided by these organisations has been put together to get a basic understanding of the size of the urban economy and the changes that have taken place in it over time (Table 16). The following emerges from the various data sources.

Table 16. Size of the urban economy

Year	2004/05	2011/12
1. Net domestic product (NDP), current prices		
Urban share (INR)	Rs. 13,766 billion	Rs. 37,602 billion
Share in the total NDP (%)	52.0	52.3
Average per capita urban NDP (INR)	Rs. 44,223	Rs. 98,435
2. Consumption		
Urban consumption expenditure (INR)	Rs. 3,138 billion	Rs. 9,122 billion
Share of urban consumption in total consumption (%)	39.0	42.9
Average per capita urban expenditure (INR)	Rs. 12,628	Rs. 28,790
3. Work force (15 years and above)		
Urban workforce	90.7 million*	130.6 million
Share of the urban work force to total workers (%)	23.3	27.8
Share urban workforce to total urban population (%)	45.9	47.2

Sources: National Accounts Statistics (NAS) Statement 8.19 on net value added for rural and urban areas.⁵¹

*Census of India, 2001 and 2011.52

National Sample Survey, 60th and 68th Rounds of Household Consumption Surveys.

One: Measured in terms of the NDP, the size of India's urban economy was estimated at INR 37,602 billion (INR 37,60,236 crore), 52.3 percent of the country's total domestic product, and a per capita of INR 98,435 in 2011-12. At an exchange rate of INR 47.9 for US\$ 1.00, the urban share of the NDP for that year was about \$ 0.78 trillion. The urban share of the NDP has shown an annual average growth of 13.1 percent over 1991-2011. Time series data on the NDP suggest a marginal improvement in the urban share between 1999-2000 and 2011-12, when it rose by 0.4 percentage point- insignificant amidst expectation of a substantial step-up in the urban component of the GDP. In the years following economic reforms, the increase from its share of 45.7 percent in 1993-94 to 51.9 in 1999-2000 was significantly higher, signaling the likelihood of the impact of reforms on the NDP.

Two: The annual household consumption expenditure (urban) is estimated at INR 9,122 billion for 2011/12, roughly 43 percent of the total consumption expenditures and 24 percent of urban NDP. The average per capita consumption is INR 28,790. Over the years, consumption has risen largely reflecting inflation, accompanying by a dip in the share of food expenditure vis-à-vis non-food expenditure. Inequalities across consumption have risen sharply during 1993/94 and 2011/12, and these are sharper for the non-food expenditure levels.

Measured in terms of net domestic product, the size of India's urban economy was estimated at Rs. 37,602 billion (Rs. 37,60,236 crore), 52.3 percent of the country's total net domestic product, and a per capita of Rs. 98,435 in 2011-12.

Annual household consumption expenditure (urban) is estimated at Rs. 9,122 billion, roughly 43 percent of the total consumption expenditures and 24 percent of the urban NDP.

⁵¹ The NAS uses the population (urban) base of 382 million persons for estimating the net value added. The NSSO's data on consumption expenditure estimates the urban population to be 316.9 million persons, in 2011-12.

⁵² The Census of India puts the number at 377.1 million, for estimating the work force.

Over the three decades, the urban share of the net domestic product has risen from 41.1 percent in 1980-81 to 52.3 percent in 2011-12, significantly lower than 60 percent, ubiquitously perceived to be the urban share. **Three:** Urban employment is estimated at 130.6 million (2011), forming 47.2 percent of the urban population and 27.8 percent of the total. The Census of India reports an annual compound increase of 3.7 percent in the total urban workers between 2001 and 2011, urban main workers showing an increase of 3.4 percent and a higher growth of 6.7 percent for marginal workers.⁵³

URBAN GROSS/NET DOMESTIC PRODUCT

Over the past three decades, the structure of GDP/NDP has undergone extraordinarily important changes which *prima facie*, have implications for the economic foundations of cities and towns, and to an extent for the economy as a whole (Tables 17-19). Tables show that the urban share of NDP has risen from about 41 percent in 1980/81 to 52.3 percent in 2011/12. While it establishes a higher share of NDP for the urban areas, it is significantly lower than 60-65 percent, ubiquitously perceived to be the urban share.⁵⁴ The annual growth rate of rural NDP has almost caught up with the growth rate of urban NDP. *Secondly, the urban shares of NDP have declined across sectors over the time frame of 1993-94 to 2011-12, exceptions being electricity and trade, commerce and community services.*

Of particular significance is a decline in NDP accruing from manufacturing⁵⁵ in the urban areas and a consequent increase in the rural share. In 1993-94, the urban share of manufacturing NDP was 70.2 percent; in 2011, it declined to 48.8 percent giving space to the rural areas for manufacturing. Prima facie, such a decline in the urban share of manufacturing NDP at such a low level of India's urbanisation would seem to be premature, and would raise questions about the adequacy of economic infrastructure for manufacturing to expand and become competitive. Other sectors where the urban share of NDP registered a decline were construction, financing, insurance, and real estate; the rural shares of NDP from these sectors registered an increase from 43.3 to 46.9 percent in the case of construction, and in the financial services from 23.6 percent to 30 percent over the period 1999-2000 to 2011-14. Such a structural change in NDP is reflected in the rising shares of non-primary sector NDP compared with that of the urban share of NDP. A hiatus between the urban share of NDP and the non-primary sector NDP signals that rural areas have gained traction even in sectors that historically have been viewed as pronounced urban sectors.

Reasons for a decline in the urban share of historically pronounced urban sectors at this stage can at best be speculative, although it is a global trend.⁵⁶ Some analysts in India, however, attribute it to the system of maintaining NDP accounts which exclude the NDP accruing from census towns – now

⁵³ The Census of India divides the workers between main and marginal, the latter having employment of less than 180 days in one census year.

⁵⁴ See Government of India. Planning Commission 2010. Eleventh Five Year Plan, New Delhi.

⁵⁵ Gross Domestic Product, Net Domestic Product, Net Value Added are terms that are commonly used in the various government publications. This report uses these terms as stated in the various publications.

RURAL AREAS SEEM TO HAVE GAINED TRACTION IN NDP SHARES IN SECTORS SUCH AS MANUFACTURING, FINANCIAL SERVICES, CONSTRUCTION, AND REAL ESTATE, HISTORICALLY VIEWED AS PRONOUNCED URBAN SECTORS.

comprising 14.4 percent of urban population- from being counted as urban. Others have attempted to explain it in terms of exclusionary urbanisation and land policies practiced in cities and towns. Increasing rural industrialisation in the vicinity of metropolitan cities is cited as one of the reasons for a step-up in the rural share of manufacturing NDP and NDP from construction and financing services. As subsequent analysis will show, a somewhat similar trend is observed in the workforce, which has important implications for agglomeration and urbanisation economies that cities are associated with and the extent to which these are getting impacted by public policy responses to census towns.

EXPLORING URBANISATION – GDP IINKAGES

Cities matter for economic growth. A Mario Polese writes, 'Few things are certain in economics. However, the positive relationship between national

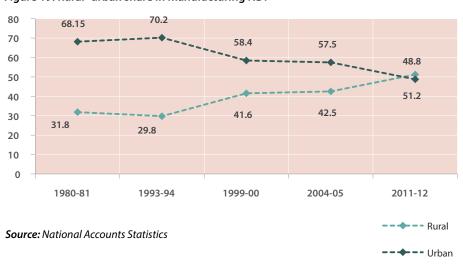


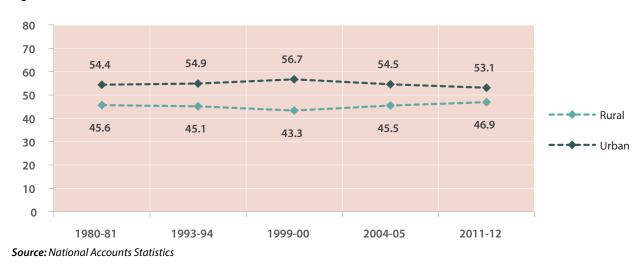
Figure 19. Rural- urban share in manufacturing NDP

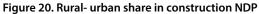
"The share of manufacturing in urban GDP is either stable or declining in most cities. In the vast majority of cities in developing economies- China being an exception -manufacturing contributes minimally to the economy of cities, rarely more than 15 percent, with between 5-10 percent being closer to the norm. Manufacturing is on the retreat largely because of advances in production technologies ... "

Shahid Yusuf The Buzz in Cities

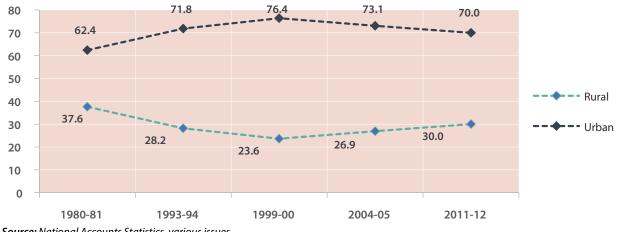
⁵⁶ See Shahid Yusuf. 2015. The Buzz in Cities: New Economic Thinking. The Growth Triangle. Washington D. C.

STATE OF THE CITIES









Source: National Accounts Statistics, various issues

Table 17. Urban share of Net domestic product (NDP)

Years	Urban share of GDP (%)	Per capita urban GDP (Rs.)	Estimated urban population (in million)	Ratio of rural-urban per capita GDP
1980-81	41.1	2,888	157	2.3
1993-94	45.7	13,525	236	2.3
1999-00	51.9	30,217	275	2.8
2004-05	52.0	44,223	311	2.7
2011-12	52.3	98,435	382	2.4

Table 18. Non-Primary NDP in total NDP

Year	% Share of Non-Primary NDP
1970-71	57.9
1980-81	64.5
1993-94	67.1
1999-00	73.5
2004-05	81.0
2011-12	81.4

Source: National Accounts Statistics

Source: National Accounts Statistics and RBI

Sectors	Urban shares of NDP, sectorally	% Sectoral shares in total urban NDP			
	1993/94	2011/12	1993/94	2011/12	
Agriculture	6.1	5.5	4.4	2.1	
Mining	57.6	46.6	1.6	2.8	
Manufacturing	58.4	48.8	22.8	14.9	
Electricity	54.6	66.8	1.7	2.2	
Construction	56.7	53.1	6.7	10.4	
Trade	72.9	72.2	21.1	16.1	
Transport	70.7	70.6	7.8	8.3	
Financing	76.4	70.0	18.1	25.5	
Community and personal services	70.8	74.4	15.9	17.6	
Total urban NDP (Rs. crore)	31,92,010	3,76,02,236	100.0	100.0	

Table 19. Structural shift in urban NDP

Source: National Accounts Statistics, Central Statistical Office

economic growth and urbanization can be asserted with a high degree of certainty. Economic growth, especially in its early stages, necessarily produces urbanization. The passage from a majority rural society to a majority urban one is in sum an unavoidable outcome of economic growth.⁵⁷

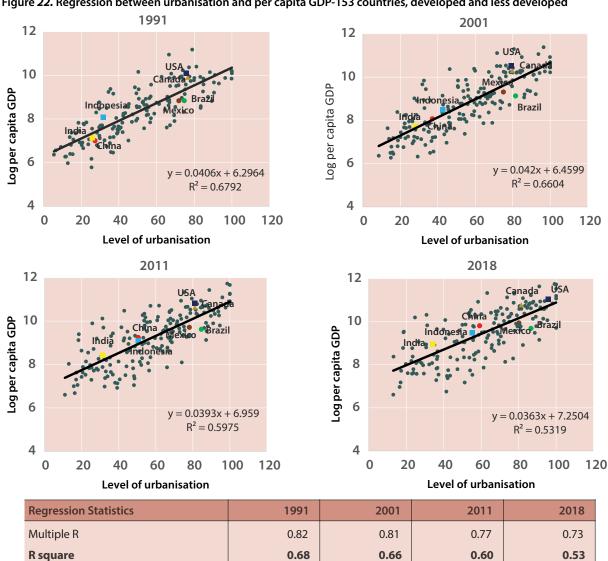
Globally, linkages between urbanisation and per capita GDP are positive, with R² for 153 countries being 0.66 for the year 2001 and 0.59 for 2011. A one percentage point increase in the level of urbanisation, as the graph shows, is associated with 3.9 percent increase in per capita GDP, globally (2011). In China, R² is estimated at 0.77 for the year 2018. While such positive values are observed the world over, questions have been raised about the exact nature of such relations. Duranton, for instance, notes: 'the strong positive association between the share of population of a country living in cities and income per capita of countries has been known for a long time. Figure 1.1 provides an illustration using recent data for 189 countries (figure not included in this report). Each extra percentage point of urbanization is associated with about 5 points of GDP per capita. Despite both GDP and urbanization being poorly measured, the relationship is extremely tight. The R-squared of the regression is close to 60 percent. Despite its strength, what the relationship described by Figure 1.1 means, is deeply unclear. What is cause? What is consequence? Arguably, urbanization and growth interact but in what proportions? How much of that extra 5 percent of GDP per capita is consequence of this extra percentage point in the rate of urbanization? 0.1 percent? 1 percent? 2.5 percent? 5 percent?58. In support, Polese states '.... The problem, as so often in the social sciences, is *causation*. Do cities *independently* produce growth or,

Cities matter for economic growth. As Mario Polese writes, "Few things are certain in economics. However, the positive relationship between national economic growth and urbanization can be asserted with a high degree of certainty. Economic growth, especially in its early stages, necessarily produces urbanization.

⁵⁷ Mario Polese. 2009. The Wealth and Poverty of Regions: Why Cities Matter. The University of Chicago Press. Chicago and London.

rather, are they part of a more complex process in which spatial concentration/ urbanization is but one component - although perhaps, an essential one - of social and economic change? The essential distinction is between a *sufficient* and a necessary condition. The evidence in favour of the former is not compelling.'59

It is in this context that this section examines the relationship between the level of urbanisation and per capita GDP for Indian states for years beginning with 1981. The R² between per capita GDP and the level of urbanisation for



0.68

0.67

163

Figure 22. Regression between urbanisation and per capita GDP-153 countries, developed and less developed

⁵⁸ Gilles Duranton. 2014. 'The Urbanization and Development Puzzle', in pp3 Shahid Yusuf (ed.). The Buzz in Cities. New Economic Thinking. The Growth Triangle. Washington, D.C.

0.66

0.72

183

0.60

0.76

183

0.53

0.80

179

⁵⁹ Mario Polese.2014." Why do Cities in Poor Countries Not Create More Wealth? Three Simple Statements and a Window of Opportunity". In Shahid Yusuf. The Buzz in Cities: New Economic Thinking. The Growth Triangle. Washington D.C.

Adjusted R square

Standard Error

Observations

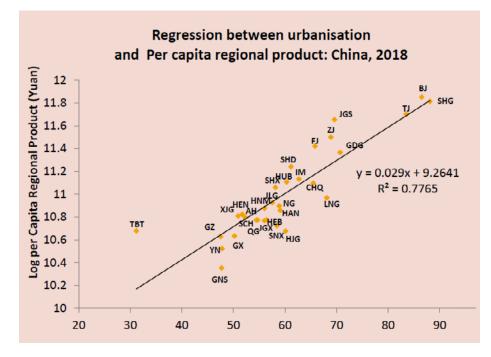


Figure 23. Regression between urbanisation and per capita regional product: China, 2018

these years are positive, and suggest that, firstly, 50-60 percent of variance in per capita income across Indian states is explained largely by a single factor, that is, urbanisation, and secondly, a one percentage point increase in the level of urbanisation is associated with 1.7 percent increase in per capita NDP/GDP (2011). It is significantly lower than the global average. The regression values do not indicate a kind of buoyancy that is expected in a growing economy, with a low income base. Regression values have, in fact, declined from 0.49 to 0.47 between 1981 and 1991, risen to 0.60 in 2001 displaying the short-run effects of economic liberalisation, and then plummeting again to 0.51 in 2011. A part of the economic growth in India has taken place independent of urbanisation and urban forces.⁶⁰ Additional research is necessary to adequately understand the relationship between urbanisation and income.

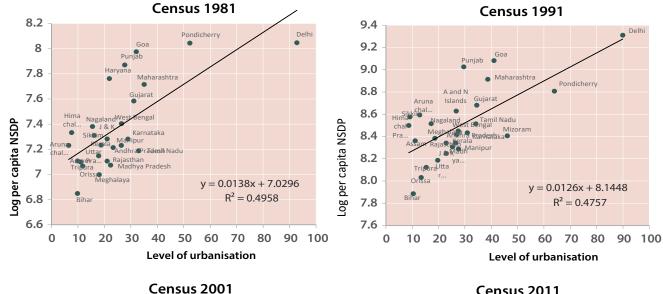
As a supplement to the regression, linkages between urbanisation and per capita income have been examined by dividing the Indian states into two The R² between per capita GDP and level of urbanisation for these years are positive, but the values do not demonstrate a kind of buoyancy expected in a growing economy, with a low income base.

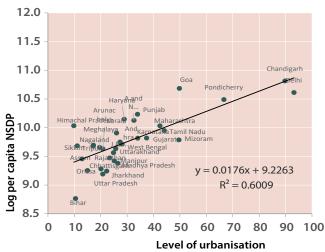
Source: (1) United Nations.2018. World Urbanization Prospects. The 2018 Revision New York (2) World Bank. World Development Indicators Data Base-Washington D.C. various years. (3) Per capita GDP is in PPP US\$ (USA)

⁶⁰ That cities are important to economic growth is accepted worldwide. However, scholars have begun to question the intensity of the linkages- "do cities independently produce growth or are they a part of the more complex processes in which spatial concentration or urbanization is just one component".

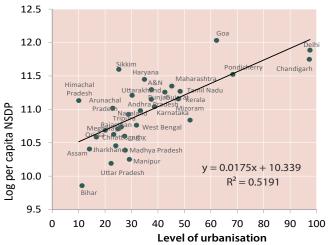
A ONE PERCENTAGE POINT INCREASE IN THE LEVEL OF URBANISATION IN INDIA IS ASSOCIATED WITH 1.7 PERCENT INCREASE IN PER CAPITA NDP/ **GDP. THIS IS SIGNIFICANTLY LOWER THAN THE GLOBAL AVERAGE.**

Figure 24. Level of urbanisation and log per capita NSDP









Regression Statistics	1981	1991	2001	2011
Multiple R	0.704	0.690	0.775	0.721
R Square	0.496	0.476	0.601	0.519
Adjusted R Square	0.475	0.455	0.588	0.503
Standard Error	12.501	13.034	12.971	14.792
Observations	26	27	32	32

Source: Census of India and Central Statistical Office

parts: i.e. states that have less than 30 percent of population as urban, and states that have more than 30 percent population as urban and compared for their shares in population, urban population, and GDP. The figure and the table provide the details, and show that -

- 1. The states whose urbanisation levels are less than 30 percent account for 50 percent of the total population, 33.6 percent of urban population, 31 percent of GDP, and an average GDP of INR 35,286 per capita, and
- 2. The states whose urbanisation levels are more than 30 percent account for 50 percent of total population, 66.5 percent of the urban population, and 68.9 percent of the GDP. The average per capita income of such states is roughly twice that of states in the first category, attesting to the close linkage between urbanisation and GDP.

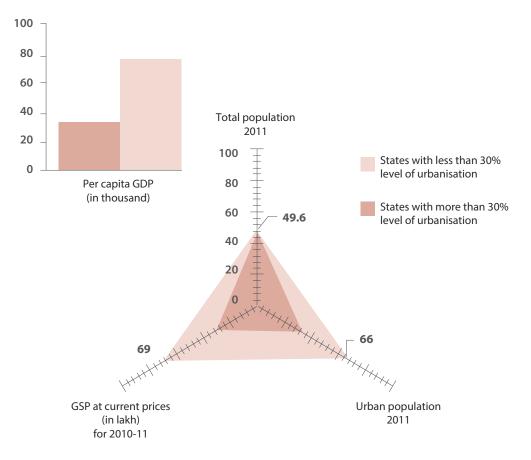


Figure 25. Percent share of total population, urban population, and per capita GDP

Source: Census of India, 2011 Handbook of Statistics on Indian Economy 2019-20

STATE OF THE CITIES

	States with <30% level of urbanization in 2011	States with >30% level of urbanization in 2011
Percentage of total population 2011	50.4	49.6
Percentage of urban population 2011	34.0	66.0
Percentage of GDP contributed by states in 2010-11	31.0	69.0
Per capita GDP (RS.)	35, 286	79,393

Table 20. Percent share of total population, urban population, and per capita GDP

Source: Census of India. 2011 Central Statistical Office

Urban household consumption expenditure estimated at Rs. 9,122 billion (2011-12), accounts for 42.9 percent of total consumption expenditures and approximately one fourth of the urban net domestic product.

URBAN CONSUMPTION EXPENDITURES

Urban household consumption expenditure, estimated at INR 9,122 billion (2011-12), accounts for 42.9 percent of the total consumption expenditure and approximately one fourth of the urban NDP. These estimates are derived by applying urban population to average per capita expenditure as contained in the NSSO report on consumption expenditure. Over the years, the share of urban consumption expenditure in the total consumption expenditure has risen from about 35 percent in 1993-94 to 43 percent in 2011-12. The following facts emerge from the tables:

Table 21. Rural-urban per capita consumption expenditure

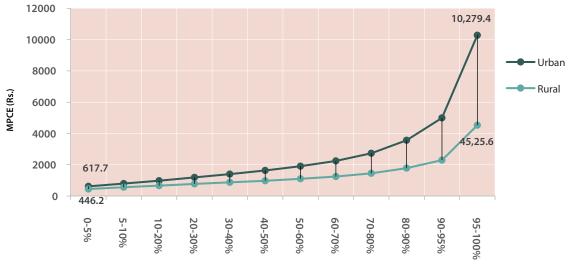
Year	Rural urban	Per capita expenditure (Rs.)		Estimated population according to NSSO	Consumption expenditure (Rs.) billion	
	Per month Annual report (million)		report (million)	Total	% Share	
	Rural consumption	112.5	1,350.0			
1983-84	Urban consumption	164.0	1,968.0			
	Total	-	-			
	Rural consumption	281.4	3,376.8	584.9	1,975.1	65.1
1993-94 Urban consumption Total	Urban consumption	458.0	5,496.0	192.7	1,059.3	34.9
	Total	-	-	777.6	3,034.3	100.0
	Rural consumption	558.8	6,705.4	733.1	4,915.7	61.0
2004-05	Urban consumption	1,052.4	12,628.3	248.5	3,138.2	39.0
	Total	-	-	981.6	8,053.9	100.0
	Rural consumption	1,278.9	15,347.3	792.1	12,156.8	57.1
2011-12	Urban consumption	2,399.2	28,790.9	316.9	9,122.5	42.9
	Total	-	-	1109.0	21,279.3	100.0

Source: National Sample Survey Organisation, relevant year issues.

States	Monthly per capita consumption expenditure (Rs) URBAN		
Major states with per capita expen	diture lower than the country's average		
Bihar	1,408.6		
Chhattisgarh	1,613.0		
Jharkhand	1,957.8		
Madhya Pradesh	1,967.3		
Odisha	1,766.4		
Uttar Pradesh	1,889.8		
Major states with per capita expenditure higher than the country's average			
Haryana	3,253.3		
Karnataka	2,942.1		
Kerala	3,065.7		
Maharashtra	2,710.8		
Punjab	2,631.1		
West Bengal	2,443.6		
Average, MPCE (Urban)	2,399.2		
Compound annual growth rate for all states and UTs (2004-2005 to 2011-12)	12.49%		

Table 22. Monthly per capita consumption expenditure (MPCE), (Rs.), URBAN forselected states, 2011-12

Figure 26. Rural and urban distribution of population by MPCE 2011/12



Percentage of population below MPCE Level

Source: National Sample Survey Organization, 68th round.

Source: National Sample Survey Organization, 68th round.

- 1. Urban consumption expenditure is 42.9 percent of the total consumption expenditure in the country. As shown earlier, urban NDP constitutes 52.3 percent of the total.
- 2. Urban consumption expenditure has risen at an anuual average rate of 12.5 percent over the period 2004-05 to 2011-12; it is a shade lower compared to the growth rate (12.56 percent) observed for rural consumption expenditure. With this, the rural-urban gap in expenditure may be narrowing down; indeed, *rural consumtpion expenditure in most major states has risen at a somewhat higher rate in comparison with growth rates in urban consumtpion expenditure.*
- 3. Inequalities as measured by a gini coefficient in the levels of consumption expenditures have risen to 31.6 between the 1973-1988 period taken as a block and 1994-2012, as the second block period, attesting in someways the Kuznet's thesis that inequalities tend to rise in the initial period of development, and begin to taper off as development advances. Urban inequalities have risen faster compared to rural inequalities.

RURAL AREAS SEEM TO BE UNDERGOING STRUCTURAL CHANGES IN INDIA AND ARE ASSUMING CHARACTERISTICS THAT ARE URBAN.

BOX 14. THE WORLD OF WORK

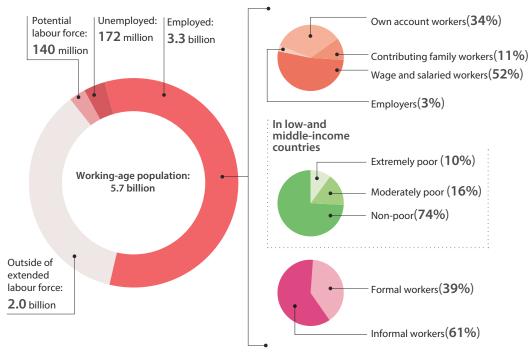
The world of work is more diverse in developing countries than in developed countries. This diversity refers not only to the number of hours worked and number of jobs available, usual yardsticks in developed countries, but also to characteristics of jobs. Two main aspects stand out. First, there is prevalence of self-employment, which often makes measures of unemployment and underemployment inadequate. Second, the coexistence of traditional and modern modes of production leads to large variations in the nature of work, from subsistence agriculture and menial work to technology-driven manufacturing and services.

Work across the developing world is characterised by a high prevalence of informality, whether defined on the basis of firm registration, social security coverage, or a written employment contract. Informal employment is not under the purview of labour regulations, either because of their limited scope or because they are deliberately avoided or evaded. Regardless of this specific definition used, informal employment is generally associated with lower productivity. However, this does not necessarily mean that firm registration, social security coverage, or a written contract would result in greater efficiency. Informality can be a symptom of lower productivity as much as it can be a cause of it.

World Development Report.2013 The World Bank. Washington D.C.

TRACKING TRENDS IN EMPLOYMENT

Tracking employment trends is an important component of any exercise designed to assess the performance of an economy. The International Labour Organization (ILO), for instance, provides a snapshot of the global labour market that manifests itself in four parts: (i) working age population and its division into employed, unemployed, outside of the labour force, and potential labour force; (ii) structure of the workforce broken into employees, wage employees, own account workers, and family workers; (iii) formal and informal workforce; and (iv) workforce according to the levels of incomes, with the purpose of identifying 'working poor'. The ILO's schema is given below.





Source: International Labour Organisation. 2019

Estimation of the workforce, nature of work, and its sectoral composition are a complex undertaking in India, which is made complex on account of the multiple sources which collect employment data, via the household surveys (NSSO and the Labour Bureau) and the enterprise surveys (ASI) and the NSSO survey of the unincorporated non-agricultural enterprises. The Population Census has the widest coverage and is the only source that gives the distribution of workforce by various sector categories at the national, state, and the district levels. The quinquennial surveys of employment conducted by the NSSO are by far the most comprehensive survey that includes information on the general dimensions of employment and unemployment. These surveys provide activity participation characteristics of persons by occupational category. In

Tracking employment trends is an important component of any exercise designed to assess the performance of an economy. Its importance has grown in recent years and currently enjoys the same level of primacy as the Gross Domestic Product (GDP). recognition of the increasing importance of employment, the Labour Bureau has also started to conduct surveys giving the distribution of employed and unemployed persons, using the National Classification of Occupations. The Annual Survey of Industries (ASI) is the only regular establishment survey that collects data on the composition of the organised manufacturing sector in industries that employ 100 of more workers. Using these different sources, this section brings together the results, and presents the trends, without attempting to either explain the differences or to reconcile the results. The figures, as stated, represent different years and different methodologies and caution is necessary in their usage for drawing general conclusions.

The tables provide a broad spectrum of the size and structure of India workforce.

BOX 15. DEFINITIONS USED IN CENSUS OF INDIA, NSSO AND LABOUR BUREAU

Work and workers defined by Census of India: "Work is defined as participation in any economically productive activity with or without compensation, wages or profit. Such participation may be physical and/ or mental in nature. Work involves not only actual work but also includes effective supervision and direction of work. It even includes part time help or unpaid work on farm, family enterprise or in any other economic activity. **All persons engaged in 'work' as defined above are workers.** The main point to note is that the activity should be economically productive. Reference period for determining a person as worker and non-worker is one year preceding the date of enumeration."

Workers or employed defined by Labour Bureau and NSSO: "Persons who are engaged in any economic activity during the reference period or who, despite their attachment to their economic activity, have temporarily abstained from work, for reasons of illness, injury or other physical disability, bad weather, festivals, social or religious functions or other contingencies necessitating temporary absence from work constitute workers. Unpaid helpers who assist in the operation of an economic activity in the household, farm or non-farm activities are also considered as workers."

Worker Population Ratio (WPR): Worker Population Ratio (WPR) is defined as the number of persons employed per 1000 persons.

WPR= $\frac{(\text{Number of employed persons})}{(\text{Total population})} \times 1000$

Note: The report uses employment rate as a synonym to WPR.

Several important features of the workforce deserve to be highlighted. Firstly: according to the Census of India (2011), the urban workforce (all ages)-estimated at about 130-133 million, constitute 35.3 percent of the total urban population. The share of urban workforce, it should be stated, has risen over the decades from 30.2 percent in 1991 to 32.3 percent in 2001 and 35.3 percent in 2011. Secondly, of the total working age population (+15 years), about 47 percent or 130 million persons constitute the urban workforce. The estimates provided by the NSSO and the Labour Bureau are broadly consistent with the census figures.

Two features of the workforce, especially the urban workforce, that are often the subject of discussion relate to the nature of employment, i.e., (i) formalinformal division of the workforce, and (ii) the gender dimension of the urban

Table 23. Trends in urban employment

Luber week form			
Urban work force			
Census of India			
Year	1991	2001	2011
Urban workforce: 15 years and above (% of total urban population: 15 years and above)	45.4	45.9	47.2
Male	73.2	72.1	72.5
Female	13.7	16.7	20.2
Labour Bureau			
Year	2012	2013	2016
Urban workforce: 15 years and above (% of employed persons to total urban population: 15 years and above)	45.8	44.2	41.8
Male	71.3	70.4	67.1
Female	17.0	15.7	14.8
National Sample Survey Organisation			
Year	2005	2010	2012
Urban workforce: 15 years and above (% of workforce to total urban population: 15 years and above)	50.6	47.2	47.6
Male	76.3		
	70.5	74	74.1
Female	22.7	74 18.3	74.1 19.5
Female Periodic Labour Force Survey			
Periodic Labour Force Survey			19.5
Periodic Labour Force Survey Year Urban workforce: 15 years and above (% of workforce persons to total urban population: 15 years			19.5 2018
Periodic Labour Force Survey Year Urban workforce: 15 years and above (% of workforce persons to total urban population: 15 years and above)			19.5 2018 43.9

Source: Census of India; Labour Bureau's Annual Employment - Unemployment Survey & National Sample Survey, Employment and Unemployment Schedule, 61st, 66th and 68th rounds, Periodic Labour Survey 2017-18

Notes: i) For Labour bureau and NSS, Usual Principal and Subsidiary Status (UPSS) figure adopted. ii) For details on the nature of employment statistics collected by different departments and organisations, see T.S. Papol

ii) For details on the nature of employment statistics collected by different departments and organisations, see T.S. Papola.2014. 'An assessment of the labour statistics system in India'. International Labour Organization, Country Office for India. New Delhi.

workfroce. It is often pointed out that 60-70 percent of the workforce in the urban areas is informal; likewise, the composition of the urban workforce is said to be male dominated. The Census of India and other sources such as the NSSO and the Labour Bureau do not provide any data on the formal-informal nature of employment. The Census, however, makes a distinction between 'main' and 'marginal' employment. Gender dimension, on the other hand, is supported with data in most estimates. According to the Census of India (2011), the urban workforce (15 years and above) in the category of 'main' is 41.6 percent in the total urban employment (47.2); the balance of 5.6 percent are employed as marginal workers. What is to be noted is that

the employment rate for the **main** workforce (urban workfroce/urban working age population) has registered a dip over the decades, 1991–2001 and 2001–2011; the **marginal** workforce, on the other hand, has registered a sharp increase in its share from 1.0 percent in 1991 to 5.6 percent in 2011. Noting this phenomenon, Glaeser and Joshi-Ghani observe: 'In Indian cities, the informal sector is increasing in size relative to the formal sector. One interpretation of this increase is that density is even more valuable in the informal sector than in the formal sector'.⁶¹ The gender dimension, as the census data show, is male dominated, accounting for 72 percent of the urban workforce. At the same time, the ratio of female workforce is seen to be increasing, from 14 percent to 20 percent over the decades 1991-2011.

Evidence on the growth card of urban workforce is disconcerting; while the

A LOWER GROWTH RATE FOR MAIN WORKERS AND A HIGHER GROWTH RATE FOR MARGINAL WORKERS ARE IMPORTANT FEATURES OF INDIA'S URBAN LABOUR MARKET, AS WITNESSED BETWEEN 2001 AND 2011.

Throughout the 1989 -2005 period, the organised sector moved from urban to rural locations, with the urban employment share declining from 69 percent in 1989 to 57 percent in 2005. On the other hand, urban employment share for the unorganised sector increased from 25 percent to 37 percent.

Ejaz Ghani, 2013.

Census of India registered an increase in the urban workforce, it was only about 0.3 percent on an annual basis. The NSSO also registered an increase, at an annual rate of 0.4 percent between 2010 and 2012. The Labour Bureau, on the other hand, registered an annual decline of 1.39 percent in employment between 2013 and 2016. Studies conducted by Ejaz Ghani and others have also pointed out to this development. In a World Bank paper, Ghani notes: 'Throughout the 1989-2005 period, the organised sector moved from urban to rural locations, with the urban employment share declining from 69 percent in 1989 to 57 percent in 2005. On the other hand, urban employment share for the unorganised sector increased from 25 percent to 37 percent. The urbanization process and trends are hetergeneous at the micro level.'⁶²

The Annual Survey of Industries (ASI) collects data on the number of workers, production, value added, and wages, among others, for industries having an employment of 100 and more workers. This is the 'organised' factory sector in the country. The NSSO, on the other hand, collects data on the unorganised manufacturing sector. These sets of data, given in the following tables show (i) an increase in the number of urban workforce in the factory sector in recent years, (ii) a decline in the urban share of workforce relative to the rural share, and (iii) a similar decline in the urban share of workforce in unorganised

⁶¹ Edward Glaeser and Abha Joshi-Ghani (Eds).2015. The Urban Imperative: Towards Competitive Cities. ppxxix Oxford. University Press. New Delhi.

⁶² Ejaz Ghani et.al., 2013. The Exceptional Persistence of India's Unorganised sector. World Bank Policy Research Working Paper .No. 6454. Washington D C.

Table 24. Main-marginal, male-remaie urban workforce					
X	Urban workforce (million)				
Years	Main	Marginal	Total		
1991					
Male	54.55	0.37	54.92		
Female	8.01	1.01	9.02		
2001					
Male	70.20	4.90	75.11		
Female	12.45	3.17	15.61		
2011					
Male	94.05	9.41	103.47		
Female	21.14	6.00	27.14		

Table 24. Main-marginal, male-female urban workforce

Source: Census of India, various years.

Table 25. Urban workforce: compound average growth rate (CAGR)

Census of India		
Years	1991-2011	2001-2011
Urban workforce, (+15 years)	0.1	0.3
Male	-0.2	0.1
Female	2.0	1.9
Labour Bureau		
Years	2012-13	2013-16
Urban workforce, (+15 years)	-3.5	-1.39
Male	-1.3	-1.19
Female	-7.6	-1.47
National Sample Survey		
Years	2005-10	2010-12
Urban workforce, (+15 years)	-1.4	0.4
Male	-0.6	0.1
Female	-4.2	3.2

Source: Census of India; Labour Bureau's Annual Employment - Unemployment Survey and National Sample Survey, Employment and Unemployment Schedule, 61st, 66th and 68th rounds

manufacturing, from 66.6 percent in 1994-95 to 51.8 percent in 2015-16. Rural areas have increased their share in both the organised and unorganised workforce.

Are there interstate variations in the gender composition of employment?

Table 26. Organised Vs unorganised manufacturing sector

Annual Survey of Industries							
Year	1996-97	2000-01	2005-06	2010-11	2017-18		
Urban workforce (in million)	5.0	3.8	4.1	5.7	6.7		
CAGR %	-	-6.5	1.7	6.5	2.4		
Unorganised manufacturing sector (NS	SO)						
Year	1994-95	2000-01	2005-06	2010-11	2015-16		
Urban workforce (in million)	11.1	13.1	13.0	16.4	17.4		
CAGR %	-	2.8	-0.2	4.8	1.2		

Source: Annual Survey of Industries (ASI)

NSSO, Unorganised Manufacturing Sector in India: 51, 56, 62, 68 and 75 rounds

Figure 27. Organised factory sector: urban - rural percentage of share of workforce

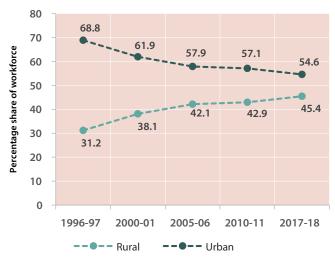
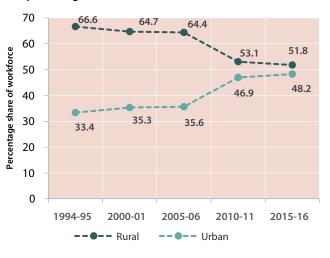


Figure 28. Unorganised manufacturing sector: urbanrural percentage share of workforce



Interstate distribution of the gender composition of employment throws up interesting patterns : there are states where employment as a proportion of the total population is low for both males and females, with the other end being represented by a higher porportion of workers, both males and females. Among the major states, low incidence of male and female employment is a dominant characteristic in Haryana, Rajasthan, Uttar Pradesh, Bihar, Jharkhand, and Madhya Pradesh – that is, the low urbanised, low-income belt; in the latter category are Maharashtra, Andhra Pradesh, Karnataka, and Tamil Nadu. Most North-east states with the exception of Assam, have higher proportions of female employment. The following quadrant presents the results.

The Census of India provides a detailed break-up of occupations in 21 industrial categories, separately for urban and rural areas. For convenience, these have been grouped in 10 categories and shown in Figure 29, for all states for the census years 2001 and 2011. According to the table, public services

Source: Annual Survey of Industries

Source: NSSO, 51,56,62,68, and 75 rounds

Low		Employment rate, females %		
		High		
Employment	Low	Assam Daman & Diu Delhi Gujarat Punjab West Bengal	Andaman & Nicobar Islands Chandigarh Chhattisgarh Dadra & Nagar Haveli Goa Karnataka Maharashtra Sikkim Tripura Tamil Nadu	
rate, males %	High	Bihar Haryana Jammu & Kashmir Jharkhand Lakshadweep Nagaland Odisha Rajasthan Uttar Pradesh Uttarakhand	Andhra Pradesh Arunachal Pradesh Himachal Pradesh Kerala Madhya Pradesh Manipur Meghalaya Mizoram Puducherry	
Average for All India Male % 72.5 Female % 20.2				

Table 27. State wise structure of urban employment

Source : Census of India. 2011

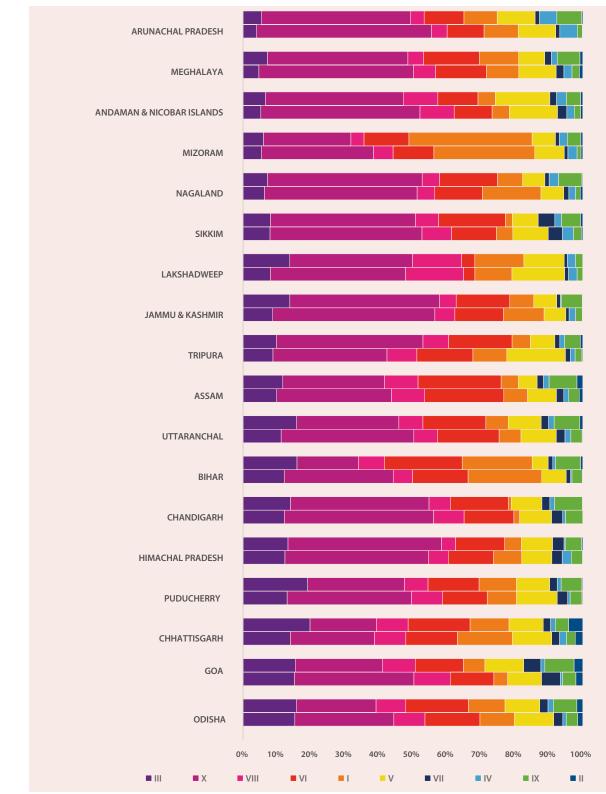
Table 28. Sectoral shares in Net Domestic Product (NDP) and Employment

	% of urban NDP to total NDP		% of urban workers to total employment	
	1999-00	2011-12	2001	2011
Core urban economic activities				
Manufacturing	14.5	14.9	23.7	20.1
Construction	6.8	10.4	8.1	9.9
Financing, Insurance, Real estate & Business services/proof services	19.6	25.5	7.7	3.3
Other major urban economic activities				
Agriculture, forestry and fishing + Mining and quarrying	5.7	4.9	10.5	10.6
Electricity, gas, water supply & other utility services + Transport, storage, communication & services related to broadcasting	10.6	10.5	9.3	9.8
Trade, repair, hotels and restaurants + Community ,social & personal services	42.8	33.7	40.6	46.4
Total	100	100	100	100

Source: Central Statistical organization, Census of India, 2011 & National Accounts Statistics

STATE OF THE CITIES





Source : Census of India, 2011

that include public administration and defence, health, education and the like accounts for 28 percent of the total urban workers, followed by manufacturing (20.1 percent), trade (16.01 percent), agriculture, forestry and fishing (10 percent), and construction (9.9 percent). The share of transportation and communication in total urban workers is 8.9 percent. Financial services and professional, scientific and technical activities account for a small percentage; moreover, between 2001 and 2011, employment in this sector, perceived to be a new generational set of activities, has declined between 2001 and 2011.

Alongside the economy-wide change in the structure of net domestic product, shown in the earlier part of this chapter, the structure of urban employment has also registered shifts, although at a macro level, such changes are not clearly noticed. Manufacturing and financial services and to an extent construction and real estate are generally identified as core urban activities. Between 2001 and 2011 the share of employment in manufacturing declined from 23.7 percent to 20.1 percent, and likewise, the share of financial services, real estate and business and professional services showed a noticeable decline during this decade. What is worth noting is a corresponding dip in the urban shares of both manufacturing NDP, declining from 58.4 percent in 1999-2000 to 48.8 percent in 2011-12, and NDP from financial and professional services from 76.4 percent to 70.0 percent over the same period.

Between 2001 and 2011 the share of employment in manufacturing declined from 23.7 percent to 20.1 percent, and likewise, the share of financial services, real estate and business and professional services show a noticeable decline during this decade.



INFRASTRUCTURE, ENVIRONMENT, AND URBAN CHANGE

The infrastructure story is about the role that infrastructure has played in underpinning poverty reduction, investment, and growth-----it is about levels of expenditure, stocks of infrastructure assets, access to infrastructure services, and infrastructure competitiveness, and what this implies for the future.

The infrastructure story is about the demands of rapid urban growth on infrastructure, and the contribution of infrastructure to that growth and to meeting the needs of urban areas. The infrastructure story is about dealing with the impacts of infrastructure on a range of environmental concerns, air quality, emissions, the availability of clean water and sanitation, and the functioning of ecosystem that provide livelihoods and other benefits.

Connecting East Asia: A New Framework for Infrastructure

ADB – The World Bank – Japan Bank for International Cooperation. 2005



CHANGING MEANING OF INFRASTRUCTURE

nfrastructure is central to the functioning of cities. It is a prerequisite to generating growth, alleviating poverty, and improving the quality of life. Its role is to make cities more competitive and inclusive. It is a 'safety net of a social system', in the words of Benjamin Baker, a 'cornerstone of a stable and productive society'.⁶³ It has several characteristics that differentiates it from other sectors.

One, many of the infrastructural services are in the nature of 'natural monopolies' having important externalities, ruling out competition in the production of services. Two, several of them have low price elasticity of demand. Three, most infrastructural services need lumpy investments and consequently present challenges for conventional financing options and protocols. Four, many of the infrastructural services form a part of interconnected networks – an unreliable power grid can affect traffic signals, safety, water supply, and other activities, reducing their effectiveness when planned as discrete activities. In the early years, the importance of urban infrastructure was equated with public works; it is now referred to as a broad-based support system of hard and soft infrastructure with strong economic and social ramifications. And, its scope is evolving. The McKinsey Global Institute estimates that infrastructure typically has a rate of return of 20 percent, meaning that one dollar of investment could, in the long run, boost gross domestic product (GDP) by 20 cents. This is the estimated productivity impact of infrastructure investments.⁶⁴

Developing countries, including India, are increasingly realising the importance of urban infrastructure, parallel with urbanisation. A 2019 report of the Ministry of Finance, National Infrastructure Pipeline, considers infrastructure a 'crucial enabler of growth' and central to raising India's competitiveness and achieving the planned target of \$5 trillion economy by 2025. The Report draws attention to the fact that the envisaged economic growth in the country is being accompanied by a shift in the demographics - increase in urbanisation and working age population, which will require 'development of a host of infrastructure facilities' and an 'increase in coverage and quality of service delivery across the entire infrastructure spectrum.... Delivering the full spectrum of required infrastructure will ensure economic growth, ease of living as well as improved competitiveness across sectors.'65 According to the Report, '25 percent of the urban households have drinking water on their premises; 70 percent of water is contaminated (India ranks 120th among 122 countries in the water quality index); 25 percent of municipal solid waste is treated; high proportion of population live in slums; 7 percent of daily trips is catered by public transport; high dependence on privatelyowned vehicles with internal combustion engines; low penetration of electric

⁶³ Benjamin Baker. 1957. Urban Government. East-West Press Pvt. Ltd. New Delhi.

⁶⁴ McKinsey Global Institute. 2016. Bridging Global Infrastructure Gaps. McKinsey & Company.

⁶⁵ Department of Economic Affairs, Ministry of Finance, Government of India. 2019. Report of the Task Force on National Infrastructure Pipeline. New Delhi.

vehicles; and advanced public transport technologies, such as hyper loop and transit in conception stages^{'.66}

Sporadic attempts have been made in India to estimate the infrastructure gaps and deficiencies and to monetise them in GDP terms in order to underline their importance. The McKinsey Global Institute, for instance, estimated that India will need to invest US \$1.2 trillion in urban infrastructure to meet its needs over a 20-year period.⁶⁷ Another major study carried out by a High-Powered Expert Committee (HPEC) placed the investment requirements at INR 39 lakh crore (US \$ 0.85 trillion) (at 2009 prices) for a period of 20 years.⁶⁸ The Report of the Task Force on National Infrastructure Pipeline envisages an investment of INR 16.3 lakh crore over the years 2020 to 2025.

In response to the increased emphasis on urban infrastructure, new instruments of financing such as the use of debt finance, land leasing and land value capture, monetisation of public assets, conversion of land rights into infrastructure assets, derivatives, and Ola/Uber type of financing are being tested and are under various stages of application and experimentation in the emerging and developing economies, including India. Multiple forms of institutional arrangements such as public-private partnerships, franchises, joint ventures, concessions, urban development funds, special purpose vehicles (SPVs), and philanthropies have emerged to support investment in urban infrastructure. The scope of infrastructure development has also broadened to include not just access, but also adequacy, guality, distribution across spaces and income groups, pricing, affordability, and impact on environment. The primary focus of this chapter is to draw attention to the extent to which urban households have access to basic infrastructural services, such as water, electricity, toilets, municipal roads, waste treatment facility, and the like. The underlying question is: has urbanisation, which is said to be closely related to income growth, helped to bridge the infrastructural deficits or exacerbated the deficits? Has public policy initiatives contributed to closing the infrastructure gaps? Another variant that is brought in is related to gender differentiation in the spheres of education and literacy. What is the extent of gender dimension in the access to basic education?

A STATUS CARD ON URBAN INFRASTRUCTURE

Development of urban infrastructure is an activity that is concomitant to the process of urbanisation. As a result, urban infrastructure has historically been an integral part of the central government's urban initiatives, earlier in initiatives such as the Environmental Improvement of Urban Slums (EIUS),

⁶⁶ Department of Economic Affairs, Ministry of Finance, Government of India. 2019.

⁶⁷ McKinsey Global Institute. 2010. India's urban awakening: Building inclusive cities, sustaining economic growth. McKinsey & Company.

⁶⁸ High-Powered Export Committee (HPEC). Government of India. 2011. Report on Indian Urban Infrastructure and Services. New Delhi.

Integrated Development of Small and Medium Towns (IDSMT), Jawaharlal Nehru National Urban Renewal Mission (JNNURM), Rajiv Awas Yojana and currently in Missions such as the Smart Cities, Atal Mission for Rejuvenation and Urban Transformation (AMRUT), National Heritage City Development and Augmentation Yojana (HRIDAY), Swachh Bharat, and Housing for All. These Missions are also the mainstay of urban strategy in a number of states.

BOX 16.. CHANGING MEANING OF INFRASTRUCTURE

Few terms have received such a level of new and widespread meaning as the term 'infrastructure'. In the early 20th century, it was a term used to describe the permanent fixture of military installations. Economists extended the meaning of the term by including what W W Rostow called 'social overhead capital'. Towards the late 1970s, the term infrastructure replaced public works; today, the term has come to include 'almost every support system in modern society'. Infrastructure is said to include not just roads and sewers but also transport grids, communication system, housing, education, fibre-optics, etc. Unlike public works, the term infrastructure is at once a description of physical assets and as also of their economic, social, and political roles. Of these roles, the role most emphasised is economic. It includes some measures of its adequacy for future economic growth and development.

Source: David C Perry (ed.) 1995. Building the Public City. Sage Publications. Thousand Oaks, USA.

BOX 17. INFRASTRUCTURE COMPONENTS IN CENTRAL GOVERNMENT MISSIONS			
Mission/Initiative	Infrastructure		
Smart City	Water supply, sanitation, waste management, urban mobility and public transport, health and education, electricity, affordable housing, robust IT and digitisation		
AMRUT	Water supply, sewerage facilities, septage management, storm water drains, green spaces, parks and recreation, parking spaces and pedestrians, and non-motorised public transport		
HRIDAY	Sanitation, toilets, drinking water facilities, solid waste management, traffic management, street furniture, public transport, and parking		
Housing for All (Urban)	Housing amenities and infrastructure		
Swachh Bharat Mission	Toilets, community toilets, public toilets and urinals, solid waste management		

Sources: Mission Statements and Guidelines: Ministry of Urban Development; Ministry of Housing and Urban Affairs, Government of India, New Delhi.

While these are no specific studies on the impact of these initiatives and missions on the stock and quality of infrastructural services or the extent to which these initiatives have contributed to improving access of urban households to services or the distribution of services among different income groups, the data as contained in the various issues of the Census of India, the National Sample Survey Organisation (NSSO), the Central Pollution Control Board (CPCB), and the Ministry of Road Transport and Highways, (covering the period up to 2011) show an impressive improvement in services such as water, sanitation, and electricity.

According to the Census of India 2011, 54 percent of urban households have access to tap water within premises, electricity is available to 93 percent of households, and 81 percent of households have access to latrines. About 23 percent of solid waste generated is treated and disposed of. Per capita electricity consumption is 25.8 kWh (2011/12), having risen from 20 kWh in 2004/05. Literacy rates are 89.2 percent for males and 79.5 percent for females but the percentages sharply drop for literates above 12th grade schooling. Infant mortality rates vary between a low of 9 per 1000 live births in Kerala and 16 for Maharashtra and a high of 38 per 1000 in Uttar Pradesh and Odisha. Municipal road mileage is 377 km per 100 sq.km. of area, 3.7 km per 1000 population. Figure 30 shows the changes in performance over time.

Infrastructure development is commonly discussed in terms of access, adequacy, distribution across spaces ad income groups, and pricing and affordability. This chapter focusses on the access and to a marginal extent on its distributional aspects.

le 29. S	Status o	card of	urban	infrastru	cture: 2	011
	e 29. S	e 29. Status o	e 29. Status card of	e 29. Status card of urban	le 29. Status card of urban infrastru	le 29. Status card of urban infrastructure: 2

Households having access to:	
Tap water within premises (%)	54.1
Electricity (%)	92.7
Latrine facility (%)	81.4
Solid waste treated (cities and towns with over 50,000 population 2015) (%)	22.9
Sewage treated to the sewage treatment capacity (class 1 and 2 cities) 2015 (%)	31
Monthly per capita electricity consumption (NSSO 2011/12) (kWh)	25.8
Literacy	
Male (%)	89.2
Female (%)	79.5
Literacy beyond 12th grade	
Male (%)	19.5
Female (%)	13.6
Infant mortality rate 2013	9–38/1000
Municipal road mileage	377 km per 100 sq.km. of area
Households ⁶⁹ with 3 or more persons living in one room (%)	27.2

Sources: Census of India. 2011, CPCB

Sample Registration System, Census of India

National Sample Survey Organisation and Ministry of Road Transport and Highways.

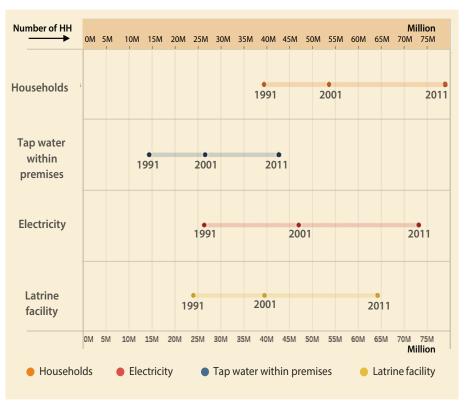
⁶⁹ This figure relates to cities, i.e., settlements with over 1,00,000 persons.

Table 30. Recent data on sanitation facilities

Best performing States		Worst performing States	
Kerala	98.7%	Maharashtra	59.8 %
Gujarat	85.3%	Jharkhand	59.0 %
Punjab	85.0%	Bihar	54.9 %

Source: National Family Health Survey, 2015-16

Figure 30. Number of households availing infrastructure facilities: Change between 1991 and 2011



Source: Census of India, 2011

While electricity coverage is high, per capita consumption of electricity at 25 kWh is amongst the lowest in the world and hardly representative of the high economic growth that India has been registering over the past decades. Of the three basic services for which the Census of India provides data on household coverage of electricity, tap water within premises, and latrine, the one service whose coverage has risen across states irrespective of the level of urbanisation or income is electricity. The pace of expansion of electricity has been higher than the pace of urban population growth, with the result that households in the urban areas of most states have over 90 percent electricity access. However, while the coverage is high, the average per capita consumption of electricity at 25 kWh is amongst the lowest in the world and hardly representative of the high economic growth that India has been registering over the past two decades (up to 2016). To a somewhat lesser extent, the same holds for latrines as well whose country-wide coverage has expanded from 62.9 percent in 1991 to 81.4 percent in 2011. This, however, is not the case with tap water within premises. The overall coverage of tap water has risen at a slower pace, with coverage expanding from 42.2 percent in 1991

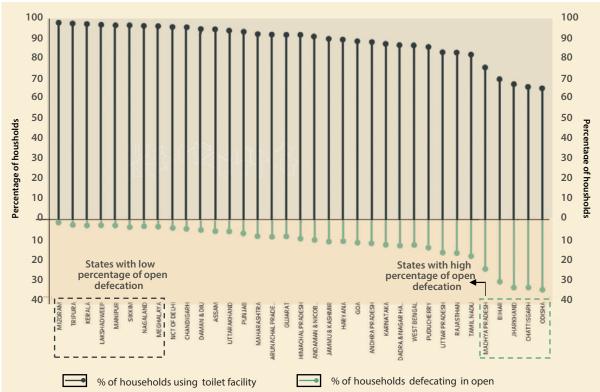


Figure 31. Urban households using toilet facility in selected Indian states, 2011

Source: Census of India, 2011

to 54.1 percent in 2011; moreover, only a few states have been able to keep pace with the coverage of households with tap water. The income effect of water coverage is on a smaller scale and the absence of tap water in premises is a phenomenon that is common among both the high- and low-income states. Thus, in 2011, 5.78 million urban households were without access to electricity, 36.23 million urban households had no access to tap water within premises, and 14.71 million urban households were without access to latrines. Nearly 27 percent of households with three or more persons live in one room tenements.70

Absence of basic services such as electricity, water, and latrines constitutes, perhaps, the most formidable challenge in making the process of urbanisation productive and inclusive. The figures on next page show the degree of access to these services, differentiated by the level of urbanisation and level of incomes.

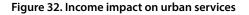
SERVICE-LEVEL GAPS

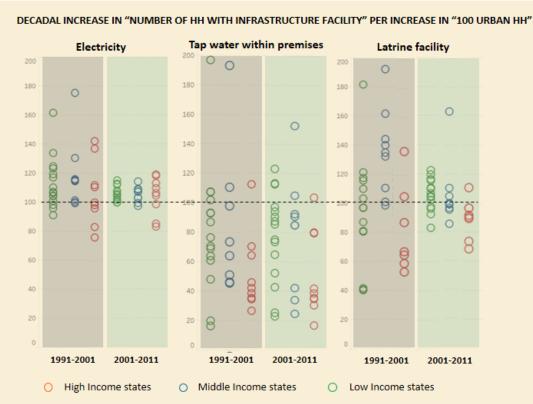
An attempt is made here to estimate the gaps in services such as water, electricity, and toilets, using two sets of benchmarks (i) coverage of services

In 2011, 5.78 million urban households were without access to electricity, 36.23 million urban households had no access to tap water within premises, and 14.71 million urban households were without access to latrines. Absence of basic services such as electricity, water and latrines constitutes perhaps the most formidable challenge in making the process of urbanisation productive and inclusive.

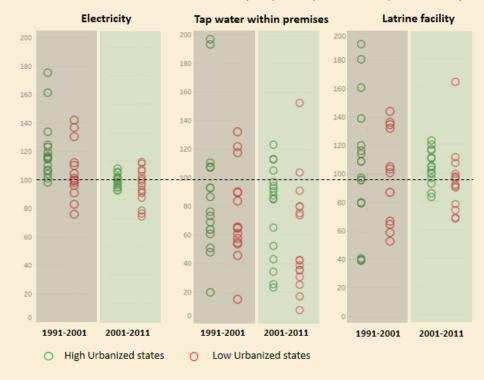
Absence of tap water within premises is observed among both the high income and low income states.

⁷⁰ This figure relates to those who live in cities (over 1,00,000 population).





Notes: Income level of the states is based on the per capita NSDP, India States Brief, The World Bank, 2016



Source: Computed from Census of India, various issues.

States	Increase per 100 urban households (%)				
	Increase in HH with electricity	Increase in HH with tap water	Increase in HH with latrine facility		
High-income States					
Andaman and Nicobar Islands	103	97	110		
Chandigarh	105	113	116		
Dadra and Nagar Haveli	100	43	83		
Daman and Diu	100	52	92		
Delhi	115	88	119		
Goa	105	123	122		
Gujarat	106	94	104		
Haryana	101	85	105		
Kerala	108	25	102		
Lakshadweep	100	23	110		
Maharashtra	102	91	110		
Puducherry	112	113	115		
Sikkim	100	75	97		
Tamil Nadu	112	65	96		
Uttarakhand	107	73	106		
Middle-income States					
Andhra Pradesh	109	85	99		
Arunachal Pradesh	114	91	96		
Himachal Pradesh	103	152	163		
Karnataka	108	85	105		
Mizoram	107	105	100		
Nagaland	107	25	95		
Punjab	103	92	110		
Tripura	97	42	99		
West Bengal	99	34	85		
Low-income States					
Assam	109	30	91		
Bihar	81	7	68		
Chhattisgarh	113	35	74		
Jammu and Kashmir	98	104	89		
Jharkhand	118	17	68		
Madhya Pradesh	94	35	91		
Manipur	83	42	96		
Meghalaya	119	80	111		
Odisha	106	35	78		
Rajasthan	104	80	96		
Uttar Pradesh	85	38	90		

Table 31. Urbanisation impact on infrastructure development, 2001–11

Source: Computed from Census of India, various issues.

AFFORDABLE HOUSING				
Population Class-size	Category of Class l cities	Households with 3 or more persons living in one room (%)		
>10,00,000	А	29.6		
8,00,000–9,99,999	В	26.9		
6,00,000–7,99,999	С	22.3		
4,00,000-5,99,999	D	25.5		
2,00,000-3,99,999	E	24.4		
1,00,000–2,00,000	F	24.2		
Grand Total		27.2		

Table 32. Affordable housing in cities (settlements over 1,00,000 population)

Source: Data on housing are computed from Housing tables (H-5 and HH-4), Government of India. 2001 and 2011. New Delhi: Office of the Registrar General & Census Commissioner, Ministry of Home Affairs, India.

BOX 18. ASSESSING INFRASTRUCTURE GAPS

Over time, societies inherit man-made infrastructure stock from previous generations. Yet different factors influence demand and supply and, as countries grow, these needs – both the type of infrastructure and the quality of service provision – are likely to evolve. According to Louis Andrés and others, assessing infrastructure gap is a five-step process: (i) where a country is today; (ii) where a country would like to be in a given point in time – the difference between the two points being the infrastructure gap, (iii) how far business-as-usual scenario will take the country towards reaching its goal, (iv) how far financial and policy options using existing resources could take the country towards reaching its goal, and (v) the remaining financial gap that will need to be bridged.

Source: Louis Andrés, et. al. 2014. Infrastructure Gap in South Asia. Policy Research Working Paper 7032, The World Bank. Washington, D.C.

averaged for three top-performing states, and (ii) 'no one to be left behind', i.e., universal coverage, a benchmark that forms part of the United Nations Sustainable Development Goal 11. Significant gaps are witnessed in respect of indicators such as households having access to tap water within premises (21.7 percent), latrines within premises (14 percent), and electricity (5.2 percent) if coverage of services averaged for top three states is applied. Inter-state variations are high, ranging between 2 percent (Rajasthan) and 58 percent (Bihar) in the case of tap water within premises, and between 1 percent (Punjab) and 31 percent (Bihar) in the case of electricity. There are several states that have no or insignificant gaps in accessing tap water within premises, for example, Gujarat and Maharashtra; Kerala in the case of latrines; and Andhra Pradesh, Punjab, Gujarat, and Goa in the case of electricity.

On the benchmark of 'no one to be left behind', proportions of households without access to basic services are significantly higher. Countrywide, 45.9 percent of urban households do not have access to tap water within premises, 19.6 percent of

households have no access to toilet facility within premises, and 7.6 percent have no access to electricity. Inaccessibility of households to basic services on such scales is a major impediment for India to improve its rank in the human development index and to make its cities and towns liveable, inclusive and productive.

Indicator			naving access in premises	HH having latrine facility within premises			HH having electricity				
Target											
States	Access (%)	Deficit (%)	Deficit (Absolute)	Access (%)	Deficit (%)	Deficit (Absolute)	Access (%)	Deficit (%)	Deficit (Absolute)		
Bihar	16.7	58.3	1,174,126	69.0	25.5	513,486	66.7	30.8	620,373		
Odisha	32.2	42.8	648,879	64.8	29.7	450,571	83.1	14.4	219,119		
Uttar Pradesh	45.2	29.8	2,220,304	83.1	11.4	849,208	81.4	16.1	1,200,692		
Chhattisgarh	34.9	40.1	496,755	60.2	34.3	424,887	93.7	3.8	47,203		
Jharkhand	28.9	46.0	688,558	67.2	27.3	408,310	88.0	9.6	143,032		
Rajasthan	72.8	2.2	66,582	82.0	12.5	386,368	93.9	3.7	113,223		
Madhya Pradesh	41.6	33.4	1,283,121	74.2	20.3	780,582	92.7	4.8	185,139		
West Bengal	34.0	40.9	2,600,347	85.0	9.5	603,261	85.1	12.4	788,273		
Andhra Pradesh	59.9	15.0	1,018,548	86.1	8.4	569,371	97.3	0.2	16,712		
Haryana	69.1	5.8	102,297	89.9	4.6	80,587	96.2	1.4	23,845		
Punjab	71.8	3.2	66,166	93.4	1.1	23,035	98.3	-	-		
Karnataka	60.5	14.5	771,008	84.9	9.6	510,309	96.4	1.1	59,293		
Gujarat	75.5	0.0	-	87.8	6.8	368,309	97.2	0.4	19,131		
Maharashtra	74.6	0.4	39,390	71.3	23.2	2,508,831	96.2	1.4	149,040		
Kerala	27.1	47.8	1,731,262	97.4	0.0	-	97.0	0.5	19,058		
Tamil Nadu	45.1	29.8	2,664,999	75.1	19.4	1,732,246	96.1	1.4	127,815		
Goa	80.5	-	-	85.3	9.2	18,229	97.7	-	-		
Total (17 states)	53.3	21.7	15,572,341	80.4	14.0	10,116,296	92.4	5.2	3,714,814		

Table 33. Estimate of gaps, using average of top three states as benchmark

Source: Team's calculations using Census of India 2011 data. 2019. Institute of Social Sciences. New Delhi.

Table 34. Estimate of gaps, using 'no one to be left behind' as benchmark

Indicator HH having access to tap water within premises			HH havin	g latrine fa premise		HH having electricity			
Target	100%			100%			100%		
States	Access (%)	Deficit (%)	Deficit (Absolute)	Access (%)	Deficit (%)	Deficit (Absolute)	Access (%)	Deficit (%)	Deficit (Absolute)
Bihar	16.7	83.3	16,78,349	69.0	31.0	6,24,238	66.7	33.3	6,64,511
Odisha	32.2	67.8	10,28,754	64.8	35.2	5,30,976	83.1	16.9	2,57,902
Uttar Pradesh	45.2	54.8	40,85,582	83.1	16.9	12,66,363	81.4	18.6	14,15,347
Chhattisgarh	34.9	65.1	8,06,935	60.2	39.8	4,95,495	93.7	6.3	74,324
Jharkhand	28.9	71.1	10,63,067	67.2	32.8	4,93,562	88.0	12.0	1,79,477
Rajasthan	jasthan 72.8		8,40,553	82.0	18.0	5,56,369	93.9	6.1	1,85,456
Madhya Pradesh	adhya Pradesh 41.6		22,45,967	74.2	25.8	9,99,760	92.7	7.3	2,69,166
West Bengal	34.0	66.0	41,90,415	85.0	15.0	9,52,517	85.1	14.9	9,52,517
Andhra Pradesh	59.9	40.1	27,15,816 86.1		13.9	9,48,952	97.3	2.7	2,03,347
Haryana	69.1	30.9	5,40,973	89.9	10.1	1,75,190	96.2	3.8	70,076
Punjab	71.8	28.2	5,90,520	93.4	6.6	1,46,585	98.3	1.7	41,881
Karnataka	60.5	39.5	21,02,063	84.9	15.1	7,97,357	96.4	3.6	2,12,629
Gujarat	75.5	24.5	13,27,008	87.7	12.3	6,49,958	97.2	2.8	1,62,489
Maharashtra	74.6	25.4	27,47,198	71.3	28.7	31,36,039	96.2	3.8	4,32,557
Kerala	27.1	72.9	26,37,884	97.4	2.6	1,08,621	97.0	3.0	1,08,621
Tamil Nadu	45.1	54.9	49,00,847	75.1	24.9	22,32,276	96.1	3.9	3,57,164
Goa	80.5	19.5	38,640	85.3	14.7	29,721	97.7	2.3	3,963
Total (17 states)	54.1	45.9	3,29,93,672	80.4	19.6	1,40,96,064	92.4	7.6	54,65,821

Source: Team's calculations using Census of India 2011 data.

GAPS IN SOFT INFRASTRUCTURE

Recent years have come to place high priority to soft infrastructure, mainly education and health although the scope of soft infrastructure has been broadened to include skill development, digital training, data management and the like. There is sufficient evidence to suggest that a country's growth and inclusion objectives in any set-up are vitally dependent on the quality of education and health infrastructure. Studies have also pointed out how education and health are able to bridge the development gaps within and between human settlements. These have been a subject of debate in India as well, within the framework of skill development, New Education Policy 2020, content of school curricula, and health-related infrastructure especially in the context of Covid-19.

Education Levels

Literacy levels in urban India are high across states, with an overall average literacy rate of 84.5 percent (2011). Literacy level in male population is estimated at 89.2 percent and 79.5 percent among females. The National Family Health Survey 2015-16 places literacy levels among men at 90.8 percent and 81.4 percent among females. This represents the basic level of education in urban India. Literacy levels beyond the high school (beyond 12th grade), however, are exceptionally low, averaging at 19.5 percent for male urban population, and significantly lower, 13.6 percent for females. Between 1991 and 2011, education level beyond 12th grade has moved up marginally from 12 percent to 19.5 percent for male urban population; for females, the change has been lower, from 9.4 percent to 13.6 percent.

Literacy levels beyond the high school (beyond 12th grade) are exceptionally low in India, averaging at 18 percent for male urban population and 13 percent for females.

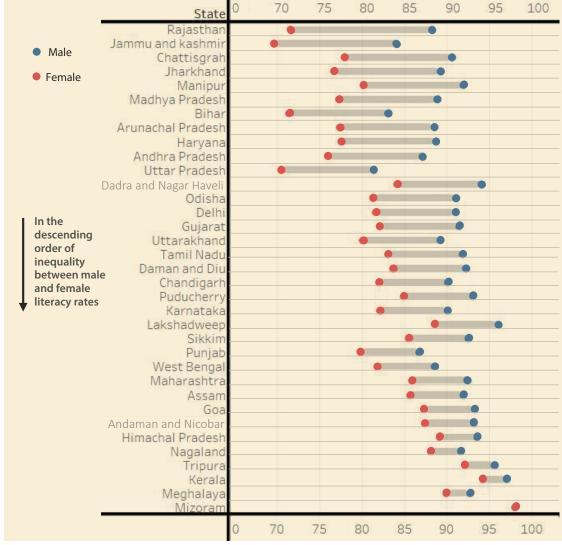
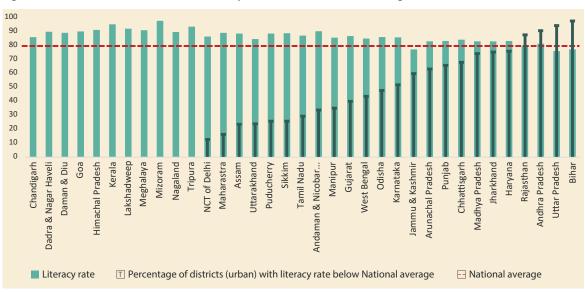


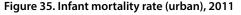
Figure 33. Gender gap in urban literacy, 2011

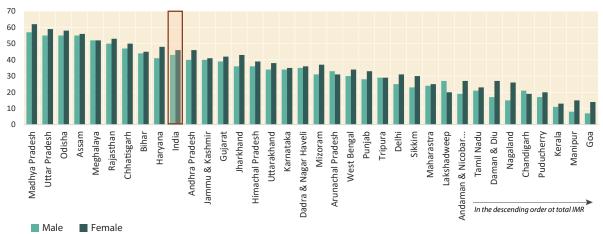
Source: Census of India, 2011





Source: Census of India, 2011





Source: Census of India, 2011

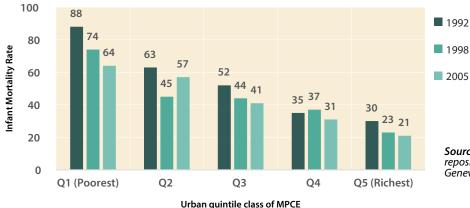


Figure 36. Infant mortality per 1000 births in each urban quintile class of MPCE, India

Source: Global Health Observatory data repository, World Health Organization, Geneva

Health Infrastructure

Infant mortality rates (IMRs) are a commonly used indicator for assessing the state of 'health' in any context. IMRs have been high in India and the progress in effecting a reduction in the rates has thus far been low. The IMRs vary between a low of 9 per 1000 population in Kerala and 10 per 1000 in Goa to a high of about 38 per 1000 in Chhattisgarh, Odisha, and Uttar Pradesh. The statistical evidence from the National Sample Survey Organisation is that IMRs are high in the poorest quintile registering lower level of consumption expenditure.

URBAN ENVIRONMENT

THE ENVIRONMENTAL VALUE OF URBANISATION IMPROVES QUALITY OF LIFE, PROSPERITY, AND WELL-BEING. URBANISATION OFFERS MANY OPPORTUNITIES TO ADDRESS ENVIRONMENTAL SUSTAINABILITY AND DEVELOP RESILIENCE IN CITIES

UN-HABITAT

In recent years, 'environment' encompassing issues relating to climate change - temperature, precipitation and heavy rainfall, drought, flooding, and environmental health risks - has attracted global attention and is currently a foremost developmental agenda for the developed and developing countries. Cities are said to be most vulnerable and risk-prone in the world. According to Aromar Revi,⁷¹ rapid population growth, high densities, poverty, and high differentials in access to housing, public services, and infrastructure have led to an increase in vulnerability of India's urban centres. Revi further points out that (i) climate change is expected to increase the frequency and intensity of hazards and vulnerability and even spur the emergence of 'new hazards and new vulnerabilities with differential spatial and socio economic impacts', and (ii) the urban residents most vulnerable to climate change in Indian cities are the poor slum and squatter settlement dwellers and those who suffer from multiple insecurities that poor governance, the lack of serious investment in the commons and a strong nexus between the political, real estate developers and public agencies bring to cities. Because of the systematic exclusion from the formal economy and basic services and entitlements and barrier into legal land and housing markets, most poor people live on hazardous sites and are exposed to multiple environmental health risks via poor sanitation and water supply, little or no drainage and solid waste services, air and water pollution and the recurrent threat of being evicted.

⁷¹ Aromer Revi. 2008. Climate change risk: an adaptation and mitigation agenda for Indian cities. Environment and Urbanization. Vol 20(1): .207–229.

The status of the level of urban services and the extent of exclusion of urban population to services such as water, electricity, and toilets, and the availability of soft infrastructure is outlined above. Data are sparse on such indicators as would directly impact liveability and resilience of cities and urban centres or the exposure of urban population to water contamination, insufficient management of solid and liquid waste management, inadequate drainage and poor air and fuel quality. The limited data presented in Table 35 show -

Table 35. Assessing urban vulnerability, 2011

Indicator	
(a) CO2 emissions per capita metric tonnes, India	
1991	0.7
2001	1.0
2011	1.5
(b) CO2 emissions per capita metric tonnes, 2011, selected cities	
High	
Jamshedpur	2.76
Vishakhapatnam	2.25
Low	
Thiruvananthapuram	0.25
Jabalpur	0.30
(c) Clean cooking fuel, urban households using clean cooking fuel in states (%)	
High	
Delhi	
1991	50.4
2011	90.8
Punjab	
1991	45.4
2011	80.6
Low	
Bihar	
1991	17.2
2011	48.9
Kerala	
1991	16.2
2011	49.4
(d) Solid waste treated (%)	
High	
Andhra Pradesh	81.9

Chandigarh	73.5
Low	
Odisha	1.2
Chhattisgarh	8.9
(e) Urban population below the poverty line, 2011	
High	
Bihar	31.2
Uttar Pradesh	26.1
Low	
Tamil Nadu	6.5
Gujarat	10.1
(f) Slum population, 2011	
High	
Andhra Pradesh	36.1
Chhattisgarh	32.0
Low	
Kerala	1.3
Jharkhand	4.7

Sources:

(a) World Bank data

(b) Energy and Carbon Emissions Profiles for 54 South Asian Cities, ICLEI, 2009

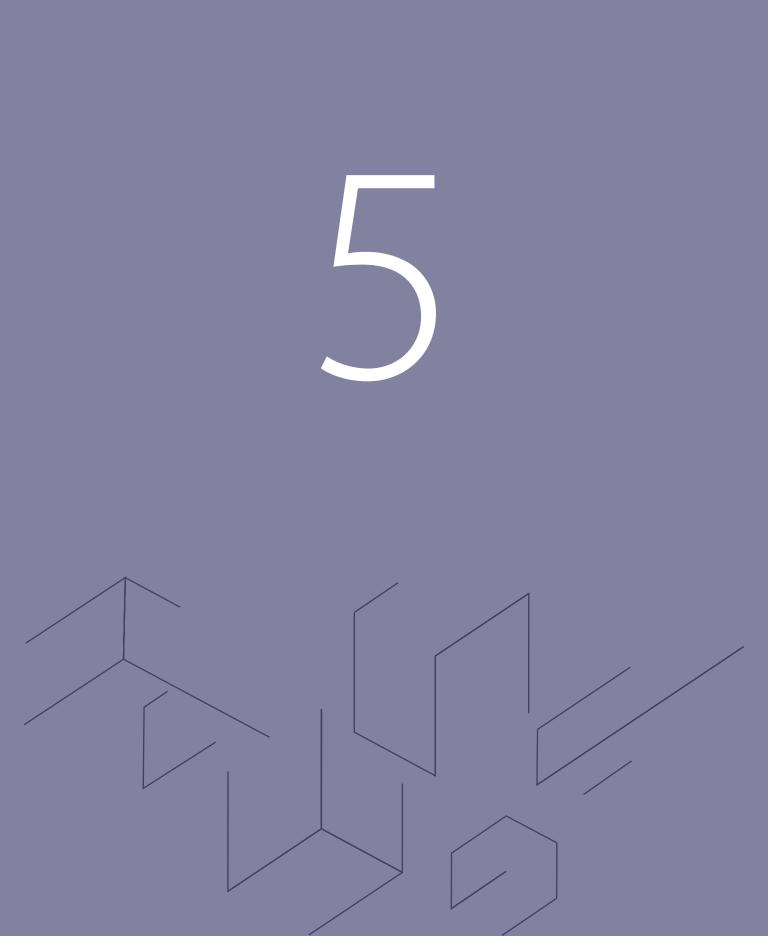
(c) Census of India, 1991 and 2011

(d) Central Pollution Control Board, 2015

(e) Estimated by the erstwhile Planning Commission following the Tendulkar Committee methodology, based on the NSSO data of Household Consumer Expenditure in 68th round.

(f) Computed from Primary Census Abstract tables for Slums, 2011

- i. Countrywide, about 34 percent of households do not have access to clean cooking fuels; there are large inter-state variations;
- ii. While 80–85 percent of solid waste is collected, only about 23 percent of the total waste is subjected to treatment; in states such as Odisha and Chhattisgarh, less than 10 percent of waste is treated; and
- iii. If the urban poor and slum population are assumed to be the most affected by lack of services and their inadequate management, the burden is being borne by 13.7 percent of population who are 'below the poverty line' and 17.4 percent who are slum dwellers.



HOW URBAN IS INDIA?

Contemporary urban research stands at a crossroads. As scholars struggle to decipher current forms of urbanization, they are forced to confront the limitations of inherited approaches to urban questions, and consequently, to face the difficult challenge of inventing new theories, concepts and methods that are better equipped to illuminate emergent spatial conditions, their contradictions and their implications at diverse sites and scales around the world. The result of these efforts is an intellectual field in disarray.

Neil Brenner. 2013 Introducing the Urban Theory Lab

INTRODUCTION

rbanisation - irrespective of how it may be looked at, interpreted, or perceived - is an integral constituent of India's development trajectory. It is given. Moreover, *it is projected to be a continuing process*. The United Nations projects India's urban population to reach 607 million (40.1 percent of the total) by 2030 and 876 million (52.8 percent) by 2050; the Technical Group on Population Projections, (Government of India), in its 2019 report places India's urban population at 600.8 million or 39.6 percent of the total in 2036!⁷² These estimates are accompanied, as this study demonstrates, by a broader acceptance of the proposition that urbanisation is not just a demographic phenomenon – assessed in terms of scale, pace of growth or its distribution between cities of different sizes - but also about the form, spatial spread, and connectivity across spaces. It is about what urban areas produce in terms of gross/net domestic product (GDP/NDP) and what they consume. The economic and changing characteristics of urbanisation stand acknowledged, although questions about its quantitative dimensions continue to surface from time to time. Urbanisation is associated with a higher level of infrastructural services, innovations, and knowledge. On the other hand, cities present negative externalities, best shown in terms of housing congestion, pollution, slums, poverty, and spatial inequities. Urbanisation is seen as a threat to environmental security. As the United Nations observes: '... unplanned urbanization and poor land management can cause irreversible land cover changes, biodiversity loss, and environmental degradation. Around the world, unmanaged urban expansion (messy and hidden, in the India case), whose urban footprints are growing faster than population, poses a tremendous threat. Uncontrolled sprawl contributes to more private car ownership, distance travelled by automobile, total road miles paved, fuel consumption, alteration of ecological structures and conversion of agricultural or rural land into urban areas.73 These features also characterise India's urbanisation in varying scales and degrees. This concluding chapter is an attempt to put together evidence on several of these characteristics, and combine them into an index that ranks Indian states according to the position they hold with respect to each other.

INDEX AND RANKING OF INDIAN STATES

An important methodological development of recent years has been in the sphere of measuring the status of performance of whatever may be the object of measurement via an INDEX – an index that is able to rank countries or smaller spatial units or a group of households stratified by income, religion, or any other criterion on the basis of their performance. It has come to be seen as a convenient device to rank the units, aggregating the results of multiple indicators that may be used for purposes of measurement reporting and often even for policy and project intervention. The Human Development Index (HDI), the Sustainability Index, the Index of Competitiveness, the Multi-dimensional

is a composite index, constructed with evidence on 25 indicators belonging to three key facets of urbanisation, viz., urbanisation, urban productivity, and urban inclusion and environmental security.

Urbanisation Index

⁷² United Nations. ibid. and Report of the Technical Group on Population Projections. 2019. Ministry of Health, Government of India.

⁷³ The United Nations. 2020. ibid

Poverty Index, and the liveability Index are among the many indexes used worldwide to rank countries. The NITI Aayog (Government of India) has recently put out a Sustainability Index, using a set of 62 indicators, chosen out of over 306 indicators that constitute the National Indicator Framework, to rank Indian states.⁷⁴

Drawing on these practices, an attempt is made here to prepare an Urbanisation Index, using a set of 25 indicators. Indicators represent the three facets of urbanisation addressed in this report, that is, urbanisation, productivity, and inclusion and environmental safety, and are applied to 28 states, and the three union territories. Included in the index are 17 general states, eight North-Eastern states, three hilly states of Jammu and Kashmir,⁷⁵ Himachal Pradesh, and Uttarakhand, and three union territories. They represent special geographical conditions and/or have special governance structures. The following tables gives the indicators under three sub-heads:

S.No.	Indicators	S.No.	Indicators				
Α.	Urbanisation	13	Urban economic density population (GSDP per sq.km.), 2011				
1	Level of urbanisation, 2011	14	Average monthly per capita consumption expenditure (urban), 2011/12				
2	AEGR of urban population, 2001–2011	15	Gini coefficient for urban consumption, 2011/12				
3	Percentage of population residing in cities with 1 million-plus population	C.	Inclusion and environmental security				
4	Percentage of rural–urban migrants in the increase in urban population, 2001–2011	16	Percentage of households having tap water within premises, 2011				
5	Percentage of census town population in the increase in urban population, 2001–11	17	Percentage of urban households having latrine facility, 2011				
6	Percentage of districts with more than 50% urbanisation, 2011	18	Percentage of urban households having electricity, 2011				
7	Percentage of districts with less than 17.3 percent urbanisation (inverse), 2011	19	Per capita electricity consumption (kWh), 2011/12				
В.	Productivity	20	Municipal road mileage, 2011				
8	Percentage of urban workforce in the working age population, 2011	21	Percentage of solid waste treated, 2015				
9	Percentage of female workers in the total workforce, 2011	22	Percentage of households having access to clean cooking fuel, 2011				
10	CAGR of female workforce, 2001–11	23	Percentage of houses with three and more persons living in one room (inverse), 2011				
11	Percentage of workers in manufacturing, 2011	24	Percentage of urban population below the poverty line (inverse), 2011/12				
12	Percentage of workers in real estate and financial services, 2011	25	Percentage of slum population (inverse), 2011				
Note: Fig	Note: Figures relate to the year 2011.						

Table 36. Indicators used for preparing Urbanisation Index, 2011

74 NITI Aayog. 2018. SDG India Index Baseline Report. New Delhi.

⁷⁵ The study acknowledges the change in the status of Jammu and Kashmir, effected in 2018. However, as the data used in this report relate to years when it was a state, its earlier status has been maintained.

Urbanisation is represented by seven indicators; their values are taken as positive except the value of the indicator on the 'share of districts with less than 17.3 percent level of urbanisation'. The inverse of the values of this indicator is used in the index. Eight indicators represent urban productivity; while 10 indicators form a part of indicators for inclusion and environmental security. Data have been normalised by taking an inverse of the three indicators (percentage of urban population below the poverty line; percentage of slum population to total population, and percentage of districts with less than 17.3 percent of urban population) whose higher values show lack of progress. All indicators carry equal weight. A mini-max equation serves to estimate the value for the various indicators. The arithmetic mean of the normalised values of indicators are used to calculate the Urbanisation Index, the formula being:

$$I_{1j} = \frac{s_{ij} - Min(s_{1j}, \dots, s_{17j})}{Max(s_{1j}, \dots, s_{17j}) - Min(s_{1j}, \dots, s_{17j})} \times 100$$

Where,

- represents the value of ratio j for state i;
- · Min is the minimum value observed in the dataset; and
- Max is the maximum value observed in the dataset.

Goa, Kerala, Haryana, and Maharashtra are the most urbanised states in the country on the basis of the 25 criteria used for constructing the index. Bihar, Chhattisgarh, Odisha and Madhya Pradesh are the least urbanised states. A composite Urbanisation Index ranking the 28 states and three union territories (Table 37) shows Goa (66.5), Kerala (66.0), Haryana (56.6), and Maharashtra (53.9) to be the four most urbanised states in the country. The index puts Bihar (17.0), Chhattisgarh (27.6), Odisha (29.2), and Madhya Pradesh (32.6) as the least urbanised states. Among the North-eastern states, treated as a discrete group for the purpose of this index of urbanisation, Mizoram and Sikkim are ranked as the most urbanised, and Manipur and Assam, the least urbanised. The index values show significant inter-state variations.

This exercise has also ranked states and the union territories separately for the three constituents of the index, that is, urbanisation, urban productivity, and urban inclusion and environmental security. This was done to gain some understanding of the relationship among the three constituents and their relative strengths (or weaknesses), whether the more urbanised states are uniformly more productive and most inclusive. Regression have been run between urbanisation index values (X-axis) and productivity index values (Y-axis), and also between urbanisation index values (X-axis) and inclusion and environment index values (Y-axis). Figure 37 attest their strong relationship.

At the same time, the state ranks in respect of the three constituents vary. Goa, for example, which is the most urbanised state on the basis of the composite index, ranks second on the criterion of productivity and fifth on the criterion of inclusion and environmental security. The examples of Maharashtra and Gujarat are important to illustrate. While the index value for Maharashtra on urbanisation is high, ranked as the third most urbanised state, it ranks low on the criterion of inclusion and environmental security, weighed down on account of a high percentage of households that have three and more

General StatesIGoa66.51Kerala66.02Haryana56.63Maharashtra53.94Karnataka51.45Gujarat48.96Punjab47.78Andhra Pradesh47.78Tamil Nadu46.09West Bengal41.3100Rajasthan33.0111Uttar Pradesh33.712Jharkhand33.4133Madhya Pradesh22.215Chhattisgarh27.616Bihar27.616Uttar Andhan46.82Uttar Andhan46.82Jharkhand33.413Madhya Pradesh27.616Uttar Andhan63.41Uttar Anadi46.82Juantu and Kashmir41.53Uttar Anadi63.41Uttarakhand46.82Jamu and Kashmir41.53Vor of Delhi72.01Chandigarh25.83North-Easten States*11Mizoram62.21Sikkim54.12Aruachal Pradesh34.03Sigaland39.26Magaland39.26Assam39.26Assam39.26Assam39.27	States/Union Territories	Index	Rank
Kerala66.02Haryana55.63Maharashtra53.94Karnataka51.45Gujarat48.96Punjab448.07Andhra Pradesh447.78Tamil Nadu46.09West Bengal41.3100Rajasthan39.0111Uttar Pradesh33.7122Jharkhand33.4133Madhya Pradesh32.3144Odisha29.215Chhattisgarh27.616Bihar17.017Hilly States1011Uttarakhand63.41Uttarakhand63.41Odisha27.616Bihar72.016Chandigarh53.82Jammu and Kashmir45.82NCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*12Mizoram62.21Sikkim54.12Anunachal Pradesh44.03Sikkim54.12Mizoram62.21Sikkim54.12Anunachal Pradesh44.03Kirpaqaiandi44.03Sikkim54.12Anunachal Pradesh44.03Sikkim54.12Sikkim54.12Neghalaya39.26 <td>General States</td> <td></td> <td></td>	General States		
Haryana56.63Maharashtra53.94Karnataka51.45Gujarat48.96Punjab48.67Andhra Pradesh47.78Tamil Nadu46.09West Bengal41.310Rajasthan39.0111Uttar Pradesh33.712Jharkhand33.4133Madhya Pradesh32.3144Odisha29.215Chhattisgarh27.616Bihar17.017.0Hilly States01Uttarakhand63.41Uttarakhand63.41Odisha63.41Chontisgarh63.41Uttarakhand53.82Jammu and Kashmir53.82Puducherry25.83North-Eastern States*12Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura44.03Mizoram62.21Sikkim54.12Mizoram64.24Nagaland44.05Magaland34.27	Goa	66.5	1
Maraashtra53.94Karnataka51.45Gujarat48.966Punjab48.67Andhra Pradesh47.78Tamil Nadu46.09West Bengal41.3100Rajasthan33.0111Uttar Pradesh33.7122Jharkhand33.4133Madhya Pradesh32.3144Odisha29.215Chhattisgarh27.616Bihar17.017Hilly States01VCT of Delhi63.41Chandigarh53.82NCT of Delhi53.82North-Eastern States*01Mizoram662.21Sikkim54.12Arunachal Pradesh44.03Tripura44.03Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura44.03Magaland39.26Assam38.27	Kerala	66.0	2
Karnataka51.45Gujarat48.96Punjab48.67Andhra Pradesh47.78Tamil Nadu46.09West Bengal41.310Rajasthan39.011Uttar Pradesh33.712Jharkhand33.413Madhya Pradesh32.314Odisha29.215Chhattisgarh27.616Bihar17.017Hilly States11Uttar Pradesh63.41Uttar Andensh63.41Odisha27.616Bihar17.017Hilly States21NCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*12Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura44.03Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Magaland39.26Assam38.27	Haryana	56.6	3
Gujarat48.96Punjab48.67Andhra Pradesh47.78Tamil Nadu46.09West Bengal41.310Rajasthan39.011Uttar Pradesh33.712Jharkhand33.413Madhya Pradesh33.413Odisha29.215Chattisgarh27.616Bihar77.617Himachal Pradesh63.41Utarakhand46.82Jammu and Kashmir41.53Votor Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*11Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura44.03Sikkim54.12Arunachal Pradesh44.03Kim54.12Sikkim54.12Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Meghalaya39.26Assam38.27	Maharashtra	53.9	4
Punjab48.67Andhra Pradesh47.78Tamil Nadu46.09West Bengal41.310Rajasthan39.011Uttar Pradesh33.712Jharkhand33.413Madhya Pradesh32.314Odisha29.215Chhattisgarh27.616Bihar17.017Hilly States11Utar Pradesh63.41Utarakhand46.82Jammu and Kashmir41.53Voiro Territories11NCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*12Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Magaland30.26Assam38.27	Karnataka	51.4	5
Andhra Pradesh447.78Tamil Nadu46.09West Bengal41.310Rajasthan39.011Uttar Pradesh33.712Jharkhand33.413Madhya Pradesh32.314Odisha29.215Chhattisgarh27.616Bihar17.017Hilly States11Uttar Pradesh63.41Uttarakhand46.82Jammu and Kashmir41.533Union Territories11North-Eastern States*11Mizoram62.21Sikkim54.12Arunachal Pradesh64.21Ugardand44.03Tipura44.03Sikkim54.12Arunachal Pradesh44.03Kikim54.12Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tipura42.64Nagaland40.45Magaland39.26Assam38.27	Gujarat	48.9	6
Tamil Nadu46.09West Bengal41.310Rajasthan39.011Uttar Pradesh33.712Jharkhand33.413Madhya Pradesh32.314Odisha29.215Chhattisgarh27.616Bihar17.017Hilly States1Uttar Aradesh63.41Uttarakhand63.41Uttarakhand446.82Jammu and Kashmir41.53Union Territories11North-Eastern States*11Mizoram62.21Sikkim54.12Arunachal Pradesh64.21Mizoram64.21Sikkim54.12Magaland44.03Kighalaya39.26Assam39.26	Punjab	48.6	7
West Bengal41.310Rajasthan39.011Uttar Pradesh33.712Jharkhand33.413Madhya Pradesh32.314Odisha29.215Chhattisgarh27.616Bihar17.017Hilly States117Uttarakhand63.41Uttarakhand46.82Jammu and Kashmir61.43Vion Territories11NCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*12Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tipura44.03Sikkim54.12Arunachal Pradesh44.03Tipura44.03Sikkim54.12Arunachal Pradesh44.03Tipura62.21Sikalaya39.26Asam38.27	Andhra Pradesh	47.7	8
Rajasthan 39.0 11 Uttar Pradesh 33.7 12 Jharkhand 33.4 13 Madhya Pradesh 32.3 14 Odisha 29.2 15 Chhattisgarh 27.6 16 Bihar 17.0 17 Hilly States 17.0 17 Himachal Pradesh 63.4 1 Uttarakhand 46.8 2 Jammu and Kashmir 41.5 3 Uttarakhand 53.8 2 NCT of Delhi 72.0 1 Chandigarh 53.8 2 Puducherry 25.8 3 North-Eastern States* 1 2 Mizoram 62.2 1 Sikkim 54.1 2 Arunachal Pradesh 44.0 3 Tripura 44.0 3 Magaland 40.4 5 Magaland 39.2 6	Tamil Nadu	46.0	9
Uttar Pradesh33.712Jharkhand33.413Madhya Pradesh32.314Odisha29.215Chhattisgarh27.616Bihar17.017Hilly States117Himachal Pradesh63.41Uttarakhand46.82Jammu and Kashmir41.53Nor of Delhi72.01Chandigarh72.01NcT of Delhi72.01Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tipura44.64Agaland44.45Magaland40.45Asam38.27	West Bengal	41.3	10
Jharkhand33.413Madhya Pradesh32.314Odisha29.215Chhattisgarh27.616Bihar17.017Hilly States117Himachal Pradesh63.41Uttarakhand46.82Jammu and Kashmir41.53Union Territories11NCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*12Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Asam38.27	Rajasthan	39.0	11
Madhya Pradesh32.314Odisha29.215Chhattisgarh27.616Bihar17.017Hilly States1Himachal Pradesh63.41Uttarakhand46.82Jammu and Kashmir41.53Union Territories1NCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*1Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura44.03Nagaland40.45Meghalaya39.26Asam38.27	Uttar Pradesh	33.7	12
Odisha29.215Chhattisgarh27.616Bihar17.017Hilly States117Himachal Pradesh63.41Uttarakhand46.82Jammu and Kashmir41.53Union Territories11NCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*11Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura44.03Nagaland40.45Meghalaya39.26Asam38.27	Jharkhand	33.4	13
Chhattisgarh27.616Bihar17.017Hilly States1017Himachal Pradesh63.41Uttarakhand46.82Jammu and Kashmir41.53Union Territories1010NCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*10Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Meghalaya38.27	Madhya Pradesh	32.3	14
Bihar17.017Hilly States1Himachal Pradesh63.41Uttarakhand63.41Uttarakhand46.82Jammu and Kashmir41.53Union Territories72.01NCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*1Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Meghalaya38.27	Odisha	29.2	15
Hilly StatesImachal Pradesh63.41Himachal Pradesh63.41Uttarakhand46.82Jammu and Kashmir41.53Union Territories72.01NCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*1Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Meghalaya38.27	Chhattisgarh	27.6	16
Himachal Pradesh63.41Uttarakhand46.82Jammu and Kashmir41.53Union Territories11NCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*1Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Assam38.27	Bihar	17.0	17
Uttarakhand46.82Jammu and Kashmir41.53Union Territories72.01NCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*62.21Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland39.26Assam38.27	Hilly States		
Jammu and Kashmir41.53Union TerritoriesNCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland39.26Assam38.27	Himachal Pradesh	63.4	1
Union TerritoriesImage: Constraint of the second secon	Uttarakhand	46.8	2
NCT of Delhi72.01Chandigarh53.82Puducherry25.83North-Eastern States*Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Meghalaya39.26Assam38.27	Jammu and Kashmir	41.5	3
Chandigarh53.82Puducherry25.83North-Eastern States*Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Meghalaya39.26Assam38.27	Union Territories		
Puducherry25.83North-Eastern States*Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Meghalaya39.26Assam38.27	NCT of Delhi	72.0	1
North-Eastern States*62.2Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Meghalaya39.26Assam38.27	Chandigarh	53.8	2
Mizoram62.21Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Meghalaya39.26Assam38.27	Puducherry	25.8	3
Sikkim54.12Arunachal Pradesh44.03Tripura42.64Nagaland40.45Meghalaya39.26Assam38.27	North-Eastern States*		
Arunachal Pradesh44.03Tripura42.64Nagaland40.45Meghalaya39.26Assam38.27	Mizoram	62.2	1
Tripura42.64Nagaland40.45Meghalaya39.26Assam38.27	Sikkim	54.1	2
Nagaland 40.4 5 Meghalaya 39.2 6 Assam 38.2 7	Arunachal Pradesh	44.0	3
Meghalaya39.26Assam38.27	Tripura	42.6	4
Assam 38.2 7	Nagaland	40.4	5
	Meghalaya	39.2	6
Manipur 35.3 8	Assam	38.2	7
	Manipur	35.3	8

Table 37. Composite Index values and ranks of states and union territories

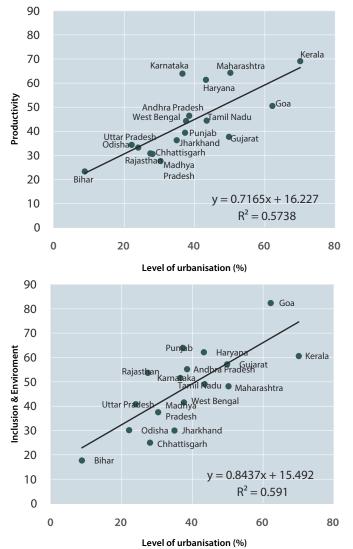
* computed from 22 out of total 25 indicators. Data on treated solid waste and slum population in urban population are not available for Manipur and data on municipal road mileage are not available for Nagaland and Arunachal Pradesh.

Source: Team's calculations

members living in a single room and high slum population. Likewise, Gujarat ranks low on the criterion of productivity (low urban female workers to total urban workers and low average monthly consumption expenditure), despite it being the fourth most urbanised state. Punjab, on the other hand, has a high proportion of households with clean cooking fuel, and a relatively low proportion of persons below the poverty line, and is therefore ranked second on considerations of inclusion and environmental security, but low on grounds of productivity and urbanisation.

The index values for the three constituents have large inter-state variations. Some states have high urbanisation levels, having moved faster compared to economic productivity and inclusion; in other cases, for example, Karnataka, which ranks third on the criterion of productivity, has correspondingly lower level of urbanisation and low level of environmental security. This analysis shows up the challenges that different states are faced with.

Figure 37. Regression between Urbanisation Index values and productivity, and Urbanisation Index values and inclusion and environment



	Urbanisation		Productivity			Inclusion and environment		
State/Union Territory	Index	Ranks		Index	Rank	S	Index	Ranks
General States								
Kerala	70.1		1	69.1	1		60.6	4
Goa	62.1		2	50.5	5		82.4	1
Maharashtra	50.2		3	64.3	2		48.2	10
Gujarat	49.8		4	37.7	1(0	57.2	5
Tamil Nadu	43.5		5	44.4	7		49.1	9
Haryana	43.2		6	61.4	4		62.2	3
Andhra Pradesh	38.5		7	46.4	6	j	55.2	6
West Bengal	37.6		8	44.3	8	;	41.5	11
Punjab	37.3		9	39.4	9)	64.0	2
Karnataka	36.6		10	64.0	3		51.6	8
Jharkhand	34.9		11	36.3	1	1	30.0	15
Madhya Pradesh	30.3		12	27.6	10	б	37.5	13
Chhattisgarh	28.0		13	30.6	1	5	25.0	16
Rajasthan	27.4		14	30.8	14	4	53.7	7
Uttar Pradesh	24.0		15	33.2	13	3	40.8	12
Odisha	22.1		16	34.3	12	2	30.2	14
Bihar	8.8		17	23.3		7	17.7	17
Hilly State								
Uttarakhand	64.9		1	61.0	2		19.1	3
Jammu and Kashmir	63.1		2	28.3	3		37.0	2
Himachal Pradesh	28.6		3	62.5	1		90.9	1
Union Territory								
NCT of Delhi	66.3		1	69.4	1		78.0	1
Chandigarh	53.8		2	65.0	2		44.9	2
Puducherry	14.3		3	26.9	3		33.1	3
North-Eastern State*								
Mizoram	54.5		1	43.8	5		88.2	1
Tripura	36.5		2	44.2	4		47.1	5
Arunachal Pradesh	34.6		3	45.7	3		51.8	4
Nagaland	32.6		4	42.2	6		46.2	6
Manipur	29.6		5	40.1	7		36.0	8
Assam	26.9		6	48.5	2		39.2	7
Sikkim	24.6		7	50.9	1		86.8	2
Meghalaya	14.0		8	37.3	8	3	66.4	3

Table 38. Constituent-wise: Index values and ranks of states and union territories, 2011

*Indexes of productivity and inclusion and environment safety for North-Eastern states are computed from 8 and 7 indicators, respectively. Data on percentage of solid waste treated and percentage of slum population in urban population are not available for Manipur and data on municipal road mileage are not available for Nagaland and Arunachal Pradesh

Source: Team's calculations

Mention may be made here of the "bare necessities index" (BNI), introduced in the recently released *Economic Survey 2020-21.*⁷⁶ Constructed with 26 indicators drawn from five dimensions, namely, water, sanitation, housing, micro-environment, and other facilities and making use of data from the 69th and 76th rounds of NSSO surveys, the BNI shows that

- i. the access to bare necessities for urban housholds is significantly better in 2018 compared to 2011;
- ii. the access to bare necessities in 2018 is higher in such states as Kerala, Punjab, Haryana, Gujrat, Uttarakhand, Delhi, Goa, Mizoram and Sikkim, and lowest in Odisha, West Bengal, and Tripura, and
- iii. improvement is significantly higher in the rural areas compared to the urban areas.

A WORK-IN-PROGRESS

In closing, it is important to point out that while the index values and ranks enable us to understand the relative position of the states and union territories, it is not a substitute for an in-depth analysis of the process of India's urban transition. Urbanisation is a complex process and is taking place under very different circumstances. There are several narratives, each with important implications. These narratives raise fresh questions, especially in relation to the tepid growth of population of statutory cities and towns, refiguration of urban space on account of the outward spread of urban activities, low level of concern for the economic potential of rural–urban migration, and the emerging complexities with respect to the pattern of growth of metropolitan cores and peripheries. High demographic dividend in states that are low-urbanised, and ageing in high-income and more urbanised states present a challenge that needs an explicit recognition.

There are questions with respect to the productivity of cities and towns, as also the falling shares of GDP accruing from such sectors as manufacturing, financial services, and real estate. Questions about the scale, composition and informalisation of the urban economy and trends toward formalisation of the rural economy have surfaced as newer concerns for in-depth examination. Further, urban scholars have advanced certain rules of the game with respect to the processes of urbanisation, for instance, the process exhibiting a pattern in which the rate of change is slow at first, then rises steeply as the early stages of industrialisation are reached and tapers off gradually when the population begins to reach a saturation point. Examination of such questions together with the impact of technology and digitisation and artificial intelligence on agglomeration economies are an integral part of coming to grips with the process of India's urban transition.

⁷⁶ Government of India. 2021. Economic Survey 2020-21. Volume 1. New Delhi.

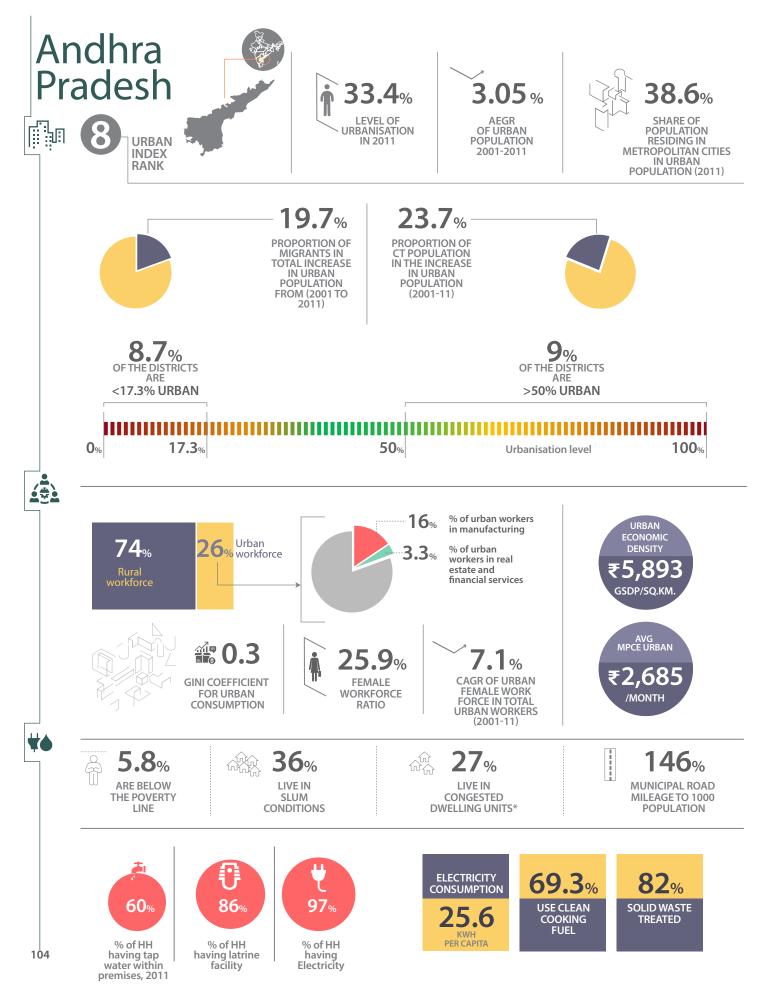
The 2019 report of the Government of India on population projections⁷⁷ had estimated India's urban population at 469.9 million in 2021, yielding an annual exponential population growth of just 2.2 percent over the 2011–21 decade. Such a low growth in population presents a major issue for the future of country's urbanisation. Although this estimate is based on the urban–rural growth differential method (URGD) under the assumption that the URGD for the period 2001–11 will remain unchanged up to 2036, it draws attention to the likely pace of urbanisation and linked questions; for example, what might explain the likely dip in the rate of urbanisation in the 2011–21 decade after posting a marginal recovery in the previous census decade of 2001–11? Is this setting a new trend? Is it connected with the recent (post-2016) economic slowdown in the country? What implications and impact would it have for the future urban policy? This SOCR is a work in progress.

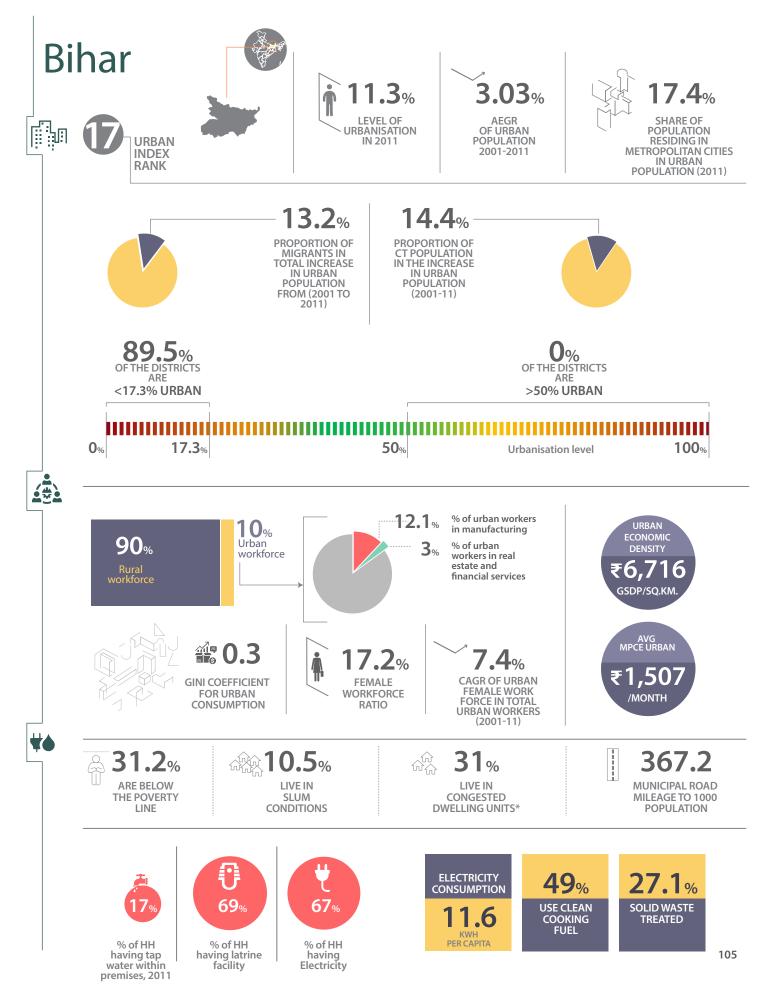
⁷⁷ Government of India. 2019. Technical Group on Population Projections. ibid.

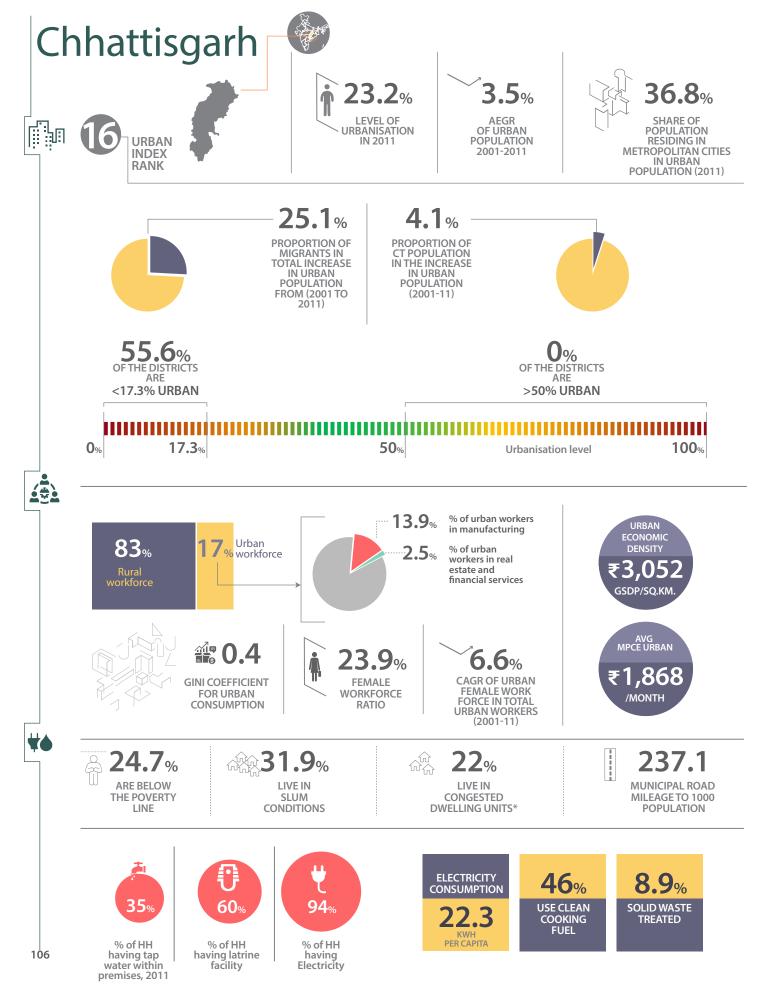
State Urban Infographics

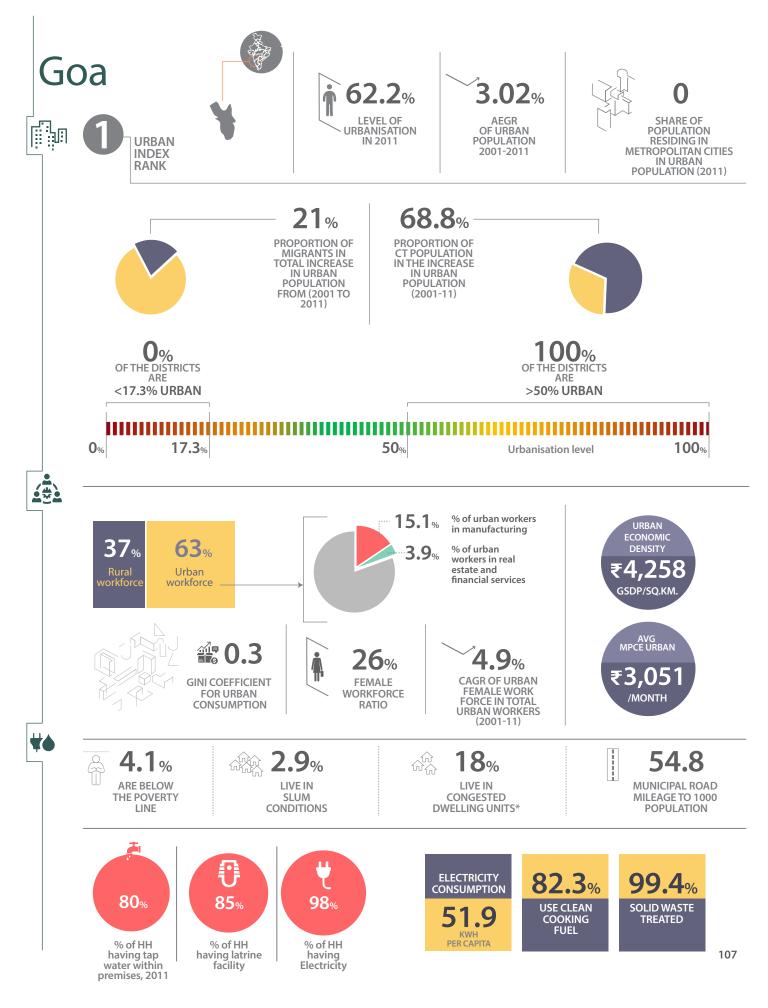
STATE OF THE CITIES

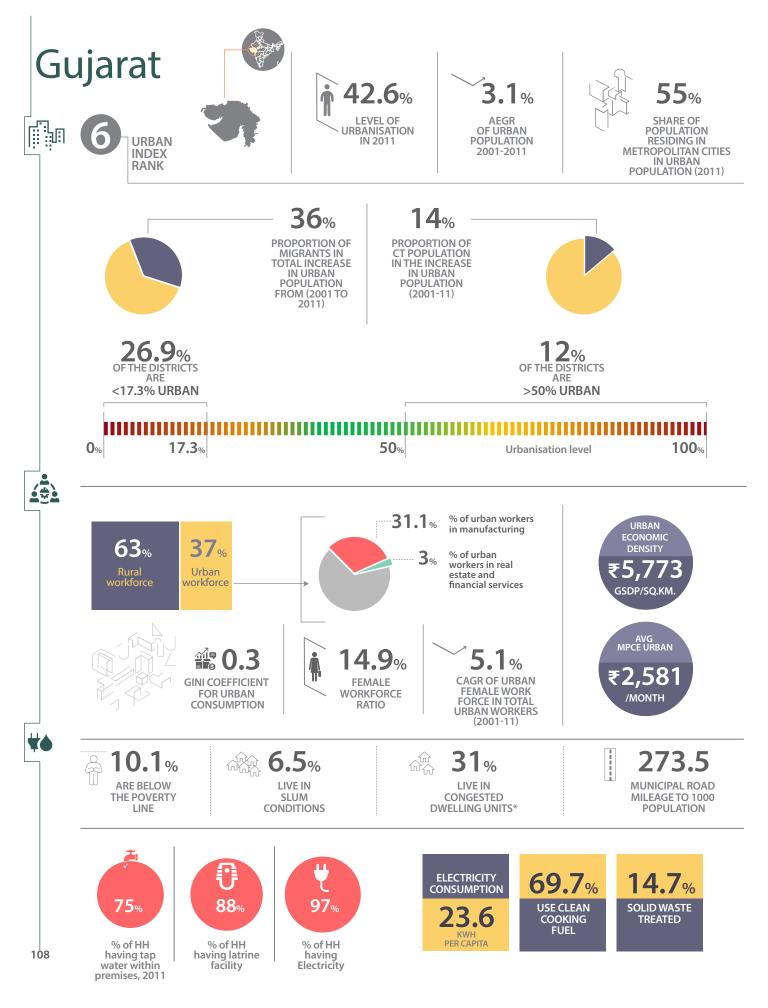
GENERAL STATES

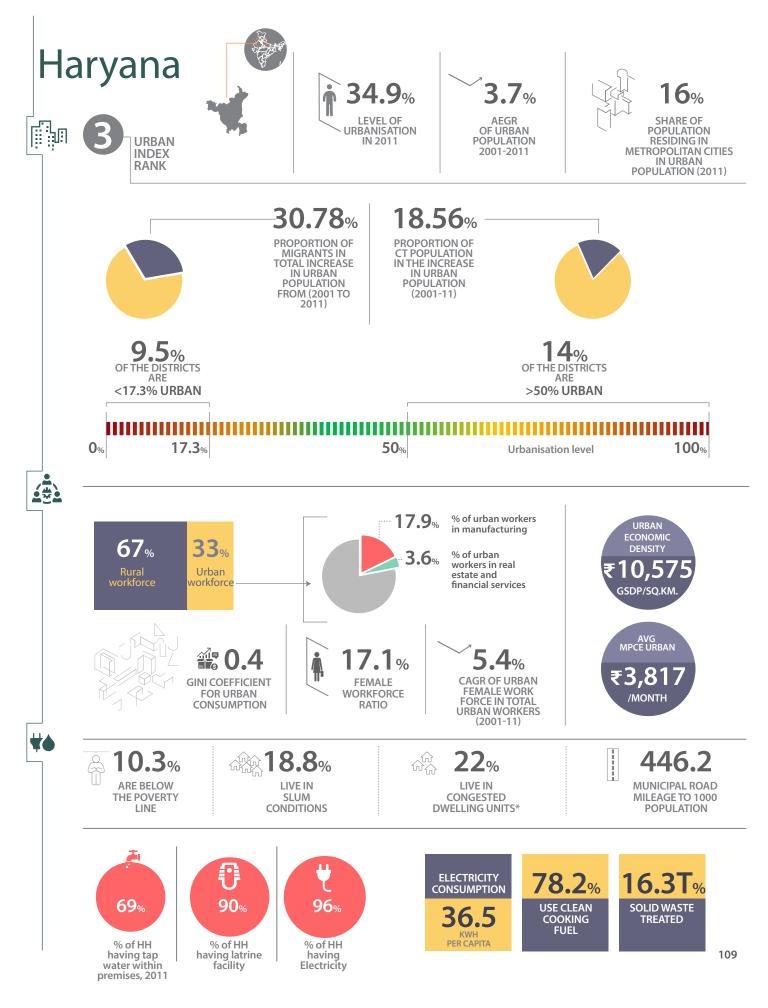


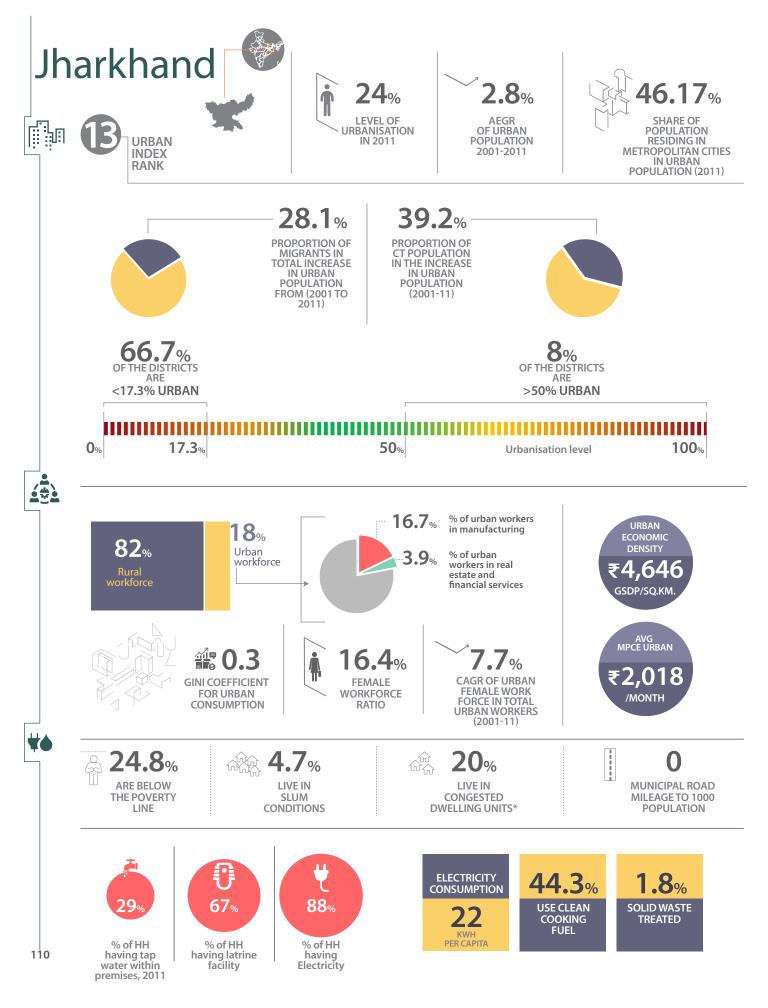


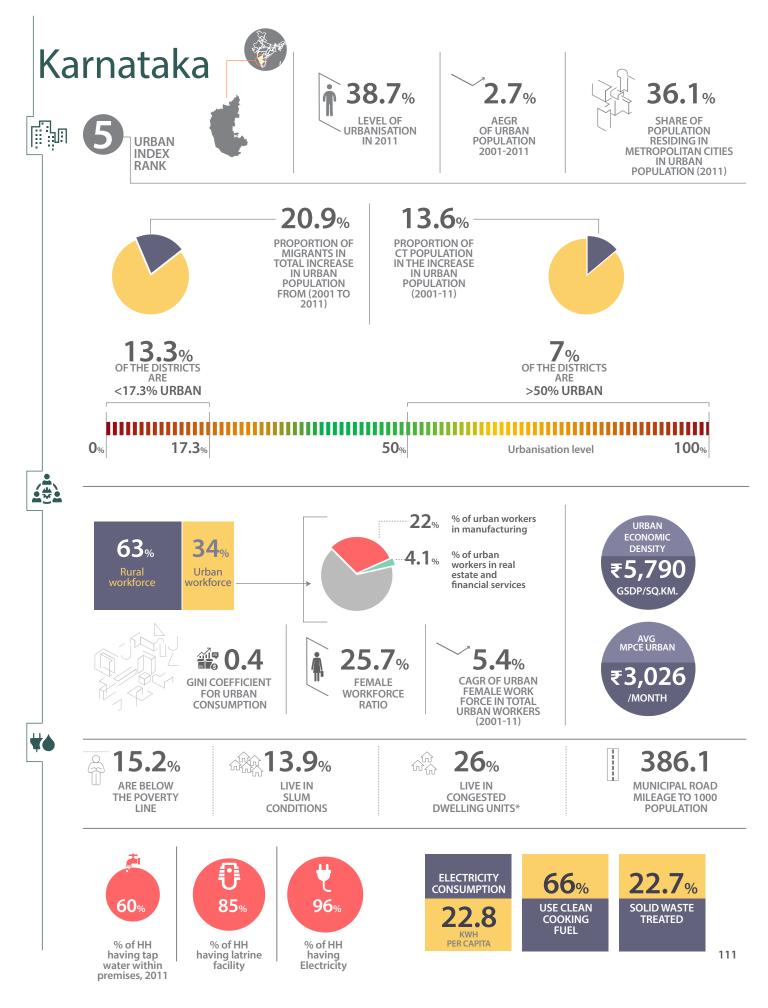


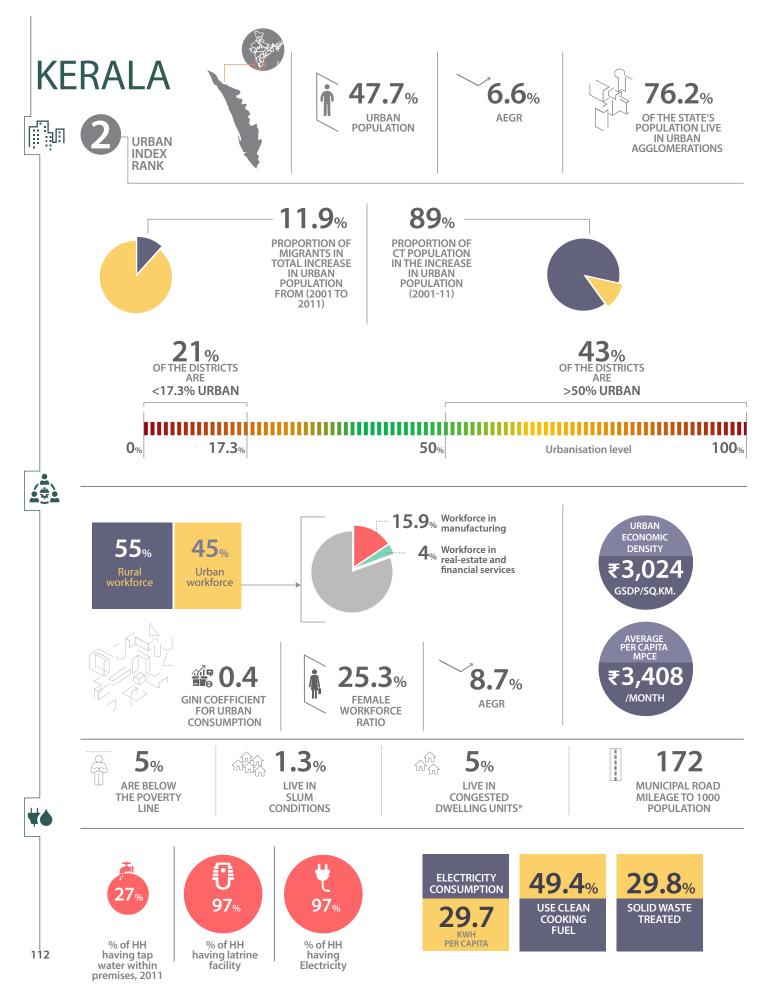


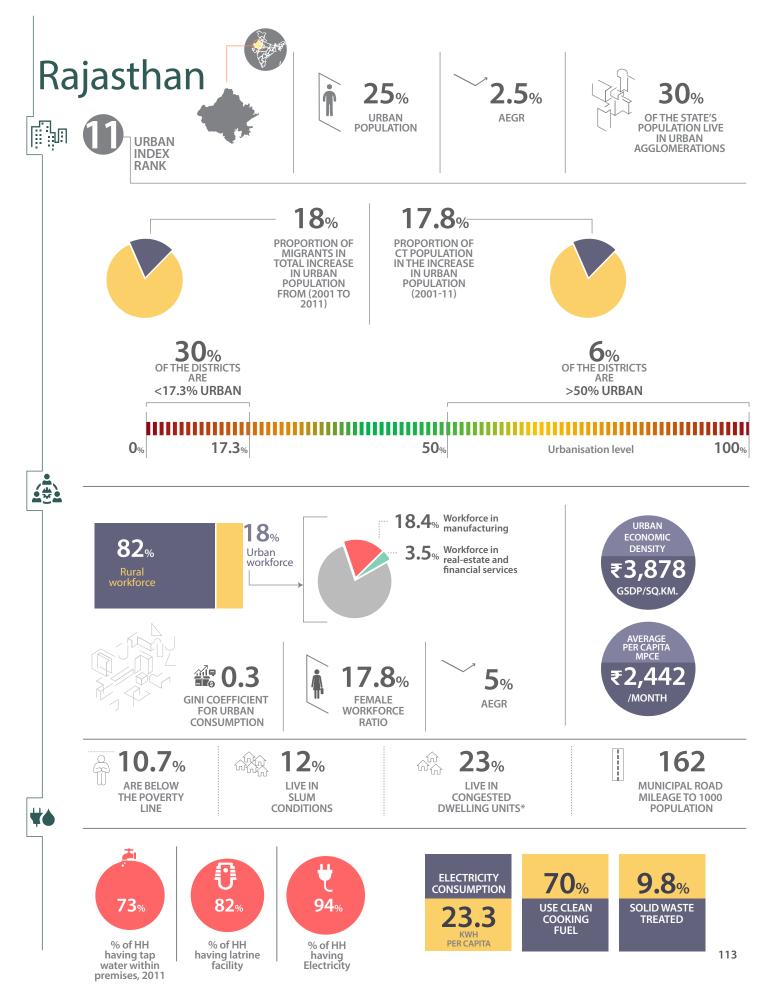


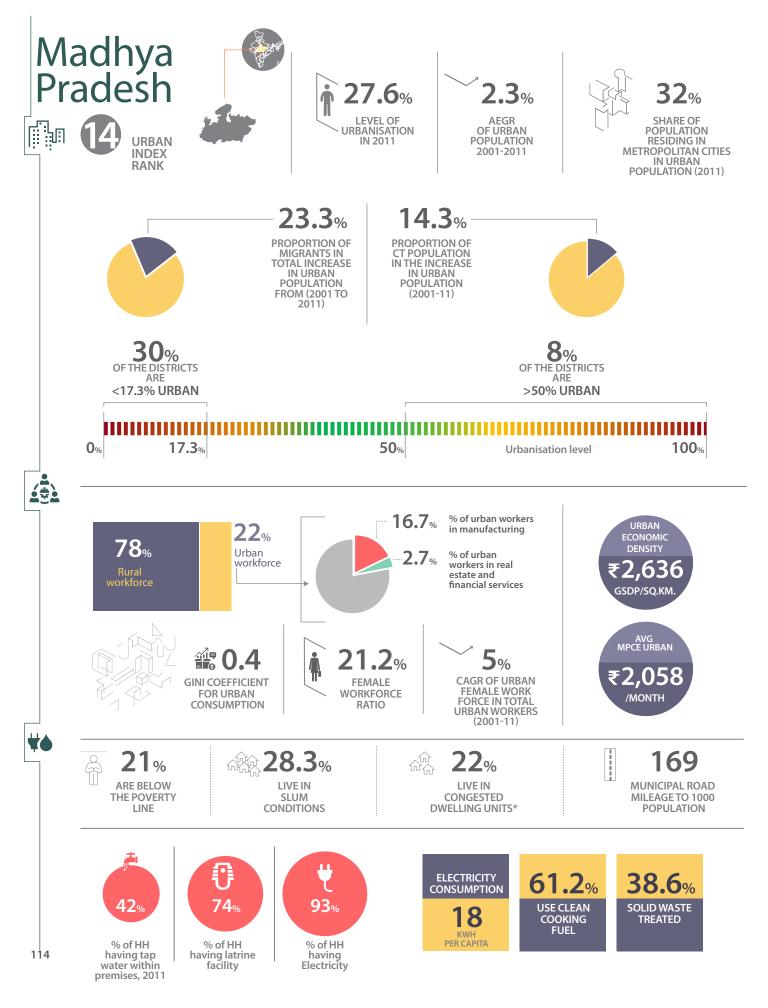


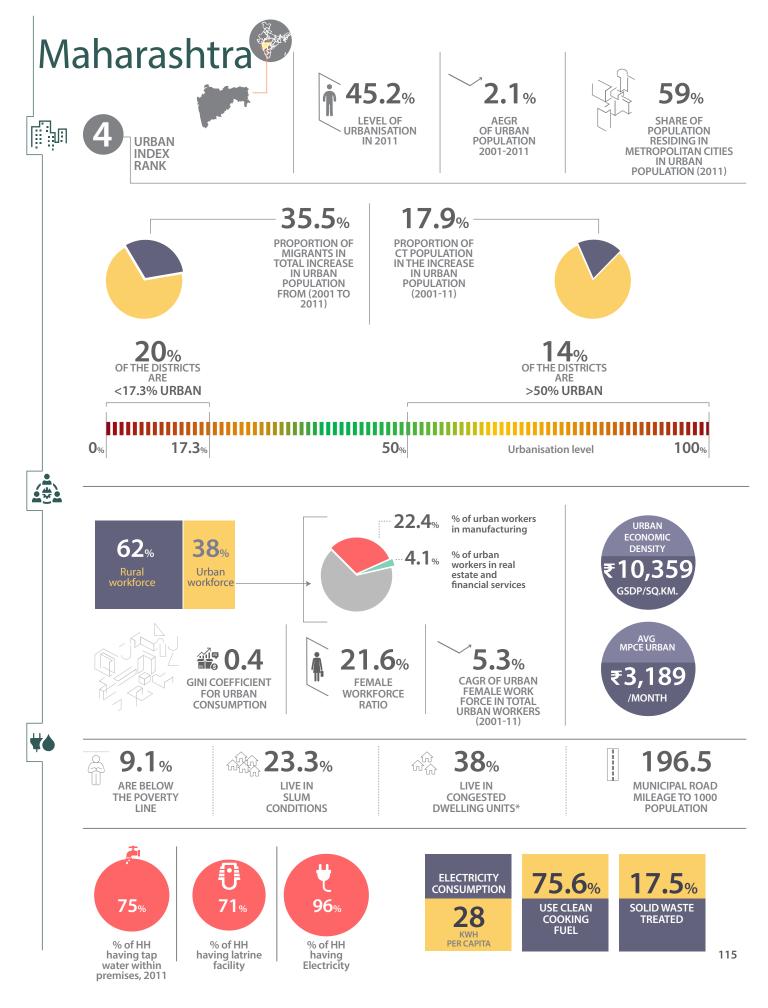


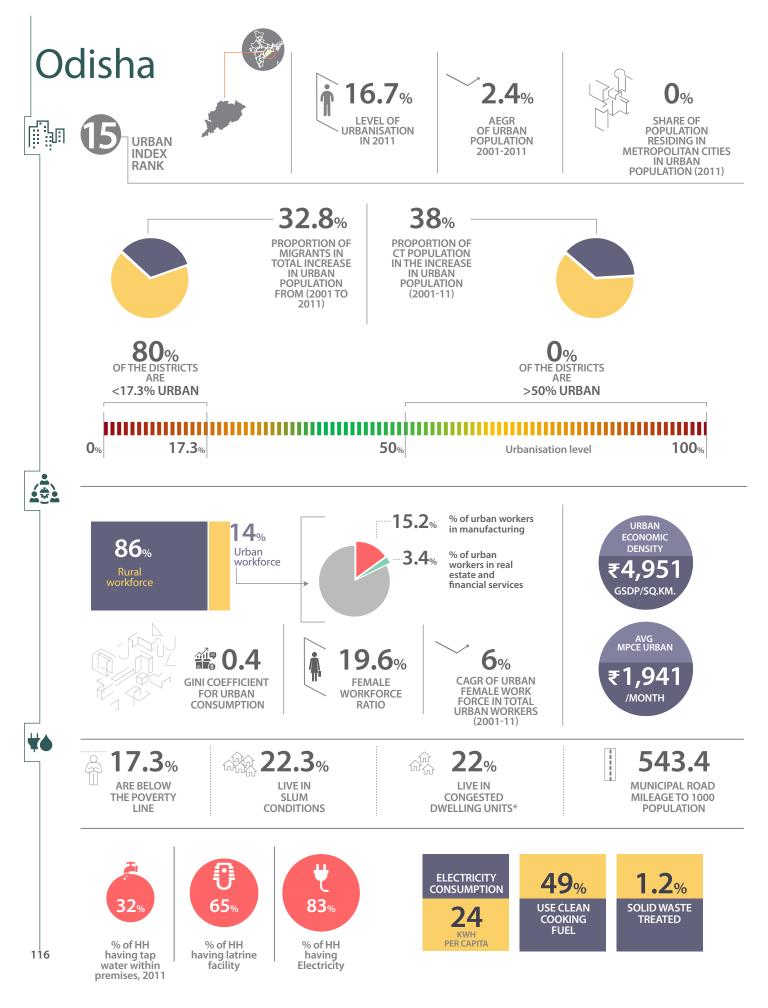


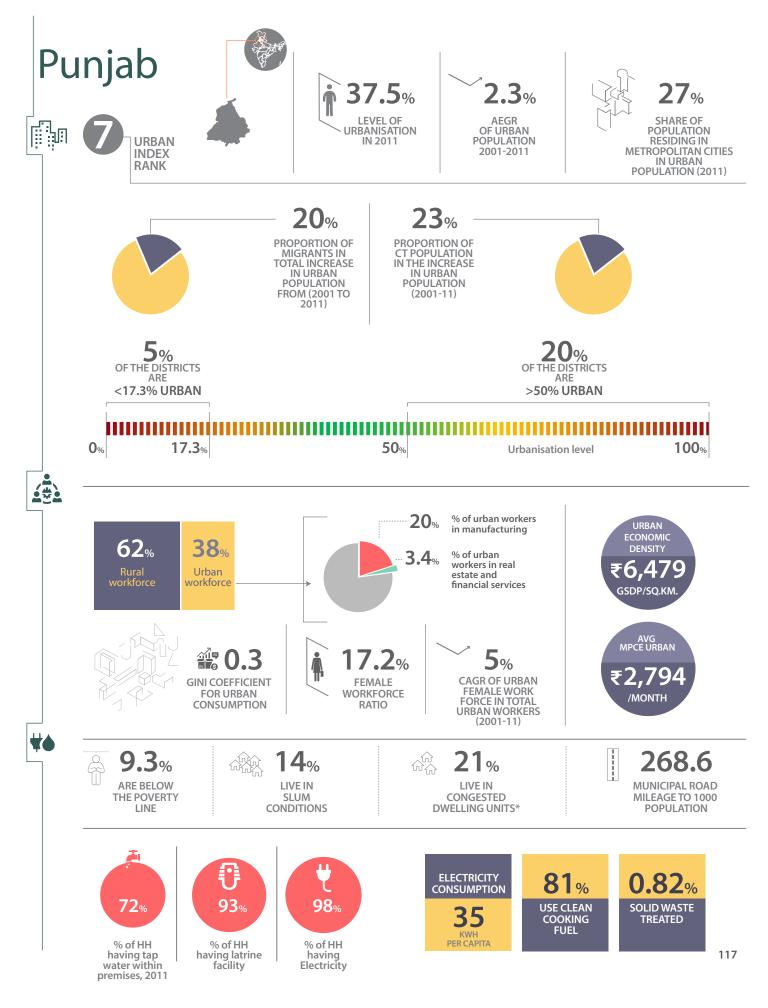


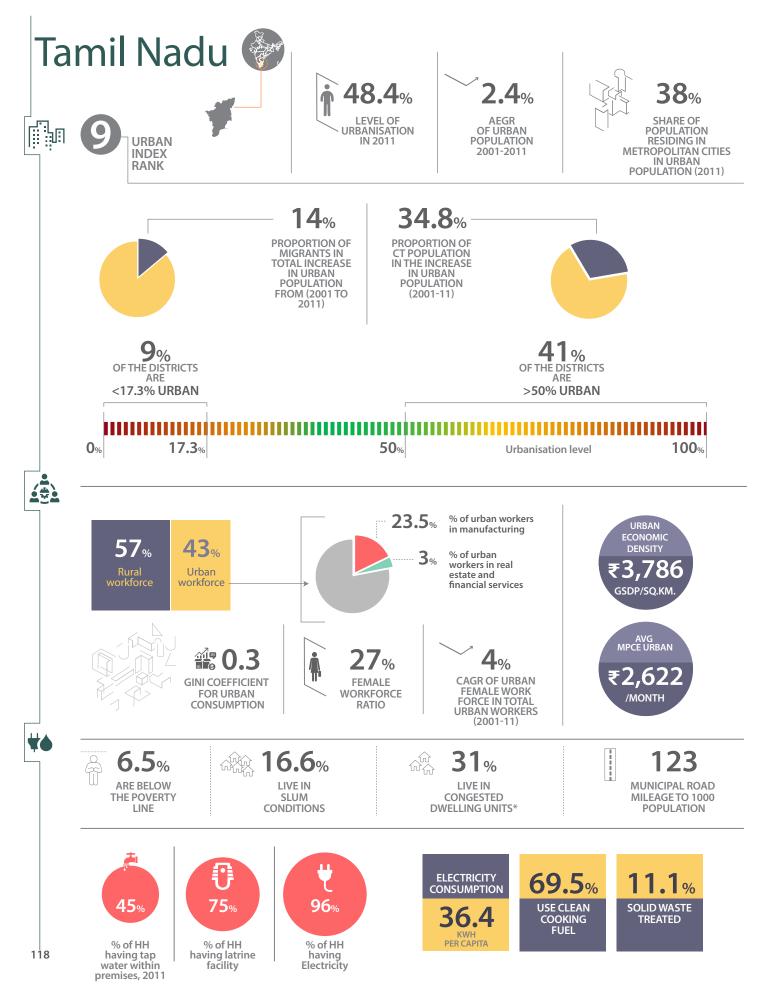


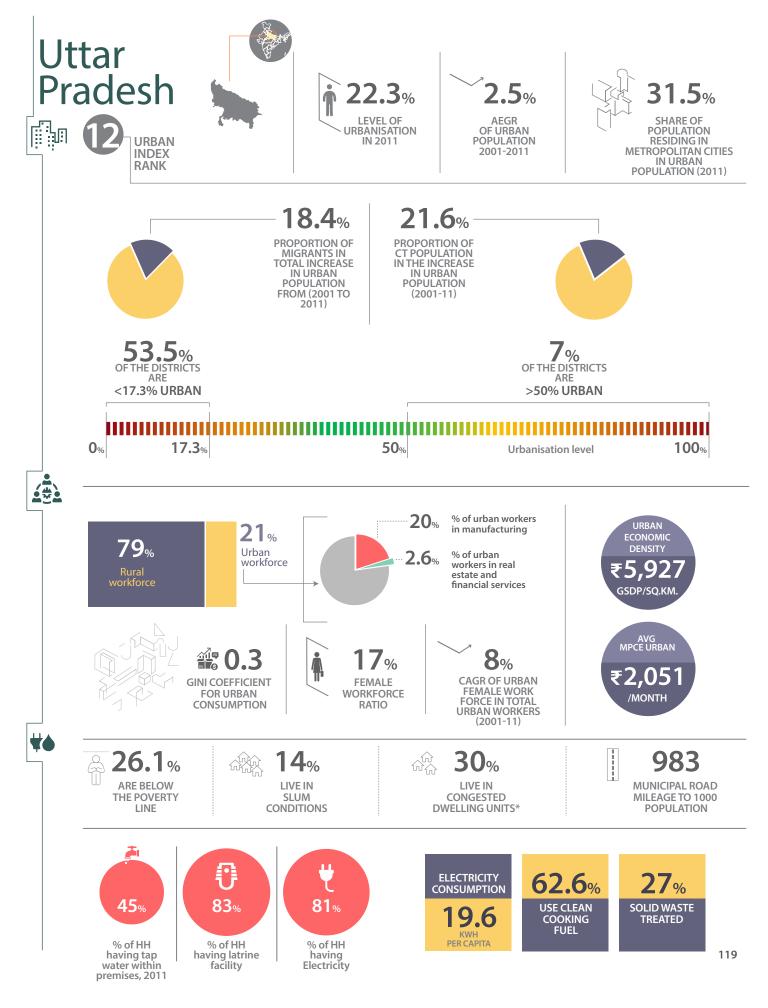


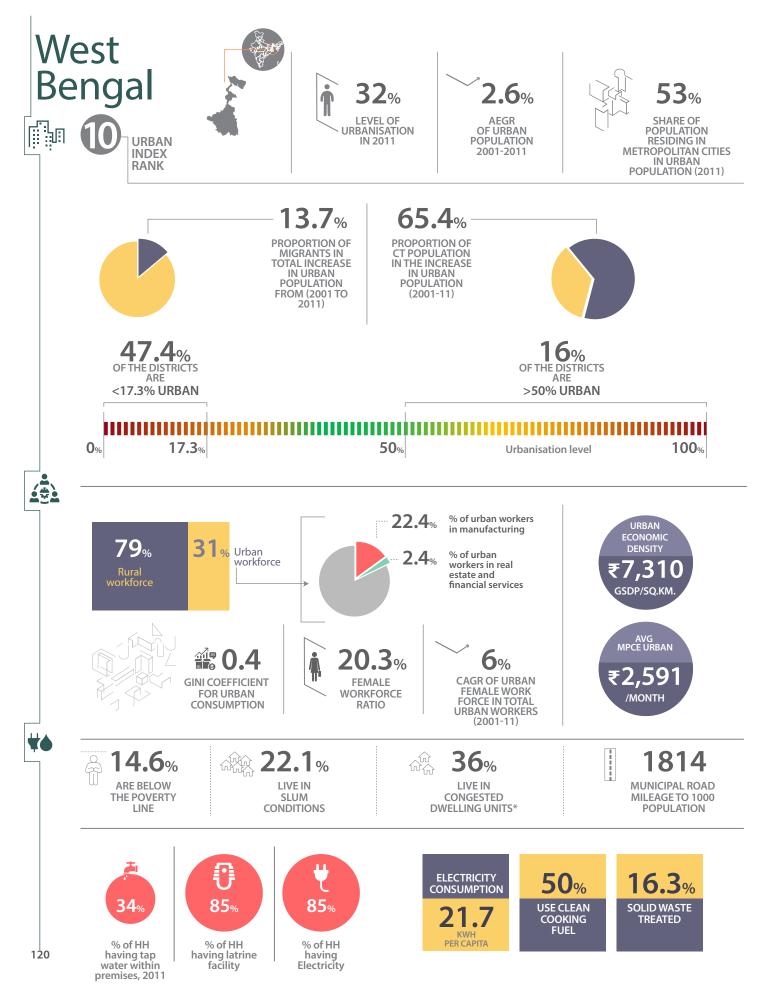




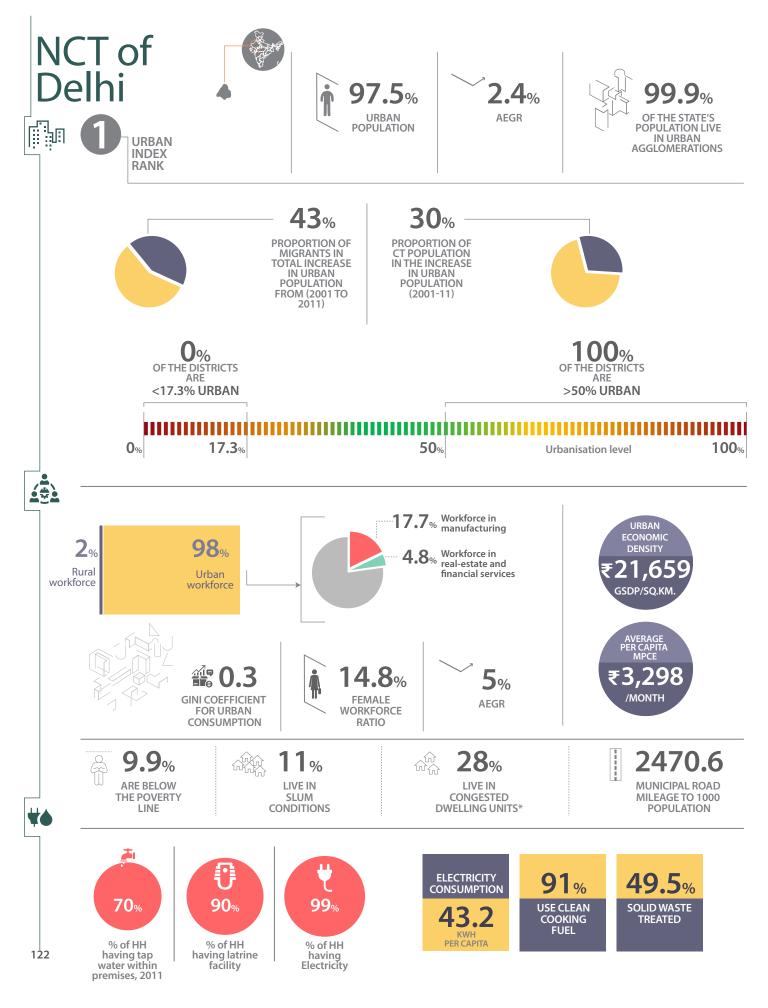


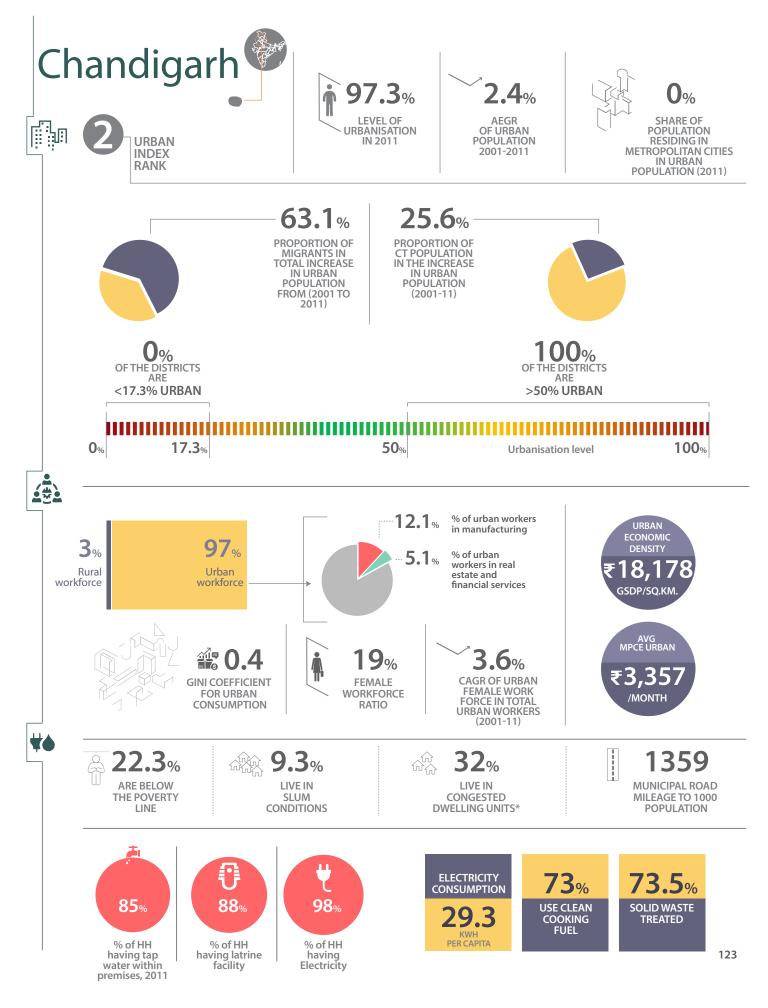


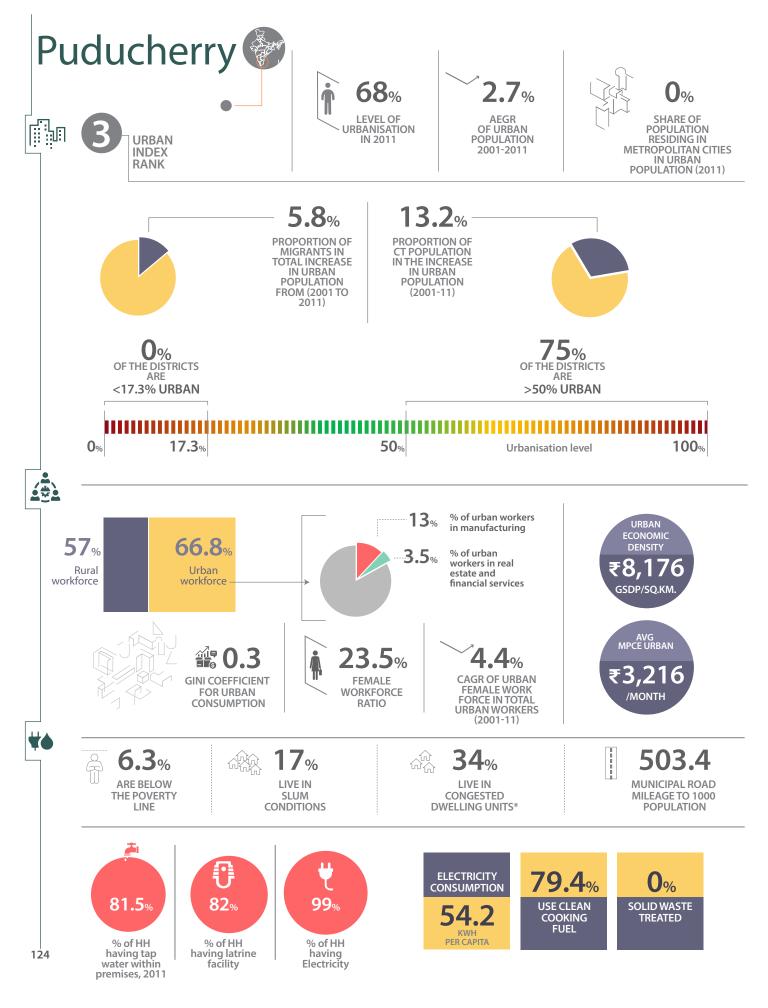




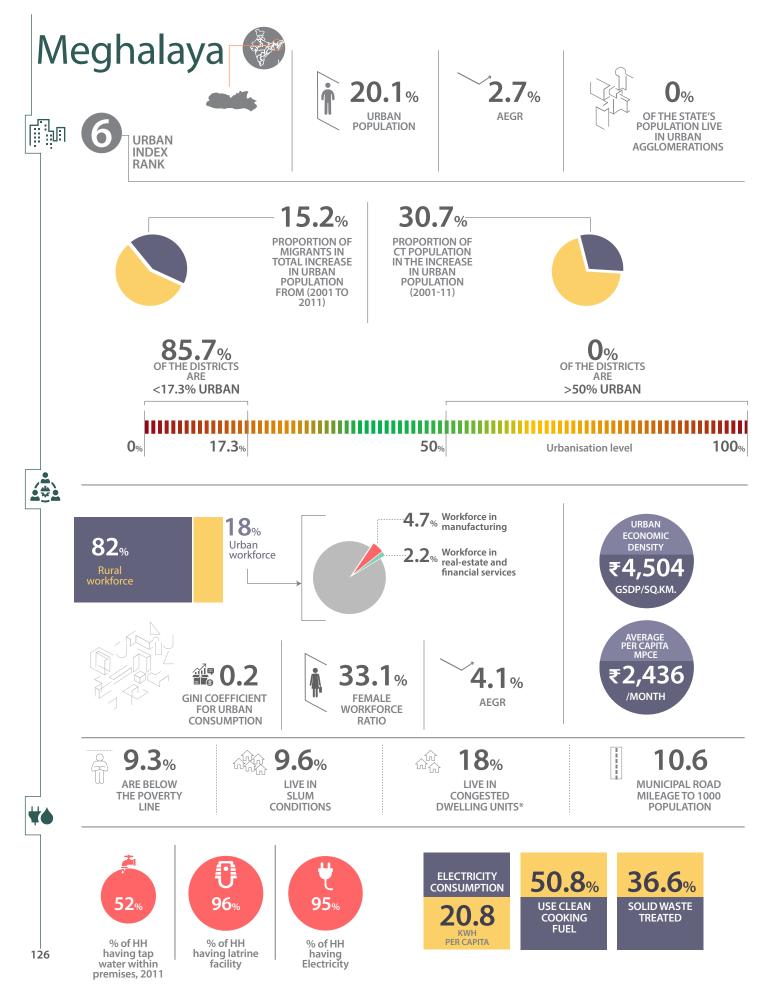
UNION TERRITORY

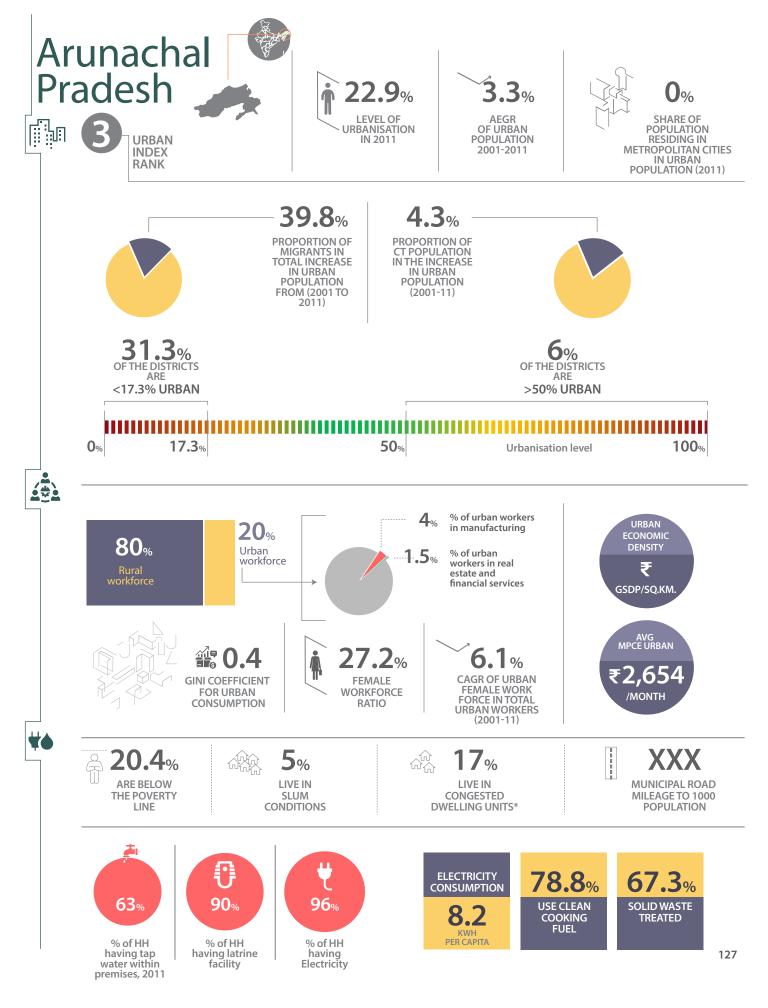


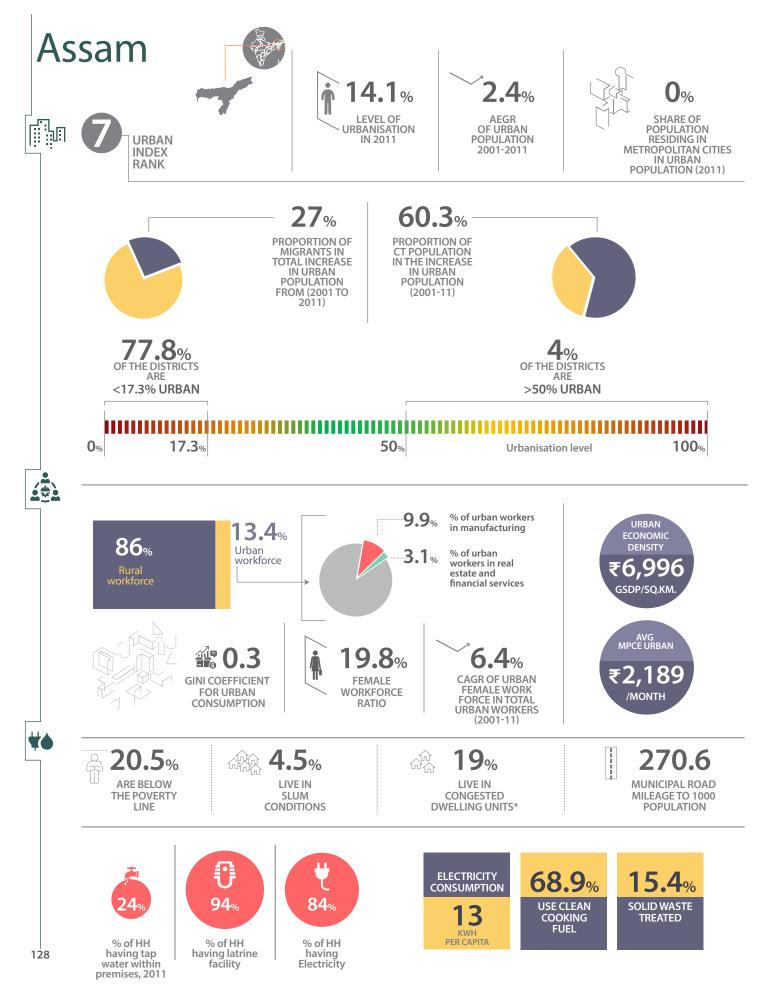


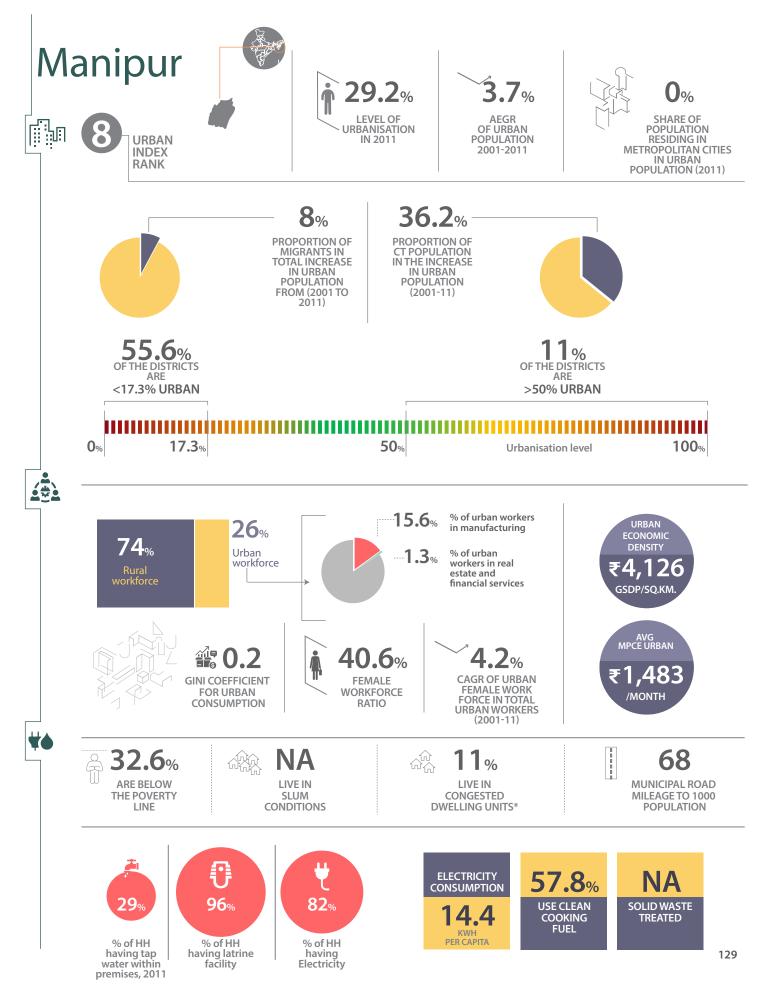


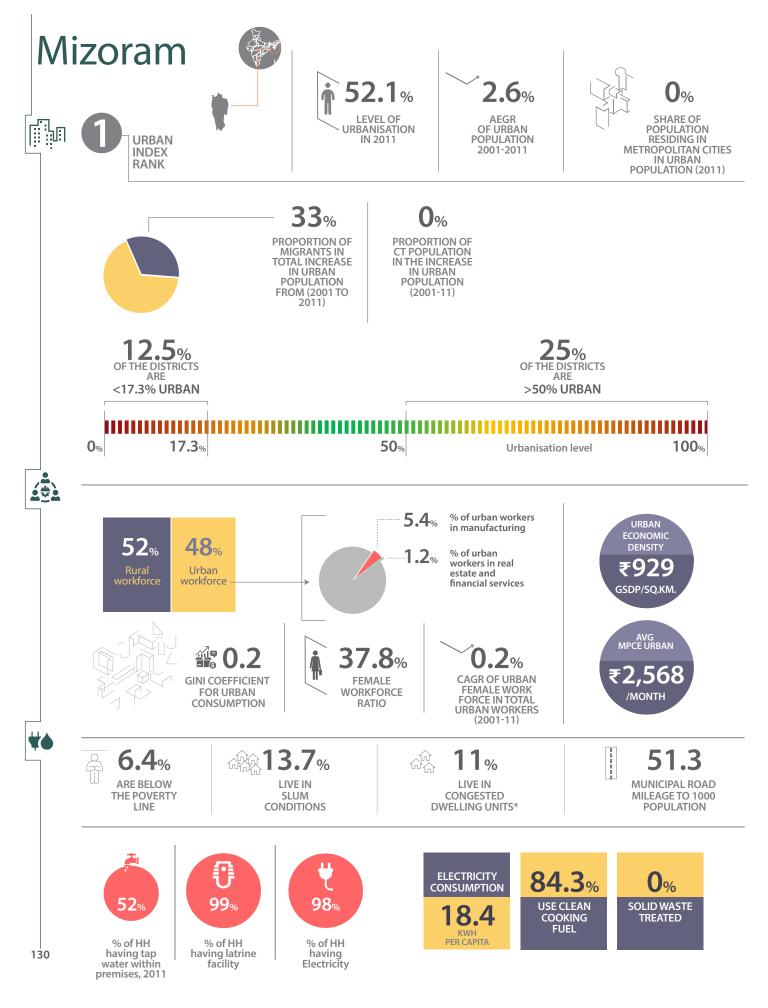
NORTH-EASTERN STATE

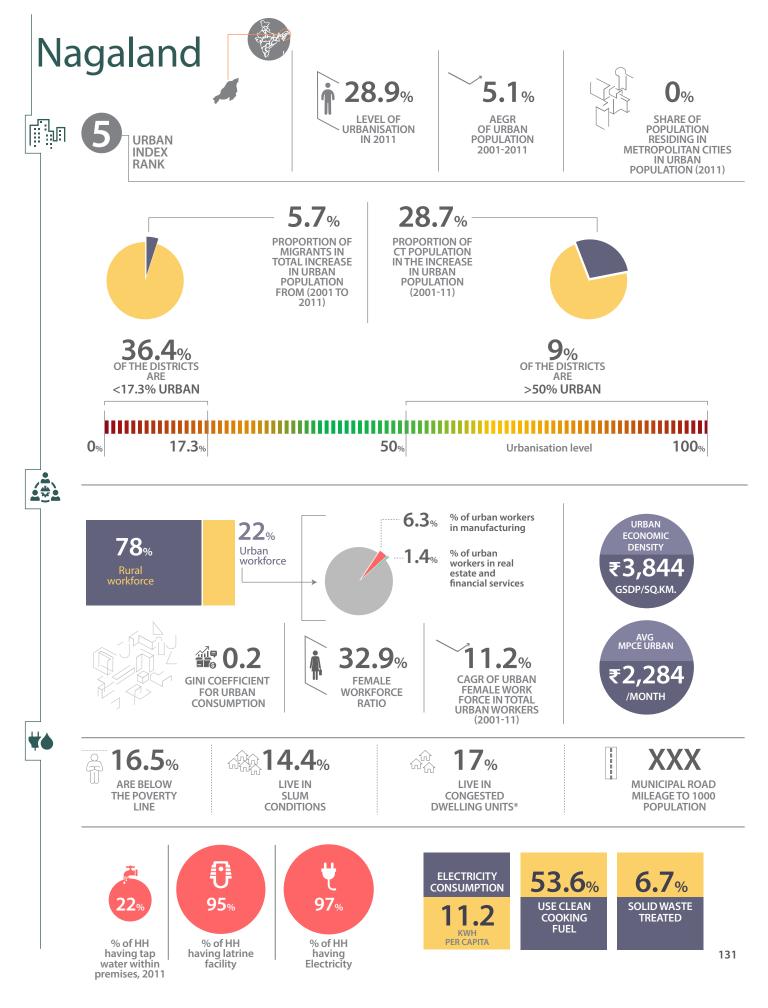


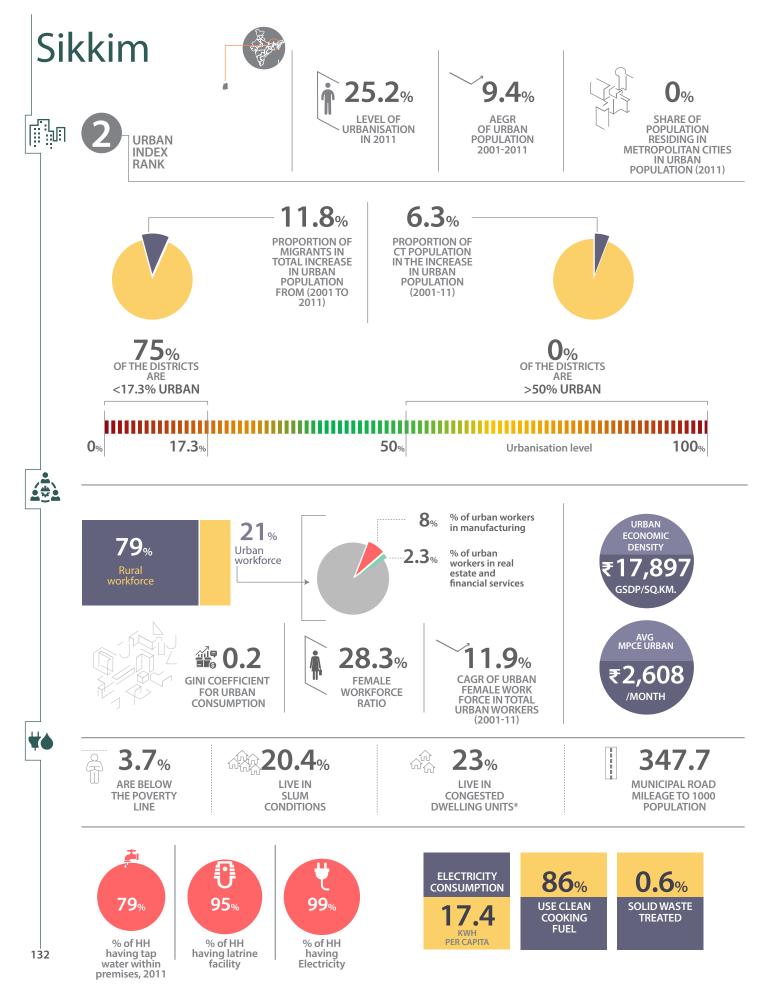


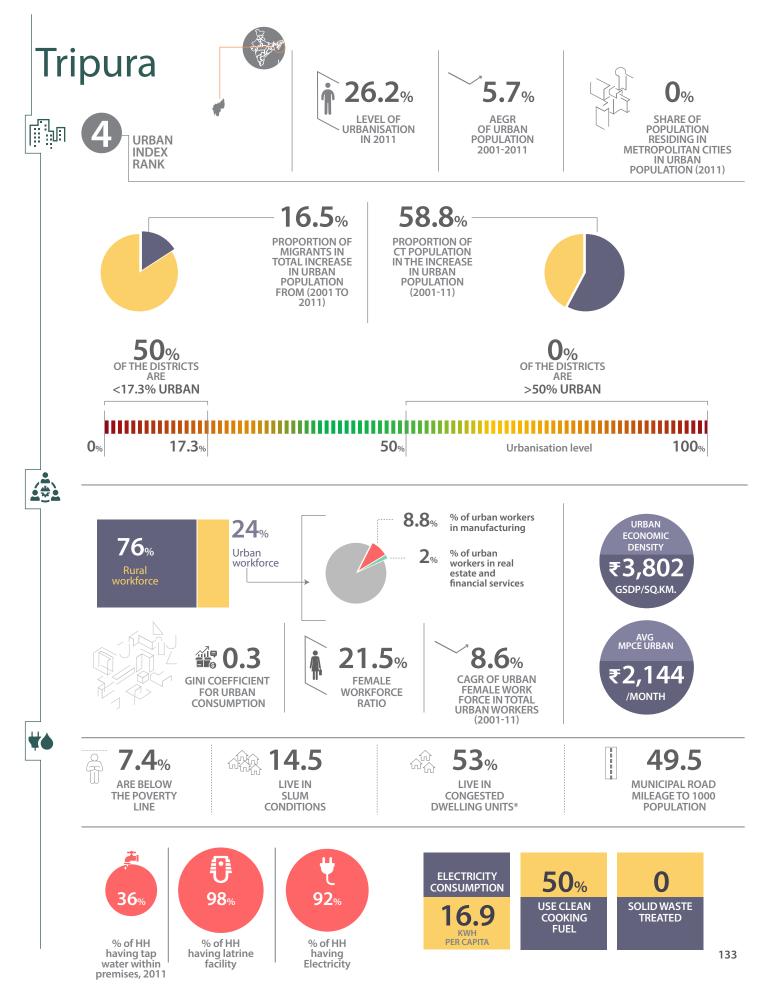




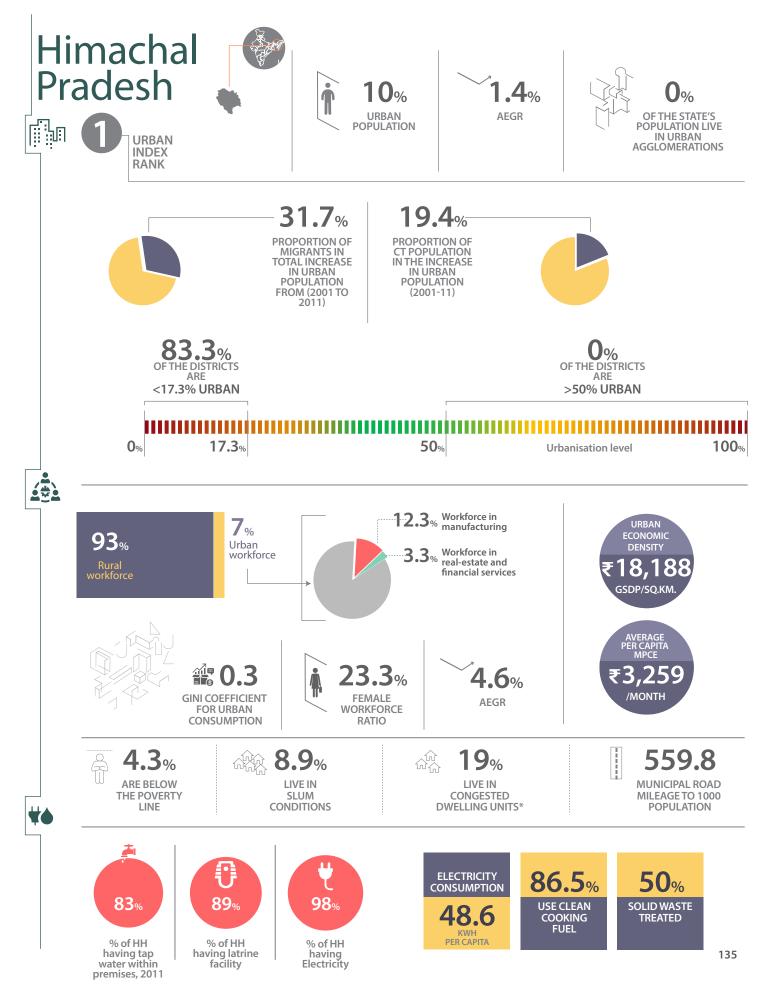


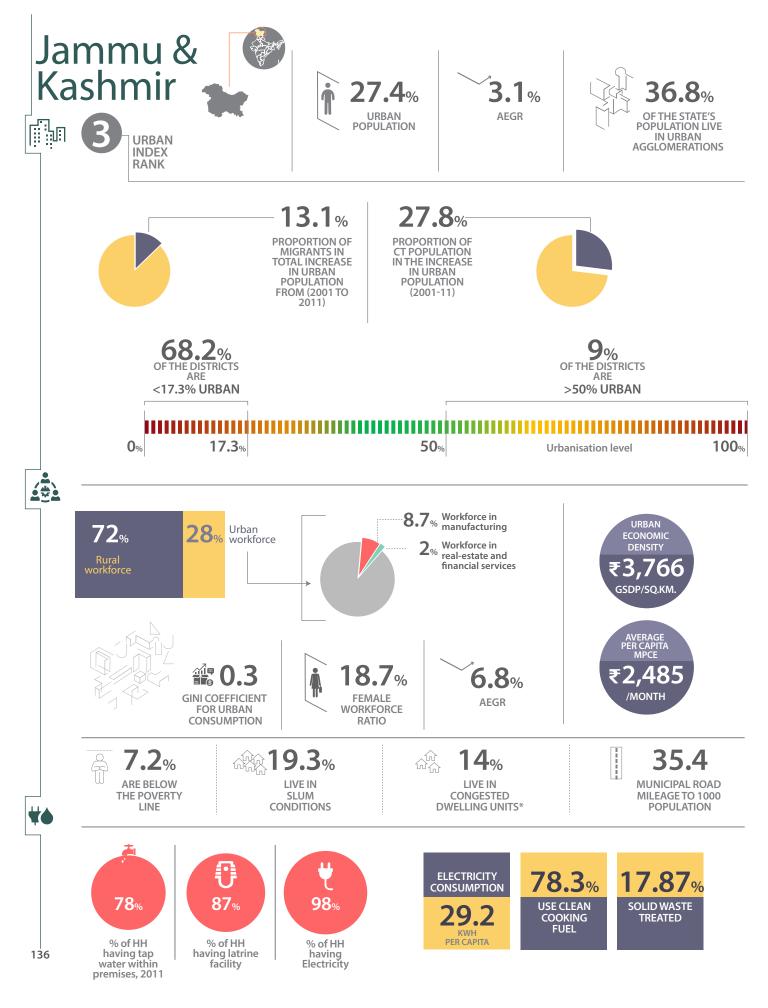


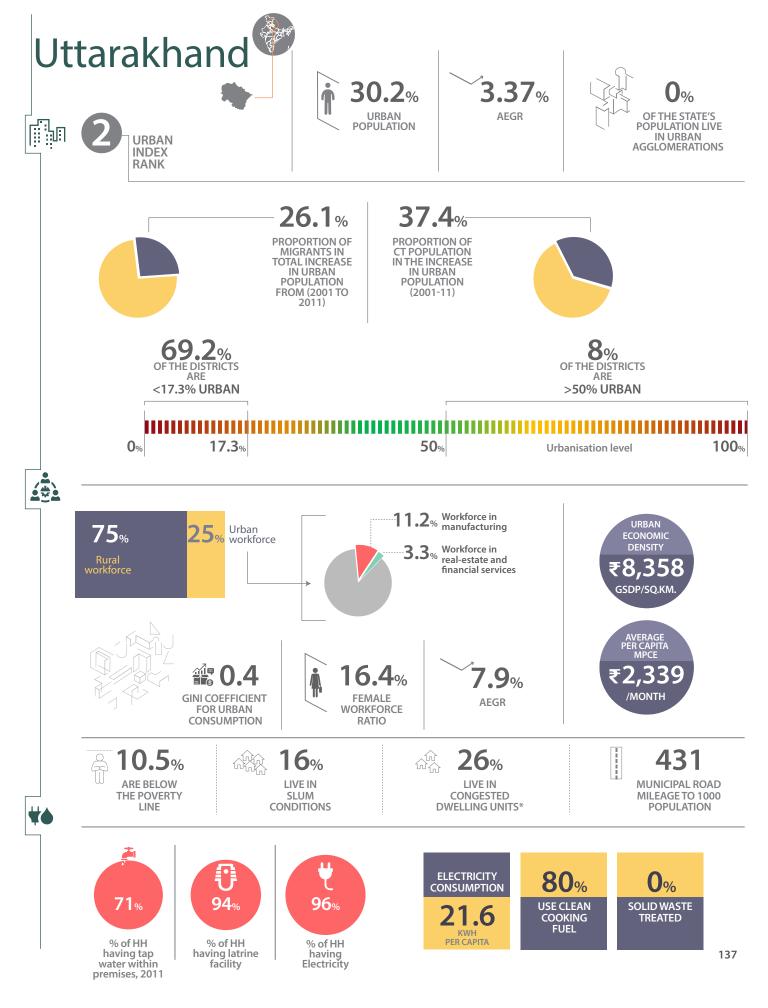




HILLY STATE









Demographics

1. State-wise urban population, level of urbanization, annual exponential growth rate and annual rate of change of urban population

States	Urban population 1991 (in million)	Urbanization level 1991	Urban population 2001 (in million)	Urbanization level 2001	Urban population 2011 (in million)	Urbanization level 2011	AEGR of urban population (1991-01)	AEGR of urban population (2001-11)	Annual rate of change (1991-01)	Annual rate of change (2001-11)
General States										
Andhra Pradesh	17.9	26.9	20.8	27.3	28.2	33.4	1.5	3.0	1.6	3.6
Bihar	6.7	7.8	8.7	10.5	11.8	11.3	2.6	3.0	2.9	3.5
Chhattisgarh	3.1	17.4	4.2	20.1	5.9	23.2	3.1	3.5	3.7	4.2
Goa	0.5	41.0	0.7	49.8	0.9	62.2	3.3	3.0	4.0	3.5
Gujarat	14.2	34.5	18.9	37.4	25.7	42.6	2.8	3.1	3.3	3.6
Haryana	4.1	24.6	6.1	28.9	8.8	34.9	4.1	3.7	5.1	4.5
Jharkhand	4.6	21.2	6.0	22.2	7.9	24.0	2.6	2.8	2.9	3.2
Karnataka	13.9	30.9	18.0	34.0	23.6	38.7	2.6	2.7	2.9	3.2
Kerala	7.7	26.4	8.3	26.0	15.9	47.7	0.7	6.6	0.8	9.3
Maharashtra	30.5	38.7	41.1	42.4	50.8	45.2	3.0	2.1	3.5	2.4
Madhya Pradesh	12.3	18.5	16.0	26.5	20.1	27.6	2.6	2.3	3.0	2.6
Odisha	4.2	13.4	5.5	15.0	7.0	16.7	2.6	2.4	3.0	2.7
Punjab	6.0	29.5	8.3	33.9	10.4	37.5	3.2	2.3	3.8	2.6
Rajasthan	10.1	22.9	13.2	23.4	17.0	24.9	2.7	2.5	3.1	2.9
Tamil Nadu	19.1	34.2	27.5	44.0	34.9	48.4	3.7	2.4	4.4	2.7
Uttar Pradesh	26.0	18.7	34.5	20.8	44.5	22.3	2.9	2.5	3.3	2.9
West Bengal	18.7	27.5	22.4	28.0	29.1	31.9	1.8	2.6	2.0	3.0
North Eastern S	States									
Arunachal Pradesh	0.1	12.8	0.2	20.8	0.3	22.9	7.2	3.3	10.6	3.9
Assam	2.5	11.1	3.4	12.9	4.4	14.1	3.2	2.5	3.8	2.8
Manipur	0.5	27.5	0.6	25.1	0.8	29.2	1.3	3.7	1.4	4.5
Meghalaya	0.3	18.6	0.5	19.6	0.6	20.1	3.2	2.7	3.8	3.1
Mizoram	0.3	46.1	0.4	49.6	0.6	52.1	3.3	2.6	3.9	3.0
Nagaland	0.2	17.2	0.3	17.2	0.6	28.9	5.0	5.1	6.5	6.7
Sikkim	0.0	9.1	0.1	11.1	0.2	25.2	4.8	9.4	6.2	15.7
Tripura	0.4	15.3	0.5	17.1	1.0	26.2	2.6	5.7	2.9	7.6
Hilly States										
Himachal Pradesh	0.4	8.7	0.6	9.8	0.7	10.0	2.8	1.5	3.3	1.6
Jammu & Kashmir	1.8	23.2	2.5	24.8	3.4	27.4	3.4	3.1	4.0	3.6
Uttarakhand	1.6	23.0	2.2	25.7	3.0	30.2	2.9	3.4	3.3	4.0

Union Territorio	es									
A & N Islands	0.1	26.7	0.1	32.6	0.1	37.7	4.4	2.1	5.5	2.3
Chandigarh	0.6	89.7	0.8	89.8	1.0	97.3	3.4	2.4	4.0	2.7
Dadra & Nagar Haveli	0.0	8.5	0.1	22.9	0.2	46.7	14.6	11.6	33.0	21.8
Daman & Diu	0.0	46.8	0.1	36.2	0.2	75.2	1.9	11.6	2.1	21.9
Delhi	8.5	89.9	12.9	93.2	16.4	97.5	4.2	2.4	5.2	2.7
Lakshadweep	0.0	56.3	0.0	44.5	0.1	78.1	-0.8	6.2	-0.7	8.7
Puducherry	0.5	64.0	0.6	66.6	0.9	68.3	2.3	2.7	2.5	3.1
INDIA	217.6	24.4	286.1	27.8	377.1	31.1	2.7	2.8	3.2	3.2

Source: Census of India, 1991, 2001, 2011

2. State-wise number and population of statutory towns, census towns and out-growths in 2011

	Statuto	ory Towns	Censu	us Towns	Out-0	Growths		
States	Num- ber	Total pop- ulation (inmillion)	Number	Total popu- lation (inmillion)	Number	Total pop- ulation (inmillion)	Total number of towns	Total popula- tion (in million)
General States								
Andhra Pradesh	127	23.0	228	4.1	209	1.1	355	28.2
Bihar	139	11.2	60	0.5	4	0.0	199	11.8
Chhattisgarh	168	5.7	14	0.1	40	0.1	182	5.9
Goa	14	0.4	56	0.5	7	0.0	70	0.9
Gujarat	195	23.2	153	1.8	129	0.8	348	25.7
Haryana	80	7.9	74	0.9	15	0.1	154	8.8
Jharkhand	40	5.3	188	2.6	1	0.0	228	7.9
Karnataka	220	22.2	127	1.2	69	0.2	347	23.6
Kerala	59	5.2	461	10.3	16	0.4	520	15.9
Maharashtra	256	46.8	278	4.0	3	0.0	534	50.8
Madhya Pradesh	364	18.8	112	1.1	86	0.2	476	20.1
Odisha	107	6.0	116	0.8	50	0.2	223	7.0
Punjab	143	9.6	74	0.7	60	0.2	217	10.4
Rajasthan	185	15.7	112	1.2	39	0.1	297	17.0
Tamil Nadu	721	29.8	376	5.0	14	0.1	1097	34.9
Uttar Pradesh	648	40.7	267	3.6	66	0.2	915	44.5
West Bengal	129	21.1	780	7.9	13	0.1	909	29.1
North Eastern States								
Arunachal Pradesh	26	0.3	1	0.0	0	0.0	27	0.3
Assam	88	3.3	126	1.0	29	0.1	214	4.4
Manipur	28	0.6	23	0.2	7	0.0	51	0.8
Meghalaya	10	0.4	12	0.2	0	0.0	22	0.6
Mizoram	23	0.6	0	0.0	0	0.0	23	0.6

Nagaland	19	0.5	7	0.1	0	0.0	26	0.6
Sikkim	8	0.1	1	0.0	0	0.0	9	0.2
Tripura	16	0.7	26	0.3	0	0.0	42	1.0
Hilly States								
Himachal Pradesh	56	0.7	3	0.0	8	0.0	59	0.7
Jammu & Kashmir	86	2.9	36	0.3	93	0.2	122	3.4
Uttarakhand	74	2.5	41	0.5	19	0.1	115	3.0
Union Territories			,					
A & N Islands	1	0.1	4	0.0	0	0.0	5	0.1
Chandigarh	1	1.0	5	0.1	2	0.0	6	1.0
Dadra & Nagar Haveli	2	0.1	6	0.1	0	0.0	8	0.2
Daman & Diu	1	0.1	5	0.1	0	0.0	6	0.2
Delhi	3	11.4	110	5.0	0	0.0	113	16.4
Lakshadweep	0	0.0	6	0.1	0	0.0	6	0.1
Puducherry	6	0.7	4	0.1	1	0.0	10	0.9
INDIA	4043	318.5	3892	54.3	980	4.3	7935	377.1

Source: Census of India, 2011

3. State-wise demographic dividend and proportion of ageing population in urban areas in 2011

States	Demographic dividend (15-24 years)	Proportion of ageing population (60-80+ years)
General States		
Andhra Pradesh	19.9	7.7
Bihar	19.4	7.1
Chhattisgarh	20.5	6.8
Goa	17.1	10.8
Gujarat	19.9	7.4
Haryana	20.2	7.7
Jharkhand	20.4	6.6
Karnataka	19.8	8.0
Kerala	15.8	12.5
Maharashtra	19.6	8.1
Madhya Pradesh	20.6	7.6
Odisha	19.8	7.8
Punjab	19.9	8.7
Rajasthan	20.9	7.0
Tamil Nadu	17.1	10.0
Uttar Pradesh	22.1	6.7
West Bengal	18.3	9.8
North Eastern States		

Arunachal Pradesh	23.2	2.3
Assam	19.0	7.5
Manipur	18.1	8.2
Meghalaya	23.4	4.9
Mizoram	21.1	6.3
Nagaland	23.2	3.8
Sikkim	21.9	4.9
Tripura	18.2	8.7
Hilly States		
Himachal Pradesh	19.6	7.8
Jammu & Kashmir	18.8	7.9
Uttarakhand	21.4	7.4
Union Territories		
A & N Islands	19.0	5.2
Chandigarh	21.4	6.4
Dadra & Nagar Haveli	22.7	3.3
Daman & Diu	28.0	4.3
Delhi	20.4	6.8
Lakshadweep	17.9	8.3
Puducherry	16.5	9.8
INDIA	19.7	8.1

Source: Census of India, 2011

Urban Economy

4. State-wise per capita net state domestic product at current price (In Rs.)

States	1981	1991	2001	2011
General States				
Andhra Pradesh	1358	4531	17195	58733
Bihar	943	2660	6415	19111
Chhattisgarh			10744	41165
Goa	2910	8797	43735	168024
Gujarat	1967	5891	18392	77485
Haryana	2351		25583	93852
Jharkhand			10345	34721
Karnataka	1454	4598	18344	62251
Kerala	1385	4200	20094	69943
Madhya Pradesh	1181	4049	11862	32453
Maharashtra	2244	7439	22777	84858
Odisha	1173	3077	10453	39537

2620 1220	8318	27881	69582
1220			
1220	4191	13020	44644
1324	4983	20972	78473
1272	3590	9828	26698
1643	4673	16583	47245
1382	5398	15260	60961
1221	4281	12803	33087
1382	3976	12369	28336
1095	4375	15657	43766
	4474	17826	50956
1606	4990	16253	55582
1495	5302	16077	108972
1211	3370	15983	46050
1530	4910	22795	68297
1455	3816	14268	40089
		15285	73819
	5590	25047	80558
		49771	126651
3127	11057	40678	145129
3118	6683	35994	101072
	1272 1643 1382 1221 1382 1095 1095 1211 1530 1455 1211 1530 1455	1272 3590 1643 4673 1643 4673 1382 5398 1221 4281 1382 3976 1095 4375 4474 4474 1606 4990 1495 5302 1211 3370 1530 4910 1455 3816 5590 5590 3127 11057	1272 3590 9828 1643 4673 16583 1643 4673 16583 1382 5398 15260 1221 4281 12803 1382 3976 12369 1095 4375 15657 1606 4990 16253 1606 4990 16253 1495 5302 16077 1211 3370 15983 1530 4910 22795 1455 3816 14268 1530 4910 22795 1455 3816 14268 15285 5590 25047 49771 3127 11057 40678

Source: Handbook of statistics on Indian states

5.State-wise percentage share of urban workers in total urban population and share of main and marginal workers

(15 years and above) -2011

States	% share of urban workers in total urban population (urban employment)			workers	hare of m in total u ployment	rban em-	% share of marginal workers in total urban employment			
	Persons	Male	Female	Persons	Male	Female	Persons	Male	Female	
General States										
Andhra Pradesh	48.3	72.0	24.6	41.8	64.9	18.8	6.5	7.2	5.8	
Bihar	42.4	66.8	15.0	33.6	55.2	9.3	8.8	11.6	5.7	
Chhattisgarh	49.1	73.5	23.7	43.6	67.8	18.5	5.4	5.7	5.2	
Goa	50.2	73.0	26.6	43.4	64.5	21.5	6.8	8.4	5.0	
Gujarat	47.5	77.0	14.7	44.0	73.2	11.5	3.5	3.8	3.2	
Haryana	44.8	70.7	15.9	40.0	64.4	12.7	4.9	6.3	3.2	
Jharkhand	41.3	66.1	14.0	34.5	57.1	9.7	6.7	9.0	4.3	
Karnataka	52.1	76.3	27.0	46.6	69.9	22.5	5.5	6.4	4.5	

STATE OF THE CITIES

Kerala	43.0	68.6	20.4	35.9	59.9	14.7	7.1	8.8	5.6
Madhya Pradesh	47.2	71.8	20.6	41.2r	65.0	15.7	5.9	6.9	4.9
Maharashtra	48.5	72.8	21.8	44.8	68.4	18.7	3.8	4.4	3.1
Odisha	45.8	71.5	18.3	39.3	64.0	13.0	6.4	7.5	5.3
Punjab	46.5	73.4	16.6	42.2	68.3	13.2	4.3	5.1	3.4
Rajasthan	45.5	72.4	16.6	40.6	67.1	12.1	4.9	5.4	4.4
Tamil Nadu	51.5	75.8	27.6	46.5	70.0	23.3	5.0	5.8	4.2
Uttar Pradesh	43.7	69.4	15.1	35.4	58.6	9.7	8.2	10.8	5.4
West Bengal	46.8	72.7	19.3	40.5	65.8	13.8	6.2	7.0	5.4
North Eastern States									
Arunachal Pradesh	52.6	71.3	30.5	46.1	65.0	23.7	6.5	6.3	6.7
Assam	47.3	74.0	19.0	40.9	66.9	13.4	6.4	7.1	5.6
Manipur	56.4	68.9	44.4	42.9	58.3	28.2	13.5	10.5	16.2
Meghalaya	50.3	67.9	32.9	45.4	62.5	28.6	4.8	5.3	4.3
Mizoram	57.0	71.1	42.9	48.2	63.0	33.5	8.8	8.1	9.4
Nagaland	52.8	67.3	36.6	43.7	59.9	25.5	9.1	7.4	11.1
Sikkim	54.5	74.4	32.3	48.4	67.2	27.3	6.1	7.2	5.0
Tripura	46.8	72.8	20.2	39.9	65.9	13.3	6.9	6.9	7.0
Hilly States									
Himachal Pradesh	50.3	71.7	25.2	44.9	66.4	19.9	5.3	5.3	5.4
Jammu & Kashmir	47.2	70.7	19.0	38.5	61.8	10.4	8.8	8.9	8.6
Uttarakhand	44.2	70.3	15.0	39.3	64.0	11.8	4.8	6.3	3.2
Union Territories					_				
A & N Islands	52.3	77.3	22.9	48.4	72.5	20.1	3.9	4.9	2.8
Chandigarh	50.4	74.4	21.1	48.3	72.0	19.2	2.1	2.4	1.8
Dadra & Nagar Haveli	62.1	87.8	20.9	58.0	84.3	15.8	4.1	3.5	5.1
Daman & Diu	67.0	90.1	19.5	65.0	88.0	17.7	2.0	2.1	1.8
Delhi	45.5	72.6	14.3	43.3	69.7	13.0	2.2	2.9	1.3
Lakshadweep	37.1	58.8	13.9	22.6	37.0	7.2	14.5	21.7	6.7
Puducherry	45.2	71.6	20.5	42.3	67.9	18.3	2.9	3.7	2.2
INDIA	47.2	72.5	20.2	41.6	65.9	15.8	5.6	6.6	4.5

Source: Census of India, 2011

States		2004-0	5		2009-1	0		2011-1	2
	Total	Male	Female	Total	Male	Female	Total	Male	Female
General States									
Andhra Pradesh	53.1	76.9	29.8	48.8	73.5	23.3	48.3	74.0	22.5
Bihar	42.2	68.7	10.4	39.5	68.3	7.2	37.9	63.6	6.7
Chhattisgarh	49.1	71.2	24.4	44.9	69.6	19.7	53.1	72.6	32.6
Goa	47.8	70.3	24.6	42.6	75.0	12.7	43.8	67.4	20.2
Gujarat	51.9	80.5	20.3	49.8	78.6	18.4	51.1	79.7	17.7
Haryana	48.2	74.9	18.1	50.6	76.7	18.7	42.9	70.0	13.0
Jharkhand	44.2	67.7	18.5	42.8	69.1	12.8	40.2	70.0	8.8
Karnataka	52.6	78.6	24.6	50.0	75.5	22.2	49.9	75.9	21.9
Kerala	48.9	74.3	25.7	47.5	72.4	25.1	46.8	73.1	24.1
Madhya Pradesh	51.6	78.9	22.4	44.7	70.1	17.8	45.5	73.3	16.0
Maharashtra	52.4	76.3	25.9	49.5	75.2	20.6	48.3	73.9	21.6
Odisha	46.6	71.1	20.4	45.9	75.1	15.6	50.2	78.2	19.8
Punjab	49.6	77.9	18.1	47.7	75.4	15.8	48.5	75.8	17.6
Rajasthan	52.3	75.3	27.5	45.5	72.1	16.9	45.2	69.2	19.1
Tamil Nadu	55.0	78.8	31.3	49.9	75.7	24.5	50.9	76.4	26.0
Uttar Pradesh	49.4	77.7	17.0	43.0	72.6	11.0	45.4	74.3	14.2
West Bengal	48.5	74.8	19.2	47.0	75.6	17.5	49.0	74.5	21.4
North Eastern States									
Arunachal Pradesh	47.7	72.1	20.7	44.7	66.4	21.0	45.3	67.0	19.3
Assam	47.3	76.3	15.4	42.4	71.2	12.0	43.4	72.8	11.6
Manipur	49.0	67.1	31.5	46.9	72.3	21.1	48.0	70.9	25.9
Meghalaya	52.2	66.5	40.4	48.0	67.8	31.0	47.0	66.0	29.2
Mizoram	54.4	70.9	38.6	57.4	74.5	40.8	53.2	71.8	35.6
Nagaland	55.0	71.5	37.3	40.5	62.1	17.7	38.3	56.2	18.7
Sikkim	50.6	75.1	22.0	56.7	84.9	21.7	61.2	81.1	37.4
Tripura	39.0	66.5	12.6	41.2	69.5	13.8	40.7	68.0	14.3
Hilly States									
Himachal Pradesh	62.0	84.6	32.3	48.5	76.0	21.4	52.9	76.1	27.1
Jammu & Kashmir	44.7	72.7	13.0	44.4	70.4	17.4	44.2	70.5	15.4
Uttarakhand	46.5	72.6	17.8	47.8	75.2	16.0	43.1	72.5	12.0
Union Territories									
A & N Islands	50.6	75.9	20.8	50.3	75.3	24.0	51.9	78.6	26.1
Chandigarh	46.1	70.3	18.7	46.4	72.3	18.1	48.1	75.7	16.0
Dadra & Nagar Haveli	61.1	91.0	25.4	48.4	82.1	0.8	54.5	86.7	16.9
Daman & Diu	50.3	76.7	28.1	46.6	79.5	10.8	49.9	80.5	21.4
Delhi	45.3	72.5	11.9	44.5	71.8	7.8	45.6	73.2	13.8
Lakshadweep	40.1	66.5	15.3	48.9	64.9	34.1	43.7	72.0	14.0
Puducherry	46.7	73.8	20.7	49.1	73.7	25.9	45.9	72.5	19.2
INDIA	50.6	76.3	22.7	47.2	74.0	18.3	47.6	74.1	19.5

6.State-wise urban share in employment

Source: NSSO, Employment and Unemployment Schedule, 61st, 66th and 68th rounds

7.State wise urban share in employment: labour bureau

States		2011-1	2		2012-13			2013-14		2015-16		
	Total	Male	Female	Total	Male	Female	Total	Male	Female	Total	Male	Female
General States												_
Andhra Pradesh	47.4	69.9	23	49.5	74.8	23.4	53.7	75.9	31.8	42.9	66.1	20.2
Bihar	44.7	72	11.9	37.4	66.1	3.6	39.5	66.8	6.9	40.8	69.4	7.3
Chhattisgarh	49.6	73.8	25.2	46.4	71.8	19.7	49.4	72.2	25.3	45.8	68.9	20
Goa	41.4	64.1	14.8	42.7	70.1	18.9	49.2	70.9	27.5	44.7	68.7	22.7
Gujarat	43.8	75.3	9.7	42.2	72.5	9.3	44.1	75	10.7	41.9	71.1	10.2
Haryana	40.6	67.6	10.4	40.9	65.8	11.9	41	67.6	11	39.5	66.1	10.6
Jharkhand	50.1	74.2	20.5	42	67.5	11.3	50.8	77.2	20.8	42	68.5	12.6
Karnataka	51.1	74.8	25.1	50	73.8	24.9	51	74	27.1	49.9	73.2	25.7
Kerala	42.2	68.3	19	41.9	66.5	19.8	44.7	69.4	22.3	44.2	67.7	23.1
Madhya Pradesh	44.9	71.1	15.2	44.5	71.9	15.3	46.3	74.1	16.1	37.8	64.4	8.5
Maharashtra	48.6	71.2	22.3	45.1	68.5	19.1	45	69.1	18.7	39	63.5	12.3
Odisha	44.8	73.8	12.2	39.5	67.8	9.2	46.1	72.7	17.3	42.7	70.3	12.9
Punjab	41.4	69.2	9.7	41.5	67.6	11.6	42.6	70.2	10.9	39.3	66.7	9.1
Rajasthan	40.7	66.2	9.8	41.9	68	12.3	41.9	68.6	11.4	38.7	66.1	8.7
Tamil Nadu	52	75.9	27.3	48.8	74.7	23.3	50.4	74.7	26.3	45.1	67.4	23.5
Uttar Pradesh	41.2	70.3	7.1	40.9	69	7.9	41	70	7.7	35.6	62.1	5.9
West Bengal	41.4	66.3	11.4	42.3	70.6	11.8	43.8	72	13.8	43.8	72.2	14.2
North Eastern States												
Arunachal Pradesh	38	57.2	18.6	48.3	70.4	23.6	52.1	65.5	37.6	40.4	61.6	18.6
Assam	49.8	73.1	21.9	47.6	74.5	17.3	48.7	76.2	18	45.9	71.2	18.1
Manipur	48	52.6	43.1	51.2	71.6	26.6	57.4	68.3	46.3	50.9	75.8	28.4
Meghalaya	54	66.7	40.6	52.4	68.2	38	46.3	62.2	30.2	53.7	67.4	40.7
Mizoram	54.4	67.6	39.1	60.8	73.3	49.1	61.7	74.5	49.8	59.4	73.1	47.6
Nagaland	47.2	59.8	32.1	45.8	58.7	30.6	44.9	58.5	28.8	52.9	63.9	41.1
Sikkim	50.9	68.8	25.3	48.6	70.4	22.9	43.1	65.9	14.2	53.4	72.6	30.5
Tripura	45.3	69.7	20.8	43.9	61.1	24.3	44.7	75.5	13.4	50.2	80.2	22.6
Hilly States												
Himachal Pradesh	51.3	70.6	29.6	46.8	69	20.9	46.2	70.2	18.3	43.1	67.7	16.6
Jammu & Kashmir	44	73	11.2	39.4	65.6	10.8	42.4	67.7	15	38.5	64.2	10.7
Uttarakhand	42.8	70.8	12.7	40.8	68.1	10.7	39.9	63.2	14.3	39.4	64.3	10.5
Union Territories												
A & N Islands	50.5	75.4	24.1	47.1	75.3	21.8	51.2	70	28	52.1	79.9	19.8
Chandigarh	44.3	75.1	10.4	38.2	61.6	13.2	39.6	63.9	12.4	36.9	60.5	8.2
Dadra & Nagar Haveli	62.5	84.2	25.3	45.3	74	11.5	39.1	63.1	9.1	41.3	65.9	10.3
Daman & Diu	41.4	67.5	16.5	60.9	86.5	12.6	45.6	71.5	0.9	50.9	83.2	14.9
Delhi	46	72.9	14.1	41.9	68.5	11.4	40.3	66.5	10.1	40.5	65.1	11.5
Lakshadweep	41.4	67	9.4	38.8	66.4	10.1	45.6	68.2	23.4	35.5	60.3	14.1
Puducherry	52.1	80	24.8	45.4	73.6	18.3	43.8	63.8	26	48.6	72	25.7
INDIA	45.8	71.3	17	44.2	70.4	15.7	45.5	71.4	17.5	41.8	67.1	14.8

Source: LabourBureau'sAnnualEmployment-UnemploymentSurveyNote:UPSSapproachadoptedforpersons age 15 years and above; All the States/UTs are arranged in ascending order of urbanisationlevel.

States	I		III	IV	V	VI	VII	VIII	IX	Х
eneral States										
Andhra Pradesh	11.3	0.9	16.0	1.0	12.1	13.9	2.0	10.1	3.3	29.
Bihar	21.7	0.2	12.1	0.4	7.2	16.4	1.3	5.7	3.0	32.
Chhattisgarh	16.2	2.2	13.9	2.1	11.5	15.2	2.3	9.2	2.5	24.
Goa	4.0	2.0	15.1	0.7	10.0	12.8	5.5	10.7	3.9	35.
Gujarat	7.9	0.2	31.1	1.8	8.8	17.6	1.0	7.3	3.0	21
Haryana	8.5	0.1	17.9	0.7	9.3	16.9	1.4	6.8	3.6	34
Jharkhand	8.2	5.3	16.7	1.3	12.0	16.7	1.5	10.0	3.9	24
Karnataka	9.2	0.4	22.0	0.7	10.4	15.4	2.4	11.7	4.1	23
Kerala	13.1	0.5	15.9	0.7	17.3	14.1	2.5	11.2	4.0	20
Madhya Pradesh	14.2	1.0	16.7	0.9	11.8	16.6	2.1	7.9	2.7	26
Maharashtra	6.4	0.3	22.4	0.6	9.4	14.6	2.3	10.5	4.1	29
Odisha	10.1	1.5	15.2	1.0	11.6	16.2	2.7	9.2	3.4	29
Punjab	7.3	0.0	19.6	0.7	8.8	19.4	1.4	6.7	3.4	32
Rajasthan	10.0	0.9	18.4	1.2	12.1	18.0	1.8	6.9	3.5	27
Tamil Nadu	14.0	0.4	23.5	0.6	9.7	13.0	2.6	9.2	3.0	24
Uttar Pradesh	12.9	0.1	20.0	0.8	7.9	16.3	1.1	6.4	2.6	32
West Bengal	5.9	0.7	22.4	0.5	8.2	18.2	2.0	8.8	2.4	30
North Eastern States										
Arunachal Pradesh	10.0	0.2	4.0	5.2	11.0	10.9	1.2	4.6	1.5	51
Assam	7.0	1.1	9.9	1.5	8.5	23.3	2.2	9.8	3.1	33
Manipur	24.4	0.1	15.6	1.1	4.9	12.6	1.2	4.2	1.3	34
Meghalaya	9.5	1.0	4.7	2.4	11.0	15.0	2.3	6.6	2.2	45
Mizoram	29.7	0.5	5.4	2.7	8.7	12.0	1.1	5.8	1.2	33
Nagaland	17.3	0.7	6.3	2.0	6.7	14.0	1.5	5.2	1.4	44
Sikkim	4.8	0.4	8.0	3.2	10.4	13.2	4.2	8.7	2.3	44
Tripura	10.0	0.2	8.8	1.4	17.2	16.5	1.5	8.8	2.0	33
Hilly States										
States/ UTs	I		III	IV	V	VI	VII	VIII	IX	>
Himachal Pradesh	8.3	0.1	12.3	2.7	8.9	13.4	3.0	5.8	3.3	42
Jammu & Kashmir	11.9	0.2	8.7	1.9	6.4	14.4	1.0	5.9	2.0	47
Uttarakhand	6.4	0.2	11.2	1.7	10.4	18.2	2.6	6.9	3.3	39
Union Territories										
A & N Islands	5.1	0.6	5.2	2.2	14.1	11.2	2.8	10.1	1.9	46
Chandigarh	1.7	0.0	12.1	0.8	9.4	14.7	3.3	9.0	5.1	43
Dadra & Nagar Haveli	5.6	0.2	60.3	0.6	2.9	7.7	1.2	3.8	0.9	16
Daman & Diu	3.5	0.4	68.9	0.8	3.0	5.9	2.0	6.1	0.6	8.
Delhi	1.2	0.0	17.7	1.4	7.0	21.4	1.9	10.0	4.8	34
Lakshadweep	10.9	0.0	8.0	2.5	15.5	3.3	1.2	17.1	1.7	39
Puducherry	8.6	0.2	13.0	0.7	12.0	13.2	3.1	9.1	3.5	36
INDIA	10.0	0.6	20.1	0.9	9.9	16.0	2.0	8.9	3.3	28

8. State wise urban share employment in industrial distribution (all ages): 2011

Source: Census of India, 2011

Note- I: Agriculture, Forestry and Fishing; II: Mining and Quarrying; III: Manufacturing; IV; Electricity, Gas, steam and Air conditioning Supply,Water Supply; (Sewerage, Waste Management and remediation activities); V: Construction; VI: Wholesale and Retail Trade (Repair of motor vehicles and motor cycles); VII: Accommodation and food service activities, Information and Communication; VIII: Transportation and Storage; IX: Financial and Insurance activities,Real Estate activities, Professional, Scientific and Technical activities; X: Administrative and support service activities, Public Administration and Defence, Compulsory Social Security, Education, Human Health and Social Work activities, Arts, Entertainment and recreation, Other Service Activities, Activities of Households as Employers: Undifferentiated Goods and Services, Activities of Extra-Territorial Organisations and Bodies

Infrastructure and Environmental Security

9.State-wise status of solid waste treatment

General States Collection Treatment Andna Pradesh 11,500 10,656 9,418 Bihar 1,670 NAA NAA Chabattisgarh 1,890 1,704 168 Goa 183 182 182 Gujarat 9,227 9,227 1,354 Haryana 3,490 3,440 570 Jharkhand 3,570 3,570 665 Karnataka 8,784 7,602 2,000 Kerala 1,576 7470 7470 Madhya Pradesh 2,079 4,298 8022 Maharashtra 2,6820 14,900 4,700 Odisha 2,460 2,107 30 Punjab 3,900 3,853 322 Rajasthan 5,037 2,491 490 Tamil Nadu 14,532 14,234 1,607 Uttar Pradesh 19,180 51,97 4,99 Annachal Pradesh 100 82 74 <tr< th=""><th>States</th><th>Soli</th><th>d waste (in tonnes per day</th><th></th></tr<>	States	Soli	d waste (in tonnes per day	
Andhra Pradesh 11,500 10,656 9,418 Bihar 1,670 NA NA Chhattisgarh 1,896 1,704 1688 Goa 183 182 182 Gujarat 9,227 9,227 1,354 Haryana 3,400 3,570 3,570 Jharkhand 3,570 3,570 65 Karnataka 8,784 7,602 2,000 Karnataka 2,079 4,298 802 Madhya Pradesh 2,079 4,298 802 Maharashtra 2,070 4,294 400 Odisha 2,070 4,294 400 Tamil Nadu 14,532 14,234 1,607 Tamil Nadu 14,532 74 458 <th>States</th> <th>Generation</th> <th>Collection</th> <th>Treatment</th>	States	Generation	Collection	Treatment
Bihar1,670NANAChhattisgarh1,8961,704168Goa183182182Gujarat9,2279,2271,354Haryana3,4903,440570Jharkhand3,5703,57065Karnataka8,7847,6022,000Kerala1,5767,764,70Madhya Pradesh2,0794,298802Mahrashtra2,0604,2088,02Mahrashtra2,682014,9004,700Odisha2,4602,107300Punjab3,9003,853323Rajasthan5,0372,4914,900Tamil Nadu14,53214,2341,600Uttar Pradesh9,1803,1903,190North Easter States19,1805,197Merghalaya6503501000Manipur1016827,491Magaland2,522160Sikkim407407400Marghalaya26819998Nizoram2,791,8618Sikkim4074070Himachal Pradesh4074070Himachal Pradesh300240150Jammu & Kashmir1,7921,322320	General States			
Chhattisgarh1,8961,704168Goa183182182Gujarat9,2279,2271,354Haryana3,4903,440570Jharkhand3,5703,57065Karnataka8,7847,6022,000Kerala1,5767764470Madhya Pradesh20,0794,2988022Maharashtra26,82014,9004,700Odisha2,4602,107300Punjab3,9003,853322Rajasthan5,0372,491490Tamil Nadu14,53214,2341,607Uttar Pradesh19,18019,1805,197West Bengal8,6747,1961,415North Eastern States26819998Mizoram26819998Mizoram207018618Sikkim4074070Himachal Pradesh4074070Himachal Pradesh300240150Jammu & Kashmir1,7921,322320	Andhra Pradesh	11,500	10,656	9,418
Goa 183 182 182 Gujarat 9,227 9,227 1,354 Haryana 3,490 3,440 570 Jharkhand 3,570 3,570 65 Karnataka 8,784 7,602 2,000 Kerala 1,576 776 470 Madhya Pradesh 2,079 4,298 802 Maharashtra 26,820 14,900 4,700 Odisha 2,460 2,107 30 Punjab 3,900 3,853 322 Rajasthan 5,037 2,491 490 Tamil Nadu 14,532 14,234 1,607 Uttar Pradesh 19,180 5,197 3,197 Maspathan 5,037 2,491 440 Asam 650 350 100 Marachal Pradesh 19,180 5,197 34 Asam 650 350 100 36 Marachal Pradesh 110 82 74	Bihar	1,670	NA	NA
Gujarat 9,227 9,227 9,227 1,354 Haryana 3,490 3,440 570 Jharkhand 3,570 3,570 655 Karnataka 8,784 7,602 2,000 Kerala 1,576 776 470 Madhya Pradesh 2,079 4,298 802 Maharashtra 26,820 14,900 4,700 Odisha 2,460 2,107 300 Punjab 3,900 3,853 322 Rajasthan 5,037 2,491 490 Tamil Nadu 14,532 14,234 1,607 Uttar Pradesh 19,180 19,180 5,197 West Bengal 6,674 7,196 1,415 Northeastern States 74 400 100 Manjpur 110 82 74 Meghalaya 268 199 98 Sikkim 200 100 100 Nagaland 270 186 18	Chhattisgarh	1,896	1,704	168
Haryana 3,490 3,440 570 Jharkhand 3,570 3,570 65 Karnataka 8,784 7,602 2,000 Karnataka 1,576 776 4,700 Madhya Pradesh 2,079 4,298 802 Maharashtra 26,820 14,900 4,700 Odisha 2,460 2,107 30 Punjab 3,900 3,853 322 Rajasthan 5,037 2,491 4900 Tamil Nadu 14,532 14,234 1,607 Uttar Pradesh 19,180 19,180 5,197 West Bengal 8,674 7,196 1,415 North Eastern States 100 82 74 Assam 650 350 1000 Manjapar 268 199 98 Mizoram 276 0 0 Nagaland 270 186 18 Sikkim 407 407 0 T	Goa	183	182	182
Jharkhand 3,570 3,570 65 Karnataka 8,784 7,602 2,000 Karnataka 1,576 776 4/00 Madhya Pradesh 2,079 4,298 8802 Maharashtra 26,820 14,900 4,700 Odisha 2,460 2,107 30 Punjab 3,900 3,853 322 Rajasthan 5,037 2,491 490 Tamil Nadu 14,532 14,234 1,607 Uttar Pradesh 19,180 19,180 5,197 West Bengal 19,180 19,180 5,197 North Eastern States 110 82 74 Assam 650 350 1000 Manipur 110 82 74 Meghalaya 268 199 98 Mizoram 252 276 0 Nagaland 270 186 18 Sikkim 49 49 0 0 <tr< td=""><td>Gujarat</td><td>9,227</td><td>9,227</td><td>1,354</td></tr<>	Gujarat	9,227	9,227	1,354
Karnataka 8,784 7,602 2,000 Kerala 1,576 776 470 Madhya Pradesh 2,079 4,298 802 Maharashtra 2,079 4,298 802 Maharashtra 2,6,820 14,900 4,700 Odisha 2,460 2,107 30 Punjab 3,900 3,853 322 Rajasthan 5,037 2,491 4900 Tamil Nadu 14,532 14,234 1,607 Uttar Pradesh 19,180 19,180 5,197 West Bengal 3,674 7,196 1,415 North Eastern States 74 453 100 Mainpur 110 82 74 Assam 550 350 100 Mainpur 176 125 NA Meghalaya 268 199 98 Mizoram 522 276 0 Sikkim 407 407 0 Tripur	Haryana	3,490	3,440	570
Kerala 1,576 776 4,700 Madhya Pradesh 2,079 4,298 802 Maharashtra 26,820 14,900 4,700 Odisha 2,460 2,107 30 Punjab 3,900 3,853 322 Rajasthan 5,037 2,491 490 Tamil Nadu 14,532 14,234 1,607 Uttar Pradesh 19,180 19,180 5,197 West Bengal 8,674 7,196 1,415 North Eastern States 74 350 360 Manipur 110 82 74 Assam 650 350 100 Manipur 176 125 NA Meghalaya 268 199 98 Mizoram 252 276 0 Nagland 407 407 0 Tripura 407 407 0 Himachal Pradesh 300 240 150 Jammu & Kashmi	Jharkhand	3,570	3,570	65
Madhya Pradesh 2,079 4,298 802 Maharashtra 26,820 14,900 4,700 Odisha 2,460 2,107 30 Punjab 3,900 3,853 32 Rajasthan 5,037 2,491 4900 Tamil Nadu 14,532 14,234 1,607 Uttar Pradesh 19,180 19,180 5,197 West Bengal 8,674 7,196 1,415 North Eastern States 3500 350 1,000 Manipur 110 82 74 Assam 650 350 1000 Manipur 176 125 NAR Meghalaya 268 199 988 Mizoram 552 276 0 0 Nagaland 270 186 18 8 Sikkim 499 49 0 0 Himachal Pradesh 300 240 10 Jammu & Kashmir 1,792 1,322	Karnataka	8,784	7,602	2,000
Maharashtra 26,820 14,900 4,700 Odisha 2,460 2,107 30 Punjab 3,900 3,853 32 Rajasthan 5,037 2,491 490 Tamil Nadu 14,532 14,234 1,607 Uttar Pradesh 19,180 19,180 5,197 West Bengal 8,674 7,196 1,415 North Eastern States 350 100 Manipur 650 350 100 Manipur 110 82 74 Assam 650 350 100 Manipur 176 125 NA Meghalaya 268 199 98 Mizoram 552 276 0 Nagaland 270 186 18 Sikkim 490 40 0 Tripura 407 407 0 Himachal Pradesh 300 240 150 Jammu & Kashmir 1,792	Kerala	1,576	776	470
Qdisha 2,460 2,107 30 Punjab 3,900 3,853 32 Rajasthan 5,037 2,491 490 Tamil Nadu 14,532 14,234 1,607 Uttar Pradesh 19,180 19,180 5,197 West Bengal 8,674 7,196 1,415 North Eastern States 350 1,000 Manipur 650 350 100 Manipur 650 350 100 Magaland 268 199 98 Mizoram 552 276 0 Nagaland 270 186 18 Sikkim 449 49 0 Tripura 407 407 0 Hilly States 407 407 0 Jammu & Kashmir 1,792 1,322 320	Madhya Pradesh	2,079	4,298	802
Punjab 3,900 3,853 32 Rajasthan 5,037 2,491 4900 Tamil Nadu 14,532 14,234 1,607 Uttar Pradesh 19,180 19,180 5,197 West Bengal 8,674 7,196 1,415 North Eastern States 100 82 74 Assam 650 350 100 Manipur 176 125 NA Meghalaya 268 199 98 Mizoram 552 276 0 Nagaland 270 186 18 Sikkim 449 490 0 Tripura 407 407 0 Hilly States 1102 300 240 150 Jammu & Kashmir 1,792 1,322 320	Maharashtra	26,820	14,900	4,700
Rajasthan 5,037 2,491 490 Tamil Nadu 14,532 14,234 1,607 Uttar Pradesh 19,180 19,180 5,197 West Bengal 19,180 19,180 5,197 North Eastern States 7,196 1,415 Arunachal Pradesh 110 82 74 Assam 650 350 100 Manipur 650 350 100 Meghalaya 268 199 98 Mizoram 270 186 18 Sikkim 407 407 0 Tripura 407 407 0 Hilly States 403 240 150 Jammu & Kashmir 1,792 1,322 320	Odisha	2,460	2,107	30
Tamil Nadu 14,532 14,234 1,607 Uttar Pradesh 19,180 19,180 5,197 West Bengal 8,674 7,196 1,415 North Eastern States 100 82 74 Assam 650 350 100 Manipur 650 350 100 Manipur 650 350 100 Maghalaya 268 199 98 Mizoram 552 276 0 Nagaland 270 186 18 Sikkim 407 407 0 Hilly States 407 407 0 Jammu & Kashmir 1,792 1,322 320	Punjab	3,900	3,853	32
Uttar Pradesh19,18019,18019,1805,197West Bengal8,6747,1961,415North Eastern States74Arunachal Pradesh1108274Assam650350100Manipur650350100Manipur26819998Mizoram27018618Sikkim4074070Tripura4074070Hilly States300240150Jammu & Kashmir1,7921,322320	Rajasthan	5,037	2,491	490
West Bengal8,6747,1961,415North Eastern StatesArunachal Pradesh1108274Assam650350100Manipur650350100Manipur176125NAMeghalaya26819998Mizoram27018618Sikkim49490Tripura4074070Hilly States300240150Jammu & Kashmir1,7921,322320	Tamil Nadu	14,532	14,234	1,607
North Eastern States Arunachal Pradesh 110 82 74 Assam 650 350 100 Manipur 176 125 NA Meghalaya 268 199 98 Mizoram 552 276 0 Nagaland 270 186 18 Sikkim 49 49 0 Tripura 407 407 0 Hilly States 300 240 150 Jammu & Kashmir 1,792 1,322 320	Uttar Pradesh	19,180	19,180	5,197
Arunachal Pradesh 110 82 74 Assam 650 350 100 Manipur 176 125 NA Meghalaya 268 199 98 Mizoram 552 276 0 Nagaland 270 186 18 Sikkim 407 407 0 Hily States 407 407 0 Jammu & Kashmir 1,792 1,322 320	West Bengal	8,674	7,196	1,415
Assam 650 350 100 Manipur 176 125 NA Meghalaya 268 199 98 Mizoram 552 276 0 Nagaland 270 186 18 Sikkim 407 407 0 Hilly States 170 150 150 Jammu & Kashmir 1,792 1,322 320	North Eastern States			
Manipur 176 125 NA Meghalaya 268 199 98 Mizoram 268 199 98 Magaland 552 276 0 Nagaland 270 186 18 Sikkim 49 49 0 Tripura 407 407 0 Hilly States 300 240 150 Jammu & Kashmir 1,792 1,322 320	Arunachal Pradesh	110	82	74
Meghalaya 268 199 98 Mizoram 552 276 00 Nagaland 270 186 18 Sikkim 49 49 00 Tripura 407 407 00 Hilly States 1100 1500 1500 Jammu & Kashmir 1,792 1,322 3200	Assam	650	350	100
Mizoram 552 276 0 Nagaland 270 186 18 Sikkim 49 49 0 Tripura 407 407 0 Hilly States 300 240 150 Jammu & Kashmir 1,792 1,322 320	Manipur	176	125	NA
Nagaland 270 186 18 Sikkim 49 49 0 Tripura 407 407 0 Hilly States 300 240 150 Jammu & Kashmir 1,792 1,322 320	Meghalaya	268	199	98
Sikkim 49 49 0 Tripura 407 407 0 Hilly States 500 240 150 Jammu & Kashmir 1,792 1,322 320	Mizoram	552	276	0
Tripura 407 407 00 Hilly States 300 240 150 Jammu & Kashmir 1,792 1,322 320	Nagaland	270	186	18
Hilly StatesHimachal Pradesh300240150Jammu & Kashmir1,7921,322320	Sikkim	49	49	0
Himachal Pradesh 300 240 150 Jammu & Kashmir 1,792 1,322 320	Tripura	407	407	0
Jammu & Kashmir 1,792 1,322 320	Hilly States			
	Himachal Pradesh	300	240	150
Uttarakhand 1,013 1,013 0	Jammu & Kashmir	1,792	1,322	320
	Uttarakhand	1,013	1,013	0

Union Territories			
A & N Islands	70	70	5
Chandigarh	340	330	250
Daman &Diu and Dadra &Nagar Haveli	85	85	0
Delhi	8,390	7,000	4,150
Lakshadweep	21	NA	NA
Puducherry	495	495	0
INDIA	143,449	117,644	32,871
Source: Central Pollution Control Board, 2015			

10. State-wise monthly per capita consumption of electricity

States	2004-05	2011-12
General States		
Andhra Pradesh	18.8	25.6
Bihar	8.6	11.6
Chhattisgarh	21.9	22.3
Goa	21.8	51.9
Gujarat	19.0	23.6
Haryana	19.4	36.5
Jharkhand	15.2	22.0
Karnataka	18.1	22.8
Kerala	19.8	29.7
Madhya Pradesh	18.1	18.0
Maharashtra	21.9	27.7
Odisha	20.0	23.9
Punjab	29.9	35.0
Rajasthan	16.0	23.3
Tamil Nadu	26.6	36.4
Uttar Pradesh	13.4	19.6
West Bengal	17.6	21.7
North Eastern States		
Arunachal Pradesh	6.6	8.2
Assam	13.2	13.0
Manipur	13.0	14.4
Meghalaya	24.5	20.8
Mizoram	20.6	18.4
Nagaland	8.1	11.2
Sikkim	9.0	17.4
Tripura	15.1	16.9
Hilly States		

Himachal Pradesh	30.3	48.6
Jammu & Kashmir	16.3	29.2
Uttarakhand	17.7	21.6
Union Territories		
A & N Islands	26.3	35
Chandigarh	27.5	29.3
Dadra &Nagar Haveli	32.8	28.7
Daman &Diu	15.0	32.9
Delhi	39.2	43.2
Lakshadweep	25.2	62.9
Puducherry	NA	54.2
INDIA	19.9	25.8

Source: NSS Report No. 558, 2011-12 NSS Report No. 509, 2004-05

11.State-wise percentage of households with basic services

States	Percentage of HH with tap water within premises			Percentage of HH with electricity			Percentage of HH with latrine facility		
	1991	2001	2011	1991	2001	2011	1991	2001	2011
General States									
Andhra Pradesh	27.6	44.2	59.9	73.0	90.0	97.3	55.0	78.1	86.1
Bihar	28.1	21.7	16.7	58.8	59.3	66.7	56.5	69.7	69.0
Chhattisgarh	NA	34.9	34.9	NA	82.9	93.7	NA	52.6	60.2
Goa	40.1	62.1	80.5	88.8	94.7	97.7	55.8	69.2	85.3
Gujarat	59.4	67.2	75.5	83.0	93.4	97.2	65.7	80.5	87.7
Haryana	50.7	58.9	69.1	89.1	92.9	96.2	64.3	80.7	89.9
Jharkhand		33.9	28.9		75.6	88.0		66.7	67.2
Karnataka	36.8	48.3	60.5	76.3	90.5	96.4	62.5	75.2	84.9
Kerala	22.6	29.4	27.1	67.7	84.3	97.0	72.7	92.0	97.4
Madhya Pradesh	38.2	43.9	41.6	72.5	92.3	92.7	53.0	67.7	74.2
Maharashtra	60.5	69.2	74.6	86.1	94.3	96.2	64.4	58.1	71.3
Odisha	26.2	30.9	32.2	62.1	74.1	83.1	49.3	59.7	64.8
Punjab	53.5	63.4	71.8	94.6	96.5	98.3	73.2	86.5	93.4
Rajasthan	58.5	70.0	72.8	76.7	89.6	93.9	62.3	76.1	82.0
Tamil Nadu	28.5	34.9	45.1	76.8	88.0	96.1	57.5	64.3	75.1
Uttar Pradesh	45.1	48.1	45.2	67.8	79.9	81.4	66.5	80.0	83.1
West Bengal	29.6	34.0	34.0	70.2	79.6	85.1	78.8	84.8	85.0
North Eastern States									
Arunachal Pradesh	57.5	52.1	62.6	81.0	89.4	96.0	75.0	87.0	89.5
Assam	20.2	22.2	24.4	63.2	74.3	84.1	86.1	94.6	93.7
Manipur	15.5	20.1	29.0	75.5	82.0	82.4	70.2	95.3	95.8

Meghalaya	46.6	43.9	51.9	83.0	88.1	94.9	85.7	91.6	95.7
Mizoram	10.9	30.0	52.4	85.5	94.4	98.1	84.4	98.0	98.5
Nagaland	29.4	20.2	22.1	75.6	90.3	97.4	75.1	94.1	94.6
Sikkim	74.6	85.4	78.9	92.4	97.1	98.7	77.7	91.8	95.2
Tripura	23.9	30.3	36.0	80.4	86.4	91.6	96.3	97.0	97.9
Hilly States									
Himachal Pradesh	64.6	72.3	83.3	96.2	97.4	98.1	60.0	77.2	89.1
Jammu & Kashmir	NA	69.7	78.1	NA	97.9	98.0	NA	86.9	87.5
Uttarakhand	NA	70.2	71.3	NA	90.9	96.5	NA	86.9	93.6
Union Territories									
A & N Islands	69.1	77.4	83.7	90.6	95.2	97.7	65.7	76.5	87.1
Chandigarh	80.8	77.3	84.8	85.5	96.7	98.4	79.8	80.1	87.6
Dadra & Nagar Haveli	46.9	25.2	37.6	87.6	95.8	98.5	65.1	77.2	81.3
Daman & Diu	21.5	67.3	56.1	95.5	98.3	99.3	45.7	65.4	85.4
Delhi	63.0	63.2	69.8	81.4	93.4	99.1	66.6	79.0	89.8
Lakshadweep	3.2	1.1	12.6	99.1	99.7	99.7	64.7	83.8	97.7
	46.0	65.5	81.5	71.7	91.4	98.5	50.0	65.0	82.0
Puducherry	40.0	05.5	01.5		2				

Source: Computed from tables on Houses, Household Amenities and Assets (H-12 tables for 2001 and HH 6-8 tables for 2011), Government of India. New Delhi: Office of the Registrar General & Census Commissioner, Ministry of Home Affairs, India

12.State-wise literacy rate by sex

States		Total			Male		Female		
	1991	2001	2011	1991	2001	2011	1991	2001	2011
General States									
Andhra Pradesh	66.3	76.1	81.3	75.9	83.3	87.1	56.4	68.8	75.5
Bihar	67.9	72.0	77.3	77.7	80.0	83.0	55.9	62.6	70.9
Chhattisgarh	NA	80.6	84.2	NA	89.4	90.7	NA	71.1	77.4
Goa	80.1	84.4	90.1	86.3	89.5	93.3	73.4	79.0	86.7
Gujarat	76.5	81.9	86.8	84.6	88.4	91.5	67.7	74.5	81.5
Haryana	73.7	79.3	83.3	82.0	86.0	88.8	64.1	71.5	77.0
Jharkhand	NA	79.2	83.0	NA	87.1	89.2	NA	70.0	76.1
Karnataka	74.2	80.6	85.9	82.0	86.7	90.1	65.7	74.2	81.4
Kerala	92.2	93.2	95.2	95.6	96.0	97.1	89.1	90.7	93.5
Madhya Pradesh	70.8	79.5	83.0	81.3	87.5	88.9	58.9	70.5	76.7
Maharashtra	79.2	85.5	89.1	86.5	91.1	92.6	70.7	79.1	85.3
Odisha	72.0	80.9	86.1	81.2	88.0	91.1	61.2	72.9	80.7
Punjab	72.1	79.2	83.3	77.3	83.2	86.8	66.1	74.6	79.3
Rajasthan	65.3	76.3	80.0	78.5	86.5	88.2	50.2	64.7	71.0
Tamil Nadu	78.0	82.6	87.1	86.1	89.1	91.9	69.6	76.1	82.4
Uttar Pradesh	61.0	69.8	76.0	70.0	76.8	81.4	50.5	61.8	70.0
West Bengal	73.3	81.3	85.0	81.1	86.2	88.6	64.4	75.8	81.1

North Eastern States									
Arunachal Pradesh	71.6	78.3	83.0	78.0	85.2	88.6	62.2	69.5	76.7
Assam	71.0	85.4	88.6	78.0 84.4	89.8	91.9	73.3	80.3	85.0
Manipur	70.5	79.3	85.6	82.1	88.8	92.0	58.7	70.1	79.5
Meghalaya	81.7	86.3	91.0	85.7	89.1	92.7	77.3	83.5	89.2
Mizoram	93.5	96.2	97.7	95.2	96.5	98.1	91.6	95.8	97.3
Nagaland	83.1	84.8	89.7	85.9	87.5	91.7	79.1	81.5	87.4
Sikkim	80.9	83.9	88.8	85.2	87.8	92.5	74.9	79.2	84.8
Tripura	83.1	89.2	93.5	89.0	93.2	95.6	76.9	85.1	91.4
Himachal Pradesh	84.2	89.0	91.2	89.0	92.1	93.6	78.3	85.1	88.5
Jammu &Kashmir	NA	72.0	77.2	NA	80.1	84.1	NA	62.1	69.1
Uttarakhand	NA	81.5	84.6	NA	87.1	89.3	NA	74.8	79.4
Union Territories									
A & N Islands	81.7	86.7	90.2	86.6	90.8	93.2	75.1	81.6	86.8
Chandigarh	79.9	82.7	86.2	84.1	86.8	90.1	74.6	77.4	81.4
Dadra &Nagar Haveli	78.4	84.4	89.9	86.3	90.9	94.1	68.4	74.6	83.5
Daman &Diu	81.6	82.3	89.1	91.1	91.1	92.3	72.3	73.4	83.0
Delhi	76.2	82.0	86.4	82.4	87.4	91.1	68.5	75.3	81.0
Lakshadweep	84.0	88.6	92.1	91.3	93.8	96.1	76.1	83.1	87.9
Puducherry	79.9	84.8	88.6	87.7	91.2	93.1	72.0	78.6	84.2
INDIA	73.1	80.0	84.5	81.1	86.3	89.2	64.1	72.9	79.5

Source: Data are computed from C-8 tables and Primary Census Abstract tables, Government of India. New Delhi: Office of the Registrar General & Census Commissioner, Ministry of Home Affairs, India

13. State-wise percentage of literates who studied above class 12th

States		Total			Male			Female	
	1991	2001	2011	1991	2001	2011	1991	2001	2011
General States									
Andhra Pradesh	12.0	15.4	20.2	15.2	19.4	23.9	7.4	10.4	13.7
Bihar	13.3	15.9	16.1	16.3	19.9	19.6	8.2	9.9	8.7
Chhattisgarh		14.0	16.5		16.1	18.2		11.2	11.9
Goa	10.8	15.5	18.8	12.1	16.4	19.3	9.2	14.5	16.3
Gujarat	10.0	12.5	14.7	11.4	13.6	15.4	8.1	11.1	10.8
Haryana	13.0	15.3	22.2	13.5	15.5	21.8	12.3	15.0	17.2
Jharkhand		14.2	17.1		17.0	19.8		10.1	10.6
Karnataka	11.9	15.5	20.5	14.5	18.6	23.5	8.4	11.7	14.8
Kerala	8.0	11.9	14.6	8.9	12.5	14.4	7.1	11.4	15.7
Madhya Pradesh	12.6	14.3	17.0	14.2	16.1	18.2	10.0	11.8	12.2
Maharashtra	11.2	13.3	18.2	12.6	14.6	19.4	9.2	11.6	14.0
Odisha	12.8	16.1	18.3	15.7	19.4	21.4	8.1	11.7	12.1
Punjab	13.1	14.4	18.8	13.1	13.8	17.2	13.2	15.2	16.6
Rajasthan	11.9	12.2	17.1	13.3	13.7	18.3	9.3	10.0	11.4
Tamil Nadu	9.2	9.9	18.8	11.4	11.8	21.1	6.4	7.6	14.5

Uttar Pradesh	14.2	14.9	18.6	15.3	16.2	19.2	12.3	13.0	13.8
West Bengal	12.3	14.2	15.9	14.5	16.4	17.9	9.3	11.4	11.7
North Eastern States									
Arunachal Pradesh	9.9	9.3	12.4	11.7	11.2	14.6	6.7	6.5	7.3
Assam	10.8	13.5	15.1	13.3	15.8	17.0	7.3	10.5	11.3
Manipur	13.2	19.1	20.3	14.4	20.2	21.6	11.5	17.6	17.0
Meghalaya	10.8	11.6	14.0	12.1	12.6	13.9	9.3	10.6	13.7
Mizoram	4.2	6.1	8.8	5.5	7.6	10.0	2.7	4.5	7.4
Nagaland	7.2	9.8	11.8	8.8	11.4	12.7	4.6	7.7	9.2
Sikkim	9.5	12.5	15.5	10.8	13.9	16.5	7.3	10.7	11.9
Tripura	12.1	13.5	11.6	14.5	16.1	13.7	9.1	10.5	8.8
Hilly States									
Himachal Pradesh	17.5	20.3	25.4	18.9	21.0	24.9	15.5	19.4	21.0
Jammu & Kashmir		14.0	18.9		14.7	18.3		13.0	13.5
Uttarakhand		19.1	24.1		19.3	23.6		18.8	19.6
Union Territories									
A & N Islands	8.8	11.3	15.7	9.2	11.6	15.7	8.2	11.0	12.7
Chandigarh	25.3	24.9	26.4	25.9	24.2	25.1	24.5	25.8	20.8
Dadra &Nagar Haveli	14.2	17.9	16.1	15.7	19.3	16.7	11.8	15.3	8.8
Daman & Diu	6.3	10.9	9.4	8.0	13.4	9.2	4.2	7.7	4.6
Delhi	19.5	19.4	22.8	19.8	19.4	22.6	19.1	19.3	17.8
Lakshadweep	4.3	4.5	6.4	5.9	6.2	7.5	2.2	2.5	4.4
Puducherry	9.0	14.5	21.8	11.6	17.7	24.7	5.7	10.9	17.8
INDIA	12.0	14.0	18.1	13.8	15.8	19.5	9.4	11.7	13.6

Source: Data are computed from C-8 tables, Government of India. New Delhi: Office of the Registrar General & Census Commissioner, Ministry of Home Affairs, India

14.State-wise infant mortality rate (urban), 2013

States	Number of infant deaths per thousand deaths
General States	
Andhra Pradesh	29
Bihar	33
Chhattisgarh	38
Goa	10
Gujarat	22
Haryana	32
Jharkhand	27
Karnataka	24
Kerala	9
Madhya Pradesh	37
Maharashtra	16
Odisha	38

STATE OF THE CITIES

Punjab	23
Rajasthan	30
Tamil Nadu	17
Uttar Pradesh	38
West Bengal	26
North Eastern States	
Arunachal Pradesh	14
Assam	32
Manipur	10
Meghalaya	40
Mizoram	19
Nagaland	19
Sikkim	15
Tripura	19
Hilly States	
Himachal Pradesh	23
Jammu & Kashmir	28
Uttarakhand	22
Union Territories	
A & N Islands	13
Chandigarh	21
Dadra &Nagar Haveli	22
Daman & Diu	26
Delhi	22
Lakshadweep	28
Puducherry	15

Source: Sample Registration System, Office of the Registrar General & Census Commissioner, Ministry of Home Affairs, India

15. State-wise percentage of urban population living below poverty line and percentage of slum population in urban population, 2011

States	Percentage of urban popula- tion living below poverty line	Percentage of slum population in urban population
General States		
Andhra Pradesh	5.8	36.1
Bihar	31.2	10.5
Chhattisgarh	24.8	32.0
Goa	4.1	2.9
Gujarat	10.1	6.5
Haryana	10.3	18.8
Jharkhand	24.8	4.7
Karnataka	15.3	13.9
Kerala	5.0	1.3
Madhya Pradesh	21.0	28.4
Maharashtra	9.1	23.3
Odisha	17.3	22.3
Punjab	9.2	14.0
Rajasthan	10.7	12.1
Tamil Nadu	6.5	16.6
Uttar Pradesh	26.1	14.0
West Bengal	14.7	22.1
North Eastern States		
Arunachal Pradesh	20.3	4.9
Assam	20.5	4.5
Manipur	32.6	N/A
Meghalaya	9.3	9.6
Mizoram	6.4	13.7
Nagaland	16.5	14.4
Sikkim	3.7	20.4
Tripura	7.4	14.5
Hilly States		
Himachal Pradesh	4.3	8.9
Jammu & Kashmir	7.2	19.3
Uttarakhand	10.5	16.0
Union Territories		
Chandigarh	22.3	9.3
Delhi	9.8	10.9
Puducherry	6.3	17.0
INDIA	13.7	17.4

Source: Tendulkar Committee, 2011-12 and Census of India, 2011

16. Households having access to clean cooking fuels in urban India and percentage of households with 3 or more members living in one room, 2011

States	Percentage of HH access to clean cooking fuels in urban India	Percentage of HH with 3 or more members living in one room
General States		
Andhra Pradesh	69.3	26.9
Bihar	48.9	31.0
Chhattisgarh	46.0	21.7
Goa	82.3	17.9
Gujarat	69.7	31.5
Haryana	78.2	22.0
Jharkhand	44.3	19.6
Karnataka	66.0	26.3
Kerala	49.4	4.6
Madhya Pradesh	61.2	21.8
Maharashtra	75.6	38.2
Odisha	49.1	22.5
Punjab	80.6	21.2
Rajasthan	70.0	23.0
Tamil Nadu	69.5	31.0
Uttar Pradesh	62.6	30.0
West Bengal	50.1	36.1
North Eastern States		
Arunachal Pradesh	78.7	16.6
Assam	68.9	18.9
Manipur	57.8	11.0
Meghalaya	50.8	18.0
Mizoram	84.3	10.6
Nagaland	53.6	17.0
Sikkim	85.9	23.3
Tripura	50.0	52.5
Hilly States		
Himachal Pradesh	86.5	18.9
Jammu & Kashmir	78.3	14.1
Uttarakhand	80.0	25.6
Union Territories		
Chandigarh	73.0	32.3
Delhi	90.8	27.8
Puducherry	79.4	33.5
INDIA	66.3	28.0

Source: Census of India, 2011

States	2001	201
General States		
Andhra Pradesh	319	146
Bihar	207	367
Chhattisgarh	322	237
Goa	93	55
Gujarat	263	274
Haryana	313	446
Jharkhand		C
Karnataka	161	386
Kerala	405	172
Madhya Pradesh	184	169
Maharashtra	243	196
Odisha	574	543
Punjab	305	269
Rajasthan	95	162
Tamil Nadu	90	123
Uttar Pradesh	732	983
West Bengal	640	1814
North Eastern States		
Arunachal Pradesh		
Assam	279	271
Manipur	81	68
Meghalaya	14	11
Mizoram	51	51
Nagaland	NA	NA
Sikkim	NA	348
Tripura	138	50
Hilly States		
Himachal Pradesh	440	560
Jammu & Kashmir	46	35
Uttarakhand	341	431
Union Territories		
A & N Islands	NA	111
Chandigarh	1,877	1,359
Daman &Diu	107	70
Delhi	2,643	2471
Puducherry	486	503
INDIA	292	377

Source: Ministry of Road Transport and Highways, 2001

REFERENCES AND BIBLIOGRAPHY

- 1. Ahlbrandt, Roger S. 1984. Ideology and the Reagan Administration's First National Urban Policy Report. *Journal of the American Planning Association*. 50(4). [Taylor & Francis Online]
- 2. Ahluwalia, Isher Judge, et. al. 2014. Urbanisation in India. Challenges, Opportunities and the Way Forward. New Delhi: Sage Publications, India Pvt. Ltd.
- 3. Alfred J. Kahn, 1970. Toward a National Urban Policy. Edited by Daniel P. Moynihan. *Social Work*, Volume 16, Issue 3, July 1971, pp. 113–114. New York: Basic Books.
- 4. Andres, Luis, *et. al.* 2014. Infrastructure Gap in South Asia. Policy Research Working Paper, 7032. Washington, D.C.: The World Bank.
- 5. Angel, Shlomo. 2012. *Planet of Cities*. Lincoln Institute of Land Policy. New Hampshire: Puritan Press, Inc.
- 6. Annez, Patricia Clarke and Robert M. Buckley. 2008. Urbanization and Growth: Setting the Context. Chapter 1, *Commission on Growth and Development*. Washington D.C.: The World Bank.
- 7. Anthony Townsend, A. C. 2015. *Making Sense of the New Urban Science*. New York: Data & Society Research Institute.
- 8. Asian Development Bank (ADB). 2019. Fostering Growth and Inclusion in Asian Cities Theme chapter of the Asian Development Outlook 2019 Update. Manila: ADB.
- 9. Asian Development Bank (ADB). 2020. Cities as Engines of Growth. http:// www.abd.org/sites/524596/2019-update.
- 10. Asian Development Bank, World Bank, and Japan Bank for International Cooperation. 2005. Connecting Asia: A New Framework for Infrastructure. Manila, Washington D.C., and Tokyo.
- 11. Batra, L. 2009. *A Review of Urbanisation and Urban Policy in Post-Independent India*. Working Paper Series (CSLG/WP/12).
- 12. Becker, Charles. M. et. al. 1992. Indian Urbanization and Economic Growth since 1960. Baltimore: The Johns Hopkins University Press.
- 13. Bhide, Amita and Himanshu Burte., 2018. Urban Parallax: Policy and the City in Contemporary India. New Delhi: YODA Press.
- 14. Bidgood, A. 2017. Path Dependency and Lock-in Effects of Urbanization. mimeo.
- 15. Black, D. and Henderson, V. 1999. A Theory of Urban Growth. *Journal of Political Economy*, Volume 107, No.2.
- 16. Brenner, Neil (ed.). 2014. Implosion/Explosion: Towards a Study of Planetary Urbanization. Berlin: JovisVerlog GmbH.
- 17. Brenner, Neil. 2017. Critique of Urbanization: Selected Essays. Birkhauser Verlog GmbH Basel. Switzerland.
- 18. Brenner, Neil and Roger Keil. 2006. The Global Cities Reader. London and New

York: Routledge.

- 19. Brotchie, John, et. al. 1995. Cities in Competition: Productive and Sustainable Cities for the 21st Century. Australia: Longman.
- 20. Centre for Policy Research. 2001. The Future of Urbanisation. New Delhi: Centre for Policy Research.
- 21. Chandler, Tertius. 1987. *Four Thousand Years of Urban Growth: An Historical Census.* New York: The Edwin Mellen Press.
- 22. Chandrashekhar Pant, et. al., 1982. Morphology of Urbanisation in India-Some Results from 1981 Census. Economic & Political Weekly, 17(38).
- 23. Chen M, Zhang H, Liu W, Zhang W. 2014. *The Global Pattern of Urbanization and Economic Growth: Evidence from the Last Three Decades*. PLOS ONE 9(8): e103799.
- 24. Chuanglin Fang and Danlin Yu. 2016. *China's New Urbanization: Development Paths, Blueprints and Patterns*. Beijing: Springer and Science Press.
- 25. Cohen, Michael A. 1994. Cities and the Prospects of Nations. In *Cities and the new Global Economy*. Melbourne. Commonwealth of Australia.
- 26. Cohen, Michael A, *et. al.* (eds). 1996. Preparing for the Urban Future: Global Pressures and Local Forces. Washington D.C. The Woodrow Wilson Center Press.
- Cohen, B. 2004. Urban Growth in Developing Countries: A Review of Current Trends and a Caution Regarding Existing Forecasts. World Development. 32 (1): 23-51
- 28. Commission on Growth and Development. 2008. The Growth Report: Strategies for Sustained Growth and Inclusive Development. Washington, D.C.: The World Bank.
- 29. Denis, Eric and Marie-Helene Zerah (eds). 2017. Subaltern Urbanization in India: An Introduction to the Dynamics of Ordinary Towns. New Delhi: Springer India.
- 30. Dobbs, Richard, *et.al.* 2015. No Ordinary Disruption: The Four Global Forces Breaking All The Trends. New York: McKinsey Global Institute.
- 31. Duranton, G. The Urbanization and Development Puzzle, 2014. In Shahid Yusuf (Ed). *The Buzz in Cities: New Economic Thinking*. Washington, D.C.: The Growth Triangle.
- 32. El_eSwerts, Denise Pumain, and Eric Denis. 2014. The future of India's urbanization. *Futures* (56):43–52.
- 33. Ellis, Peter and Mark Roberts. 2016. Leveraging Urbanization in South Asia. Managing Spatial Transformation for Prosperity and Livability. Washington, D.C.: The World Bank.
- 34. Fuchs, Roland, *et. al.* 1994. Mega-city Growth and the Future. Tokyo: United Nations University Press.
- 35. Fujita, Masahisa, Paul Krugman, and Anthony Venables. 2004. The Spatial

Economy. Cambridge: The MIT Press.

- 36. Galdo, Virgilio; Li, Yue; Rama, Martin G., 2018. Identifying Urban Areas by Combining Data from the Ground and from Outer Space: An Application to India. Policy Research Working Paper; no. WPS 8628. Washington, D.C.: The World Bank.
- 37. Ghani, Ejaz, *et. al.* 2013. The Exceptional Persistence of India's Unorganized Sector. Policy Research Working Paper, Volume 6454. Washington, D. C.: The World Bank.
- 38. Ghose, A. K., 2016. India Employment Report: Challenges and the Imperatives of Manufacturing-led Growth. New Delhi: Institute for Human Development and Oxford University Press.
- Glaeser, Edward. 2011. Triumph of the City: How Our Greatest Invention Makes Us Richer, Smarter, Greener, Healthier, and Happier. London: The Penguin Press.
- 40. Glaeser, Edward, and Abha Joshi-Ghani (eds). 2015. The Urban Imperative: Towards Competitive Cities. New Delhi: Oxford University Press.
- 41. Government of India. Ministry of Health and Family Welfare. 2019. Report of the Technical Group on Population Projections. New Delhi: Government of India.
- 42. Government of India. Department of Economic Affairs. 2020. National Infrastructure Pipeline. New Delhi: Government of India.
- 43. Hall, Peter. 1988. Cities of Tomorrow. Oxford, UK & Cambridge, USA: Blackwell.
- Harris, John, and Michael Todaro. 1970. Migration, Unemployment, and Development: A Two-sector Analysis. *American Economic Review* 60: 126– 142.
- 45. Hasan, Rana, *et.al.* 2017. Growth of Indian Cities and Good jobs: Evidence from the 2000s. Presented at the India Policy Forum, held in New Delhi in July 2017.
- 46. Henderson, Vernon and Hyoung Gun Wong. 2007. Urbanization and City Growth: The Role of Institutions. *Regional Science and Urban Economics* 37(3).
- 47. Hicks, J R. 1932. The Theory of Wages. London: McMillan.
- 48. Indian Institute for Human Settlements. 2011. Urban India 2011. Bangalore: Autumn.
- 49. Institute for Human Development. 2014. India Labour and Employment Report 2014. New Delhi: Academic Foundation.
- 50. Institute of Social Sciences (ISS). 2016. Cities and the New Economic Vibrancy. New Delhi. ISS.
- 51. Institute of Social Sciences (ISS). 2019. Cities and the Sustainable Development Goal 11, New Delhi.
- 52. International Labour Organization (ILO). 2018. Women and Men in the Informal Sector. Geneva: ILO.

- 53. International Labour Organization (ILO). 2019. World Employment Social Outlook, Geneva: ILO.
- 54. Jacobs, Jane. 1961. The Death and Life of Great American Cities. New York: Vintage Books.
- 55. Jayne Mark and Kevin Ward. 2017. Urban Theory. New Critical Perspectives. London and New York: Routledge.
- 56. Johnson, Craig A. 2018. The Power of Cities in Global Climate Politics. Palgrave, Canada: Macmillan.
- 57. Kaplan, Marshall. 1990. The Future of National Urban Policy. Durham, USA: Duke University Press.
- 58. Kapoor, Radhicka, 2017. Waiting for Jobs. Indian Council for Research on International Economic Relations (ICRIER) Working Paper No. 348. New Delhi: ICRIER.
- 59. Kats, Bruce and Jennifer Bradley. 2013. The Metropolitan Revolution: How Cities and Metros are Fixing Our Broken Politics and Fragile Economy. Washington, D.C.: Brookings Institute Press.
- 60. Kumar, Kunal. 2020. The Great Indian Urban Debate. Government of India, Mimeo.
- 61. Kundu, A. 2009. "Exclusionary Urbanisation in Asia'. Economic and Political Weekly. Vol XLIV. No 49
- 62. Lall, Somik V., *et.al.* 2006. Rural-Urban Migration in Developing Countries: A Survey of Theoretical Predictions and Empirical Findings. Policy Research Working Paper 3915. Washington, D.C.: The World Bank.
- 63. Lall, Somik V., *et.al.* 2008. Infrastructure and City Competitiveness in India. Policy Research Working Paper. Washington, D.C.: The World Bank.
- 64. Lewis, Arthur. 1954. Development with Unlimited Supplies of Labour. Manchester. In Manchester School (22). pp. 139–192. University of Manchester.
- 65. Lo, Fu-Chen and Kamal Salih. 1978. Growth Pole Strategy and Regional Development Policy. Published for the United Nations Centre for Regional Development. Oxford: Pergamon Press.
- 66. Lo, Fu-chen and Peter J. Marcotullio (eds). 2001. Globalization and the Sustainability of Cities in the Asia-Pacific Region. Tokyo: United Nations University Press.
- 67. Lo, Fu-chen and Yue-man Yeung (eds). 1998. Globalization and the World of Large Cities. Tokyo: United Nations University Press.
- 68. Lo, Fu-chen and Yu-man Yeung. 1996. Emerging World Cities in Pacific Asia. Tokyo: United Nations University Press.
- 69. Loannides, Yannis Menelaos, *et. al.* 2008. The effect of information and communications technologies on urban structure. *Economic Policy* 54.
- 70. Marius, Brulhant and Federica Sbergami. 2009. Agglomeration and Growth: Cross-Country Evidence. *Journal of Urban Economics*, 65(1): 48–63.

- 71. Marulanda, Catalina and Beatriz Eraso. 2015. LAC Infrastructure Gap. A Historical Perspective. Washington, D.C.: The World Bank.
- 72. Mathur, Om Prakash (ed.). 1982. Small Cities and National Development. Nagoya, Japan: United Nations Centre for Regional Development.
- 73. Mathur, Om Prakash. 1994. Responding to India's Urban Challenge: A Research Agenda for India and Nepal. In Richard Stren. *Urban Research in the Developing World*: Asia. University of Toronto. Toronto.
- 74. Mathur, Om Prakash. 1994. The dual challenge of poverty and mega-cities: An assessment of issues and strategies. In Roland J. Fuchs, *et. al. Mega-city Growth and the Future*. Tokyo: United Nations University Press.
- 75. Mathur, Om Prakash. (Ed). 1999. India: the challenge of urban governance. New Delhi: National Institute of Public Finance and Policy.
- 76. Mathur, Om Prakash. 2003. Agriculture, Rural-Urban Balance, and Social Viability. A paper for the Food and Agriculture Organization. Rome. Mimeo.
- 77. Mathur, Om Prakash. 2018. Whither India's Urbanisation? In Amita Bhide and Himanshu Burte (eds). *Urban Parallax: Policy and the City in Contemporary India*. New Delhi: Yoda Press.
- 78. Mathur, Om Prakash. 2019. City-Size Distributions in a Quasi-Open Economy, The India Evidence. In Guanghua Wan and Ming Lu. *Cities of Dragons and Elephants*. United Kingdom: Oxford University Press.
- 79. Mayer-Schönberger, Viktor, and Kenneth Cukier. 2013. Big Data: A Revolution that will Transform How We Live, Work and Think. London: John Murray (Publishers).
- 80. McKinsey Global Institute. 2010. India's urban awakening: Building inclusive cities, sustaining economic growth. McKinsey & Company.
- 81. McKinsey Global Institute. 2013. Infrastructure Productivity: How to save US \$ 1 trillion a year. McKinsey & Company.
- 82. McKinsey Global Institute. 2020. India's turning point: An economic agenda to spur growth and jobs. McKinsey & Company.
- 83. Ministry of Housing and Urban Affairs. 2018. National Urban Policy Framework (Draft). New Delhi: Government of India.
- 84. Ministry of Housing and Urban Poverty Alleviation, Government of India. 2016. India Habitat III National Report 2016. New Delhi: Government of India.
- 85. Ministry of Urban Development. 2011. Report on India Urban Infrastructure and Services. New Delhi: Ministry of Urban Development.
- 86. Mitra, Arup and Nagar, J. P. 2017. Cities and Towns in India: Judging the Quality of Urbanisation. New Delhi: National Institute of Labour Economics, Research and Development.
- 87. Mohan, Rakesh. 1983. 'India: Coming to grips with urbanization'. in Cities. Volume I, Issue I, Elsevier Ltd.
- 88. Mohan, Rakesh. 2007. Urbanisation and Globalisation in the Twenty-first

Century: Emerging Challenges. RePEc: Working paper: id: 1291

- 89. Mohanty, P. K. 2014. Cities and Public Policy. New Delhi: Sage Publications India Pvt. Ltd.
- 90. Montgomery, Mark R., and Richard Stren (eds). 2003. Cities Transformed: Demographic Change and Its Implications in the Developing World. Washington, D.C. The National Academies Press.
- 91. Moriset, Bruno and Edward J Malecki. 2009. Organisation versus Space: The Paradoxical Geographies of the Digital Economy. In Geography Compass 3/1. Pp. 256–274. Blackwell Publishing Ltd.
- 92. Mukhopadhyay, Partha, et. al. 2020. Subaltern Urbanization: Indian Insights for Urban Theory. *International Journal of Urban and Regional Research*, 44(4). [Wiley Online Library. Urban Research Publications Ltd]
- 93. Nancy Brooks, K. D. G. J. K. (ed.). 2012. Urban Economics and Planning. New York: Oxford University Press.
- 94. National Institute of Urban Affairs. 1988. State of India's Urbanisation. New Delhi: National Institute of Urban Affairs.
- 95. National Institute of Urban Affairs. 2016. Demographic, Economic, and Social Structure of Different Sizes of Urban Settlements in India. New Delhi.
- 96. New Climate Economy. 2014. Better Growth Better Climate: The New Climate Economy Report. Washington, DC: World Resources Institute, pp. 59–90.
- 97. NITI Aayog. 2016. Three Year Action Agenda (2017-18 to 2019-20): Chapter 7 Urban Development. New Delhi: Government of India.
- 98. NITI Aayog. 2019. Strategy for New India@75. Government of India, New Delhi.
- 99. Papola, T. S. 2014. An Assessment of the Labour Statistics System in India. New Delhi: International Labour Organization Country Office for India.
- 100. Polese, Mario. 2010. The Wealth and Poverty of Regions: Why Cities Matter. Chicago: University of Chicago Press.
- 101. Pradhan, K. C. 2013. Unacknowledged Urbanisation: New Census Towns of India. *Economic & Political Weekly*, 48(36): 43–51.
- 102. Puig, Arturo Soriya (ed.). 1999. The Five Bases of the General Theory of Urbanization. Barcelona: Fundacio Catalana per a la Recerca.
- 103. Quigley, John M. 2008. Urbanization, Agglomeration, and Economic Development. In the report, Commission on Growth and Development. Washington D.C.: The World Bank.
- 104. Ranis, Gustav and John Fei. 1961. A Theory of Economic Development. *American Economic Review* 51: 533–565.
- 105. Ravenstein, E.G.. 1885. The Laws of Migration. Journal of the Royal Statistical Society. United Kingdom: Wiley-Blackwell.
- 106. Revi, Aromar. 2008. Climate change risk: an adaptation and mitigation agenda for Indian cities. Environment and Urbanization. London: International

Institute for Environment and Development.

- 107. Richardson, H.W. and C. H. C. Bae (eds). 2005. *Globalization and Urban Development*. Berlin: Springer.
- 108. Roberts, M. 2018. Urban Growth in South Asia: A View from Outer Space. In P. Arestis, (ed.), pp. 269–302. *Alternative Approaches in Macroeconomics*. Palgrave Macmillan, Cham,
- 109. Roy, A. 2011. Slumdog Cities: Rethinking Subaltern Urbanism. *International Journal of Urban and Regional Research*, 35(2): 223–238. [Wiley Online Library]
- 110. Rusk, David. 2003. Cities without Suburbs. Washington, D.C.: Woodrow Wilson Center Press.
- 111. Sancton, Andrew. 2008. The Limits of Boundaries: Why City-Regions cannot be Self-governing. Quebec: McGill Queen's University Press.
- 112. Sapotichne J. 2010. The Evolution of National Urban Policy: Congressional Agendas, Presidential Power and Public Opinion. 2010. East Lansing. Michigan State University.
- 113. Sassen, Saskia. 1991. The Global City. New Jersey: Princeton University Press.
- 114. Savitch, H. V. and Paul Kantor. 2002. Cities in the International Marketplace. New Jersey: Princeton University Press.
- 115. Sivaramakrishnan, K.C. 2011. Re-visioning Indian Cities. New Delhi: Sage Publications.
- 116. Sivaramakrishnan, K.C. 2015. Governance of Megacities: Fractured Thinking, Fragmented Set up. New Delhi: Oxford University Press.
- 117. Sovani, N. 1964. The Analysis of Over-Urbanization. *Economic Development and Cultural Change*, XII(2): 113–122.
- 118. Sridhar, K.S. 2019. Costs and Benefits of Urbanization: The Indian Case. In Guanghua Wan and Ming Lu. *Cities of Dragons and Elephants*. United Kingdom: Oxford University Press.
- 119. Stanford Research Institute (Menlo Park), School of Planning and Architecture, New Delhi, and Small Industry Extension Training Institute, Hyderabad. 1968. Costs of Urban Infrastructure as related to City size in Developing Countries. India case study. Menlo Park, USA.
- 120. Storper, Michael. 2013. Keys to the City. How Economies, Institutions, Social Interactions, and Politics Shape Development. Princeton and Oxford: Princeton University Press.
- 121. Stren, Richard (ed.). 1996. Urban Research in the Developing World. Toronto: University of Toronto.
- 122. The World Bank. 1991. Urban Policy and Economic Development: An Agenda for the 1990s. Washington, D.C.: The World Bank.
- 123. The World Bank. 2000. Cities in Transition: A Strategic View of Urban and Local Government Issues. Washington, D.C.: The World Bank.
- 124. The World Bank. 2009. World Development Report 2009. Reshaping

Economic Geography, 2009. Washington, D.C.: The World Bank.

- 125. The World Bank. 2010. System of Cities: Harnessing Urbanization for Growth and Poverty Alleviation. Washington, D.C.: The World Bank.
- 126. The World Bank. 2013. Urbanization beyond Municipal Boundaries: Nurturing Metropolitan Economies and Connecting Peri-Urban Areas in India. Washington, D.C.: The World Bank.
- 127. The World Bank. 2014. Urban China. Toward Efficient, Inclusive, and Sustainable Urbanization. Washington, D.C.: The World Bank.
- 128. The World Bank. 2015, Leveraging Urbanization in South Asia. Washington, D.C.: The World Bank.
- 129. The World Bank. 2019. Realizing Indonesia's Urban Potential: Time to Act. Washington D.C.: The World Bank.
- 130. The World Bank. 2019. World Development Report 2019. The Changing Nature of Work, 2019. The World Bank.
- 131. Toh, Thian Ser. 1998. Mega Cities, Labour, and Communications. Singapore: Institute of Southeast Asian Studies.
- 132. Townsend, Anthony M. 2014. Smart Cities. New York: W.W. Norton & Company.
- 133. Tumbe, C. 2016. Urbanization, Demographic Transition and the Growth of Cities in India, 1870-2020. London: International Growth Centre (C-35205-INC-1).
- 134. Turner, Roy (ed). 1962. India's Urban Future. Berkeley: University of California Press.
- 135. UN-Habitat. 2013. State of the World's Cities 2012/13. Nairobi, Kenya: UN-Habitat.
- 136. UN-Habitat. 2016. Urbanization and Development: Emerging Futures. World Cities Report 2016. Nairobi. Kenya: UN-Habitat.
- 137. UN-Habitat. 2020. World Cities Report 2020. The value of sustainable urbanization. Nairobi, Kenya.
- 138. United Nations. Department of Economic and Social Affairs, Population Division. 2011. World Urbanization Prospects 2011 Revision. New York: United Nations.
- 139. United Nations. 2014. World Urbanization Prospects, New York.
- 140. United Nations. World Urbanization Prospects, 2018 Online, New York.
- 141. Vernon Henderson. 2002. 'Urbanization in Developing Countries'. Washington, D.C.: The World Bank.
- 142. Wan, Guanghua and Ming Lu. 2019. Cities of Dragons and Elephants. United Kingdom: Oxford University Press.
- 143. Wirth, L. 1938. Urbanism as a Way of Life. *The American Journal of Sociology*, 44(1): 1–24. University of Chicago, Chicago.
- 144. World Economic Forum. 2016. The Future of Jobs. Global Challenge Insight

Report. Geneva, Switzerland: World Economic Forum.

- 145. World Economic Forum. 2020. The Global Social Mobility Report 2020. Geneva, Switzerland: World Economic Forum.
- 146. World Resources Institute (WRI). 2016. *Towards a more equal city: Framing the challenges and opportunities*. Washington, D.C.: WRI.
- 147. Yusuf, Sahid and Tony Saich. 2008. *China Urbanizes: Consequences, Strategies and Policies*. Washington, D.C.: The World Bank.
- 148. Yusuf, Sahid (ed.). 2014. *The Buzz in Cities: New Economic Thinking*. The Growth Triangle. Washington, D.C.: The Growth Triangle.



Ageing	46, 47, 100
Agglomeration economies	33, 39, 52, 100
Agglomeration index	3, 4, 6
Asian Development Bank	33, 75
Atal Mission for Rejuvenation and Urban Transformation	7,78
Bankability	7,8
Brenner, Neil	9, 18, 26
Census towns	3, 18, 26, 27, 30-35, 38, 44, 54, 55
Central place theory	12
Cities without slums	8
City-size distribution	33-40
Cohen, Michael	4, 21
Competitiveness	7, 8, 75, 76, 94
Consumption expenditure	52, 53, 62-64, 89, 95, 98
Contemporary urban research	93
Davis, Kingsley	9
Demographics	17-50
Demographics of age	46-49
Economic Survey	3, 4, 7, 13, 52, 100
Environmental health risks	89
Environmental Improvement of Urban Slums	7, 10, 77
Formal economy	89
Ghani-Joshi, Abha	68
Glaeser, Edward	68
Global Urban Research Initiative	12
Government of India	3, 4, 8, 13, 52, 54, 76-78, 84, 94, 95, 100, 101
Growth poles	12

High-Powered Expert Committee on Urban Infrastructure	77
Housing for All	7, 11, 78
How urban is India	93-101
urbanisation index	13, 94-101
urban productivity index	96, 98, 99
urban liveablity and environment security index	95
Human Development Index	85, 94
Inclusion	12, 33, 86, 94-96, 98, 99
Informal economy	
Informal workforce	65
Infrastructure gaps	76, 77, 84
Infrastructure stock	84
Integrated Development of Small and Medium- sized Towns	7, 11, 78
Intermediate-sized cities	7, 11, 35, 40
Jacobs, Jane	9, 12
Jawaharlal Nehru National Urban Renewal Mission	7, 11, 78
Liveability	7, 8, 90, 95
Mathur, Om Prakash	28, 40
McKinsey Global Institute	11, 36, 76, 77
McNamara, Robert	6
Metropolitan cities	35, 38, 39, 55
Migration	
rural-urban	12, 18, 26, 28-30, 33, 44, 95, 100
urban-urban	28-30
rural- rural	28-30
urban-rural	28-30

STATE OF THE CITIES

Mohan, Rakesh	35
Mukhopadhyay, Partha	32
National Infrastructure Pipeline	76, 77
National Slum Development Programme	7
National Urban Livelihood Mission	7
National Urban Policy	8,11
Natural growth	34
Natural monopolies	76
New Science of Cities	12
New Urban Agenda	8, 17
NITI Aayog	7,95
No one to be left behind	84, 86
Outgrowths	38, 40
Paris Agreement on Climate Change	8
Pearson, Lester	6
Peri- urban growth	31
Planning Commission	7, 11, 54, 91
Rajiv AwasYojna	7, 11, 78
Rank size rule	12
Ranking of states	13, 94-100
Resilience	89, 90
Rural consumption	62-64
Satellite imaging	4, 6, 31
Sectoral distribution of GDP	52
Small towns	13, 35
Smart Cities	7, 11, 78
Soft infrastructure	
health	88, 89
education	87, 88
Statutory towns	3, 26, 27, 34
Stren, Richard	12

Sub national view of urbanisation	40-45
Sustainable Development Goal 11	8, 11, 13, 84
Swachh Bharat Mission	7, 11, 78
System of cities	7
Technical Group on Population Projections	94, 101
Unified system of cities	40
United Nations	2, 3, 4, 5, 13, 14, 19, 20, 24, 25, 38, 59, 84, 94
UN-Habitat	7, 8, 11, 14, 17, 51, 89
Urban Basic Services for the Poor	7, 11
Urban densities	39
Urban economy	
Size	52, 53, 66, 67
structure	2, 52, 54, 67-73
Urban employment	6, 54, 67, 68, 71, 73
Urban housing	14, 84, 89, 94, 100
Urban local public goods	
water supply	71,76
solid waste collection	
solid waste management	76, 79, 89, 90, 91, 95
Urban peripheries	38, 39, 100
Urban share of	56
GDP	
	33
GDP	33 31, 32, 94
GDP Urban spillovers	
GDP Urban spillovers Urban sprawl	31, 32, 94
GDP Urban spillovers Urban sprawl Urban theory Urban	31, 32, 94 9, 13, 32, 93
GDP Urban spillovers Urban sprawl Urban theory Urban transformation	31, 32, 94 9, 13, 32, 93 7, 32, 78

Urbanisation		U
engine of economic growth	7	Ui po Ui
integral part of economic development	7	gr
trajectory of future development	7	W
Urbanisation		Zi
pace	25, 29, 30, 39, 40, 101	
composition	26-33	
scale	2, 6, 8, 9, 23, 30, 93, 94	
Urbanisation		
economic foundations	51-73	
Urbanisation		
hidden	2, 11, 18, 94	
messy	12, 18, 31, 94	
rapid	2, 12, 18, 33	
slow	2, 12, 17, 18, 20, 25, 48, 52	
beyond municipal boundaries	11, 13, 31	

Urbanisation index	94-99
Urbanisation of poverty	8
Urbanisation- growth linkages	52, 57, 59
World Bank	3, 4, 6, 7, 11-13, 21, 26, 29-31, 33, 59, 64, 68, 75, 84, 91
World Urbanization Prospects	3-5, 13, 19, 20, 25, 59
Zipf law	12, 40

INSTITUTE OF SOCIAL SCIENCES, CENTRE FOR URBAN STUDIES, 8, Nelson Mandela Marg, New Delhi 110070

§ 91-11-4315-8800

centreforurbanstudies@issin.org

Web: http://www.issin.org

State of the Cities: India provides an excellent, in- depth view of India's urbanization: shifting roles of the different constituents of urbanization, increasing instability in the nature of linkages between urbanization and growth parameters, and the newly emerging patterns of demand for environmental services. It will stand as the pre-COVID urban baseline for many years to come. It is yet another *tour de force* by one of the leading urban experts, Professor O.P. Mathur.

Michael Cohen,

Professor of International Affairs and Director, Global Urban Futures Project, The New School, New York

Those interested in India's urbanization and cities and their growth, this well- researched document will give a lot of information unavailable in other publications. Based largely on the Indian Census, but supplemented by additional sources and presented attractively with figures, tables, and illustrations, the study cycles systematically through India's demography, urban economy, infrastructure, and development directions. Empirical material is effectively counterposed with theoretical ideas about urbanization and cities in order to address a central question: how urban is India?

Richard Stren,

Professor Emeritus of Political Science and Senior Fellow, Global Cities Institute, University of Toronto, Toronto

What is different about India's urbanization? In what way is it affected by globalization and liberalization of the Indian economy? A research team led by Om Mathur, Lead author, examines these and related questions in a comparative framework, and presents what clearly are new and illuminating facts on the demographic, economic, and infrastructural aspects of India's urbanization.

Yue Man Yeung,

Professor Emeritus of Geography, Chinese University of Hong Kong, Hong Kong Written by one of India's prominent urban scholars who has closely studied the country's urban transformation over the past 50 years, this report provides a comprehensive and balanced overview of how the country grappled with challenges as well as promises of urbanization, learning in the process from past efforts to experiment with new concepts and aspirations. Such a continuous assessment of ideas requires data which is continually updated and analyzed with new technologies- a pragmatic advice this report offers as it acknowledges ongoing debates rather than work with one definition of urban India.

Bish Sanyal,

Ford International Professor of Urban Development and Planning, Massachusetts Institute of Technology, Cambridge

State of the cities: India is a thorough and beautifully integrated account of the current state and future prospects of India's cities and towns. It ably surveys and moves beyond today's somewhat sterile debate among demographers and geographers over the level of the country's urbanization, choosing instead to engage with more fundamental concerns about the links between urbanization and economic performance, and the continuing gaps in urban infrastructure that have prevented cities and towns from meeting their economic potential. Close attention is given to the phenomenon of census towns which have emerged in the 2001 to 2011 decade as a new and vet-to-be-understood form of urbanization. Students of India's urbanization will find State of the Cities a fresh and stimulating take on the urban challenge that lies ahead.

Mark Montgomery,

Professor of Economics, Stony Brook University, New York

Publications of the Centre for Urban Studies

Urbanization Matters: Bihar Urbanization Report 2031

Urbanization in Bihar is low, in fact, very low (11.29 percent)compared to India's 31.16 percent in 2011. Moreover, urbanization in Bihar is complex - even a high urban population growth rate of 3.06 percent which it achieved during the 2001-11 Census decade and added over 3.1million people to its urban population base, has made little difference to its share in state's total population. Urbanization in Bihar is largely fertility-driven; rural-urban migration which is said to have important transformational attributes has contributed little to the process of Bihar's urbanization. Yet, a rigorous examination of Bihar's urban portfolio at various levels of aggregation shows that urbanization in Bihar deserves attention as there are limits to growing under a rural shadow. The report shows that Bihar needs more urbanization and consolidation and expansion of urbanization forces. The report demonstrates that well-managed urbanization is desirable for Bihar, that it is necessary and that it is possible.

Cities and the NEW Economic Vibrancy

The study examines the economic vibrancy of city clusters in Bihar, Madhya Pradesh and Odisha, the three least urbanized states in the country. It sets out a methodology for constructing a city level vibrancy index and provides a set of benchmark values which give a sense of the distance that cities need to travel in order to improve their economic performance. The study complements the index with other exercises that help to better understand the role of institutions, infrastructure and human capital in the growth dynamics of cities. The core of the study lies in a framework of urban initiatives and interventions that would contribute to enhancing the vibrancy of cities.

Reforming Vertical Programmes: The Case of India

This report is a part of an inter-country exercise that aims at a review of how countries organize, implement, and manage 'Vertical Programmes'. Representing a transfer of resources from the higher governmental tier to the lower tiers via initiatives such as the Centrally Sponsored Schemes (CSS) as is the case in India, vertical programmes are designed to help attain national goals and service minima and standards considered vital for the economy and simultaneously serve sub-national development priorities. In India, the vertical programmes have, in recent years, undergone major restructuring. This study attempts to bring together key facts concerning the restructuring of such programmes together with their present role, status, and functioning.

Cities and the Sustainable Development Goal 11

Owing to the United Nations (UN) Resolution 70/1 on Transforming our world: the 2030 Agenda for Sustainable Development, CITIES AND SUSTAINABLE DEVELOPMENT GOAL 11 is among the initial attempts to come to grips with SDG 11 and other goals associated with making human settlements 'inclusive, safe, resilient, and sustainable'. It presents the results of an excercise that addresses five issues: (1) selection of indicators for assessing SDG11, (2) estimation of sustainability gaps, (3) determination of the rate of change necessary for achieving SDG11 by 2030, (4) underline the need to localise SDG11 into local government structures, and (5) establish the need to create a data system that would facilitate a statistically robust and informed assessment of SDG 11 over time.

India's urban transition has, of late, acquired multiple narratives. It is said to be rapid, moderate, slow, messy, and hidden. What underpins such multiple narratives is the central theme of the study, State of the Cities: India.

Making use of an analytical framework that permits an examination of the shifts in the pace and pattern of India's urbanisation over a period of time, this study takes an in-depth look at the evidence on three of its key dimensions: the demographics, the economy, and the status of infrastructure and the environment. Some of the key questions that this study seeks responses to are: Is India's urbanisation in the post-liberalisation period any different? Does it show the effect of the changes in the macroeconomic parameters of the post-1991 period? Is it more or less productive and inclusive and environmentally secure? Is it spatially more equal or unequal? Does it in any way signal an inflection point in India's urban transition? Drawing from the analysis of the evidence comparable over time, the study spotlights several interesting questions: what would, for example, explain the acceleration in the pace of urbanisation under conditions of low economic growth and its moderation under conditions of high economic growth? What factors would explain a fall in the rate of growth in the urban share of gross domestic product (GDP) at such a low level of urbanisation, especially the GDP accruing from the manufacturing sector?

This study makes a strong case for evidence-based assessment of India's urban transition, rather than to continue to commit, as many of us do, to the long-held, but specious narrative that India is in the midst of rapid urbanisation.

